



University of Colorado
Anschutz Medical Campus

Fitzsimons Building 3rd Floor Elevator Lobby
Lighting

Project Number (PN 22-154559)

PROJECT MANUAL

Issue for Construction



The RMH Group

12600 W Colfax Ave A-400

20720



University of Colorado
Denver | Anschutz Medical Campus

**Fitzsimons Building 3rd Floor Elevator Lobby
Lighting
Project Number: 22-154559**

Documented Quote

***** SMALL CONSTRUCTION PURCHASE PROGRAM *****

Advertisement Date: 7-13-22

**Issued by:
CU Anschutz Facilities Projects**



ADVERTISEMENT FOR DOCUMENTED QUOTES
General Contractor
State of Colorado
University of Colorado Anschutz Medical Campus (GFE)
Notice Number: PN 22-154559

Notice Status: OPEN
Publish Date: 7-13-22
Notice Revisions: 0
Revision Publish Date: N/A

Project No: 22-154559
Project Title: Fitzsimons Building 3rd Floor Elevator Lobby Lights

Estimated Construction Cost: \$75,000 to \$90,000

***** SMALL CONSTRUCTION PURCHASE PROGRAM *****

***** SSCP Pre-Qualified General Contractors Only *****

Settlement Notices

**For all projects with a total dollar value above \$150,000 Notice of Final Settlement is required by C.R.S. 38-26-107(1).
Final Settlement, if required, will be advertised via: Electronic Media**

Project Description

The University of Colorado Anschutz Medical Campus seeks Documented Quotes from SSCP Pre-Qualified General Contractors for this project. The project consists of removing existing lighting to be replaced with new designed upgraded lighting. Some ceiling work and painting also included and will be covered by a given allowance of \$19,000. The given allowance will work as follows: \$19,000 will be established as a given allowance to all bidding contractors and needs to be included in the overall bid. The purpose is to utilize this fund for painting and ceiling replacement to be determined by the successful contractor. If the allowance is inadequate for the scope an increase change modification *may* be negotiated. If the allowance has remaining funds those funds may be returned to the owner. Owner has the discretion to take back the allowance. Project scope includes, but is not limited to; miscellaneous demolition, electrical, replacement of acoustical ceiling tile, wall preparation, patching and painting. Please refer to the project construction documents (drawings and specifications) for detailed work scope.

Scope of Services

The **University of Colorado Anschutz Medical Campus** is using the Construction Purchase Order (P.O.) approach for project delivery from the University's Small Construction Purchase Program.

Minimum Requirements

Notice is hereby given to all interested parties that all firms will be required to meet all minimum requirements to be considered for this project. To be considered as qualified, interested firms shall have, as a minimum:

1. General Contractor must currently be listed on the University's SCPP Pre-Qualified Contractors List at:
<https://www.cuanschutz.edu/offices/facilities-management/construction-projects/small-construction-purchase-program>
 - a. For this specific project, electrical contractors do not have to be solicited from the SCPP list, however they need to be pre-qualified; and
2. Provided General Contracting services within the last three (3) years for at least two (2) projects each in excess of \$100,000 (hard costs), utilizing the expertise present in their Colorado Office; and
3. Demonstrated specific General Contracting experience in projects of similar scope and complexity; and
4. Demonstrated bonding capability up to \$100,000 for an individual project coincidentally with current and anticipated workloads; provide letter from surety that affirms this capacity; and
5. Bid Bond is required if project exceeds \$50,000; and
6. Provide a COVID-19 Operational plan for your firm and all subcontractors working for your firm. A plan is required to be submitted with your bid. The University may reject bids as non-responsive, if the plan submitted is not adequate after review by University leadership.

Firms meeting the minimum requirements may obtain the bidding documents on the website accompanying this advertisement.

University of Colorado Anschutz Medical Campus Facilities Projects – **Construction Bids/Request for Proposals** website:

<https://www.cuanschutz.edu/offices/facilities-management/construction-projects/RFP>

Colorado CORE/ColoradoVSS: <https://codpa-vss.cloud.cgifederal.com/webapp/PRDVSS2X1/AltSelfService>

Bid Documents

Project Bid Documents are available on the Facilities Projects website:
<https://www.cuanschutz.edu/offices/facilities-management/construction-projects/RFP>

Other Information

Preference shall be given to Colorado resident bidders and for Colorado labor, as provided by law.

