**The National Institutes of Health Grants Policy Statement (NIHGPS)**

|  |  |  |  |
| --- | --- | --- | --- |
| **Section** | **Page (NIHGPS)** | **Page (PDF)** | **Notes** |
| Introduction | ii | 2 |  |
| 1.1 Abbreviations | I-1 | 18 | Acronyms used in the NIHGPS and for awards |
| 1.2 Definitions of Terms | I-7 | 24 | Definitions for terms used in the NIHGPS and for awards |
| 2.2 ERA Commons | I-43 | 60 | Description of the NIH eRA Commons and registration requirements |
| 2.3.1 Support Mechanisms | I-45 | 62 | Link to all NIH activity codes |
| 2.3.4 Types of Applications | I-46 | 63 | Description of the different types of NIH applications |
| 2.3.5 Types of Funding Opportunity Announcements (FOAs) | I-47 | 64 | Description of the different types of NIH FOAs |
| 2.3.7 Policies Affecting Applications | I-49 | 66 | Details requirements for specific situations:   * 2.3.7.1 Applications that Include Consortium/Contractual F&A Costs * 2.3.7.2 Acceptance for Review of Unsolicited Applications Requesting $500,000 or More in Direct Costs * 2.3.7.3 Resubmission of Unfunded RFA Applications * 2.3.7.4 Submission of Resubmission Application * 2.3.7.5 New Investigators and Early State Investigators * 2.3.76 PD/PI, Individual Fellowship, and Sponsor Assurance * 2.3.7.7 Post-Submission Grant Application Materials (page I-52/ PDF 69) * 2.3.7.8 DUNS Number and SAM Registration Requirements * 2.3.7.9 Graduate Student Compensation * 2.3.7.10 NIH Genomic Data Sharing |
| 2.3.9 Application Receipt Information and Deadlines | I-59 | 76 | * Discusses standard due dates and unique application deadlines. A link is provided to the NIH standard due dates * Review 2.3.9.5 for Application Non-conformity (I-62/PDF 79) |
| 2.3.10 Fraud, Waste, and Abuse of NIH Grant Funds | I-63 | 80 | Provides examples of waste, fraud, and abuse and identifies civil and criminal statutes. |
| 2.4 The Peer Review Process | I-67 | 84 | Details the review process of NIH awards. |
| 2.5.1 Just-in-Time Procedures | I-73 | 90 | Discusses the JIT process and a link is provided for University procedures. |
| 3 Overview of Terms and Conditions | IIA-1 | 96 | Identifies standard award requirements |
| 4.1 Public Policy Requirements and Objectives | IIA-3 | 98 | Identifies and explains public policy requirements, objectives, and appropriation mandates applicable to NIH awards |
| 4.2 Appropriation Mandates | IIA-51 | 146 | Identifies Congressionally mandated requirements for NIH, including the salary cap |
| 5 The Notice of Award | IIA-55 | 150 | Identifies and explains provisions within the Notice of Award (NOA) |
| 7.2 The Cost Principles | IIA-61 | 156 | Identifies the applicable set of cost principles and explains the allowability of costs |
| 7.5 Cost Transfers, Overruns, and Accelerated and Delayed Expenditures | IIA-66 | 161 | Identifies requirements for cost transfers. A link is provided for the University’s procedures. |
| 7.6 Allocation of Costs and Closely Related Work | IIA-67 | 162 | Explains the proportional benefit rule of allocating costs |
| 7.9.1 Selected Items of Cost | IIA-68 | 163 | Provides NIH-specific selected items of costs and explains the allowability of each item of cost |
| 8.1.1 NIH Standard Terms of Award | IIA-97 | 192 | This section provides an explanation of standard terms and conditions for NIH Awards, including:   * The applicability and extent of carry over funds * Cost-related prior approvals * No-cost extensions * Direct charging salaries of administrative and clerical staff |
| 8.1.2 Prior Approval Requirements | IIA-101 | 196 | Identifies the situations requiring NIH prior approval |
| 8.1.2.5 Change in Scope | IIA-104 | 199 | Provides examples of scope changes and also discusses “significant rebudgeting” |
| 8.2 Availability of Research Results: Publications, Intellectual Property Rights, and Sharing Research Resources | IIA-115 | 210 | Discusses IP-related requirements. |
| 8.3.2 Program Income | IIA-129 | 224 | Details how to account for and treat program income |
| 8.4.1.1 Non-Competing Continuation Progress Reports | IIA-136 | 231 | Explains the requirements for progress reports for non-competing continuation awards |
| 8.4.1.2 Streamlined Non-Competing Award Process | IIA-137 | 232 | Explains the requirements for SNAP awards (also see handout on SNAP awards) |
| 8.6 Closeout | IIA-148 | 243 | Explains the closeout process, including the final progress report A link is also provided for University-related closeout information |
| 9 Multiple PD/PIs Applications and Awards | IIB-2 | 248 | Details special considerations for proposals and awards with multiple PIs |
| 11 Ruth L. Kirschstein National Research Service Awards | IIB-31 | 277 | Details special considerations for individual fellowship and institutional research training grant proposals and awards |
| 12 Research Career Development (“K”) Awards | IIB-80 | 326 | Details special considerations for K awards |
| 13 Modular Applications and Awards | IIB-101 | 347 | Details special considerations for proposals and awards with modular budgets |
| 14 Support of Scientific Meetings (Conference Grants) | IIB-103 | 349 | Details special considerations for conference grant proposals and awards |
| 15 Consortium Agreements | IIB-109 | 355 | Details special considerations for proposals and awards with consortium agreements (subawards) |
| 16 Grants to Foreign Organizations, International Organizations, and Domestic Grants with Foreign Components | IIB-114 | 360 | Details special considerations when the University has a foreign subrecipient |
| 18.5 SBIR and STTR Awards | IIB-127 | 373 | Details the special requirements for SBIR and STTR awards. 18.5.2.3 Minimum Level of Effort (page IIB-131/PDF 377) is critically important for PIs. |