Pre-Bid Meeting (mandatory)

The Pre-Bid Meeting and tour will take place **Wednesday July 20th, 2022** starting at 2:00 PM at Fitzsimons Building 3rd Floor Elevator Lobby located on the CU Anschutz Medical Campus as follows:

Pre-Bid Meeting Location:
Fitzsimons Building 3rd Floor Elevator Lobby
12800 East 19th Avenue
Aurora, CO 80045

Schedule/Submission Details

1. The schedule of events for the bid process and an outline of the schedule for the balance of the project is as follows:

Advertisement Published	<u>7/13/22</u>
Pre-Bid Meeting & Tour (CU Anschutz campus)	<u>7/20/22, 2:00 PM</u>
Date Email Questions Due	<u>7/22/22, 3:00 PM</u>
Date Email Answers Issued	<u>7/27/22 COB</u>
Bids Due	<u>7/29/22, 3:00 PM</u>
Bid Results Published (Facilities Projects website)	<u>8/1/22</u>
P.O. Issued	<u>8/2/22</u>
Anticipated Construction Start/Finish	<u>8/9/22 - 10/10/22</u>

2. All Bid submissions shall be **ONE (1) electronic copy PDF** received no later than **July 29th, 2022 at 3:00 PM**, and shall be submitted through the following website:

https://ucdenverdata.formstack.com/forms/rfp_rfq_submission

Comments: **Late bid submissions will be rejected without consideration. The University of Colorado Anschutz Medical Campus (GFE) and the State of Colorado assume no responsibility for costs related to the preparation of bids.**

3. The above schedule is tentative. Responding firms shall be notified of revisions in a timely manner by email. Respondents may elect to verify times and dates by email, but no earlier than 36 hours before the schedule date and time.

Point of Contact/Clarification

Name: Mark Guerrero
Agency: University of Colorado Anschutz Medical Campus (GFE)
Phone: (720) 668-4685
Email: Mark.C.Guerrero@CUAnschutz.edu

This Notice is also available on the web at
www.colorado.gov/pacific/osa/cdnotices

Media of Publication(s): **University of Colorado Anschutz Medical Campus Facilities
Projects Website**

Colorado CORE/ColoradoVSS

Publication Date: **7/13/2022**

APPENDICES:

Appendix A: Information for Bidders (SBP-6.12)

Appendix B: Bid Form (SBP-6.13)

Appendix B2: Bid Bond (SBP-6.14)

Appendix C: Notice Letter to Contractors – Vaccine Requirement

Appendix A

Information for Bidders (SBP-6.12)



STATE OF COLORADO
OFFICE OF THE STATE ARCHITECT
STATE BUILDINGS PROGRAMS

INFORMATION FOR BIDDERS

Institution or Agency: **University of Colorado Anschutz Medical Campus / Facilities Management
Planning and Design**

Project No./Name: **PN 22-154559 /Fitzsimons Building 3rd Floor Elevator Lobby Lights**

1. **BID FORM:** Bidders are required to use the Bid form attached to the bidding documents. Each bidder is required to bid on all alternates and indicate the time from the date of the Notice to Proceed to Substantial Completion in calendar days, and in addition, the bidder is required to indicate the period of time to finally complete the project from Substantial Completion to Final Acceptance, also in calendar days. Bids indicating times for Substantial Completion and Final Acceptance in excess of the number of days indicated in the Advertisement for Bids for completion of the entire Project may be found non-responsive and may be rejected. The bid shall not be modified or conditioned in any manner. Bids shall be submitted in sealed envelopes bearing the address and information shown below. If a bid is submitted by mail, this aforementioned sealed envelope should be enclosed in an outer envelope and sent to the following addressee:

INSERT NAME OF AGENCY AND ADDRESS WHERE BID SHOULD BE DELIVERED

The outside of the sealed inner envelope should bear the following information:

Project #
Project Name
Name and Address of Bidder
Date of Opening
Time of Opening

2. **INCONSISTENCIES AND OMISSIONS:** Bidders may request clarification of any seeming inconsistencies, or matters seeming to require explanation, in the bidding documents at least three (3) business days prior to the time set for the opening of Bids. Decisions of major importance on such matters will be issued in the form of addendum.
3. **APPLICABLE LAWS AND REGULATIONS:** The bidder's attention is called to the fact that all work under this Contract shall comply with the provisions of all state and local laws, approved state building codes, ordinances and regulations which might in any manner affect the work to be done or those to be employed in or about the work. Attention is also called to the fact that the use of labor for work shall be governed by the provisions of Colorado law which are hereinafter set forth in Articles 27 and 52E of the GENERAL CONDITIONS. This includes the requirements for apprenticeship and prevailing wage on Public Projects.
4. **BID SECURITY:** A bid security of not less than 5% of the bid price is required when the price is estimated to be \$50,000 or more. The security shall be a bond by a surety company, the equivalent in cash, or otherwise supplied in a form satisfactory for the State. Noncompliance requires the bid to be rejected as nonresponsive.
- 5.
6. **TAXES:** The bidder's attention is called to the fact that the Bid submitted shall exclude all applicable federal excise or manufacturers' taxes and all state sales and use taxes as hereinafter set forth in Article 9C of the GENERAL CONDITIONS.
7. **OR EQUAL:** The words "OR EQUAL" are applicable to all specifications and drawings relating to materials or equipment specified. Any material or equipment that will fully perform the duties specified, will be considered "equal", provided the bid submits proof that such material or equipment is of equivalent substance and function and is approved, in writing. Requests for the approval of "or equal" shall be made in writing at least five (5) business days prior to bid opening. During the bidding period, all approvals shall be issued by the Architect/Engineer in the form of addenda at least two (2) business days prior to the bid opening date.

8. **ADDENDA:** Owner/architect initiated addenda shall not be issued later than two (2) business days prior to bid opening date. All addenda shall become part of the Contract Documents and receipt must be acknowledged on the Bid form.
9. **METHOD OF AWARD - LOWEST RESPONSIBLE BIDDER:** If the bidding documents for this project require alternate prices, additive and/or deductible alternates shall be listed on the alternates bid form provided by the Principal Representative. Bidders should note the Method of Award is applicable to this Bid as stated below.
 - A. **DEDUCTIBLE ALTERNATES:** The lowest responsible Bid, taking into account the Colorado resident bidder preference provision of Colorado law, will be determined by and the contract will be awarded on the base bid combined with deductible alternates, deducted in numerical order in which they are listed in the alternates bid form provided by the Principal Representative. The subtraction of alternates shall result in a sum total within available funds. If this bid exceeds such amount, the right is reserved to reject all bids. An equal number of alternates shall be subtracted from the base bid of each bidder within funds available for purposes of determining the lowest responsible bidder.
 - B. **ADDITIVE ALTERNATES:** The lowest responsible Bid, taking into account the Colorado resident bidder preference provision of Colorado law, will be determined by and the contract will be awarded on the base bid plus all additive alternates added in the numerical order in which they are listed in the alternates bid form provided by the Principal Representative. The addition of alternates shall result in a sum total within available funds. If this bid exceeds such amount, the right is reserved to reject all bids. An equal number of alternates shall be added to the base bid of each bidder within funds available for purposes of determining the lowest responsible bidder.
 - C. **DEDUCTIBLE AND ADDITIVE ALTERNATES:** Additive alternates will not be used if deductible alternates are used and deductible alternates will not be used if additive alternates are used.
9. **NOTICE OF CONTRACTOR'S SETTLEMENT** – Agencies/institutions must indicate in the initial Solicitation (Advertisement for Bids, Documented Quotes, or Requests for Proposals) whether settlement will be advertised in newspapers or electronic media.

Appendix B

Bid Form (SBP-6.13)



STATE OF COLORADO
OFFICE OF THE STATE ARCHITECT
STATE BUILDINGS PROGRAMS

BID

Institution/Agency: University of Colorado Anschutz Medical Campus / Facilities Management Planning and Design

Project No./Name: PN 22-154559 /Fitzsimons Building 3rd Floor Elevator Lobby Lighting

Bidder Acknowledges Receipt of Addenda Numbers:
Bidder Anticipates Services outside the United States or Colorado:*
Bidder will comply with 80% Colorado Labor on project above \$500,000:
Bidder is a Service-Disabled Veteran Owned Small Business:*

Base Bid \$

(Refer to Bid Alternate Form SC-6.13.1 Attached, If Applicable)

Bidder's Time of Completion
a. Time Period from Notice to Proceed to Substantial Completion: 60 days
b. Time Period from Substantial Completion to Final Acceptance: 10 days
c. Total Time of Completion of Entire Project (a + b): 70days

- 1. BID: Pursuant to the advertisement by the State of Colorado dated... the undersigned bidder hereby proposes to furnish all the labor and materials and to perform all the work required for the complete and prompt execution of everything described or shown in or reasonably implied from the Bidding Documents, including the Drawings and Specifications, for the work and for the base bid indicated above. Bidders should include all taxes that are applicable.
2. EXAMINATION OF DOCUMENTS AND SITE: The bidder has carefully examined the Bidding Documents, including the Drawings and Specifications, and has examined the site of the Work, so as to make certain of the conditions at the site and to gain a clear understanding of the work to be done.
3. PARTIES INTERESTED IN BID: The bidder hereby certifies that the only persons or parties interested in this Bid are those named herein, and that no other bidder or prospective bidder has given any information concerning this Bid.
A. If the bidder anticipates services under the contract or any subcontracts will be performed outside the United States or Colorado, the bidder shall provide in a written statement which must include, but need not be limited to the type of services that will be performed at a location outside the United States or Colorado and the reason why it is necessary or advantageous to go outside the United States or Colorado to perform such services. (Does not apply to any project that receives federal moneys) *
B. For State Public Works projects per C.R.S. 8-17-101, Colorado labor shall be employed to perform at least 80% of the work. Colorado Labor means any person who is a resident of the state of Colorado at the time of the Public Works project. Bidders indicating that their bid proposal will not comply with the 80% Colorado Labor requirement are required to submit written justification along with the bid submission. (Does not apply to any project that receives federal moneys) *
C. A Service-Disabled Veteran Owned Small Business (SDVOSB) per C.R.S. 24-103-211, means a business that is incorporated or organized in Colorado or maintains a place of business or has an office in Colorado and is officially registered and verified by the Center for Veteran Enterprise within the U.S. Department of Veteran Affairs. Attach proof of certification along with the bid submission. *
D. Projects estimated to be \$1 million or more that do not receive federal funds are required to comply with the State Apprenticeship Utilization requirements C.R.S. 24-92-115
E. Projects estimated to be \$50,000 or more that do not receive federal funds are required to comply with the State Prevailing Wage requirements C.R.S. 24-92-201 through 210.
4. BID GUARANTEE: This Bid is accompanied by the required Bid Guarantee. Per C.R.S. §24-105-201 If the construction value is \$50,000 or greater a Bid Bond and Power of Attorney or Proposal Guaranty is required in an amount not less than 5% of the total Bid. You are authorized to hold said Bid Guarantee for a period of not more than thirty (30) days after the opening of the Bids for the work above indicated, unless the undersigned bidder is awarded the Contract, within said period, in which event the Office of the State Architect, may retain said Bid Guarantee, until the undersigned bidder

has executed the required Agreement and furnished the required Performance Bond, Labor and Material Payment Bond, and Insurance Policy.

5. **TIME OF COMPLETION:** The bidder agrees to achieve Substantial Completion of the Project from the date of the Notice to Proceed within the number of calendar days entered above, and in addition, further agrees that the period between Substantial Completion and Final Acceptance of the Project will not exceed the number of calendar days noted above. If awarded the Work, the bidder agrees to begin performance within ten (10) days from the date of the Notice to Proceed subject to Article 46, Time of Completion and Liquidated Damages of the General Conditions of the Contract, and agrees to prosecute the Work with due diligence to completion. The bidder represents that Article 7D of the Contractor's Agreement (SC-6.21) has been reviewed to determine the type and amount of any liquidated damages that may be specified for this contract.
6. **EXECUTION OF DOCUMENTS:** The bidder understands that if this Bid is accepted, bidder must execute the required Agreement and furnish the required Performance Bond, Labor and Material Payment Bond, Insurance Policy and Certificates of Insurance within ten (10) days from the date of the Notice of Award, and that the bidder will be required to sign to acknowledge and accept the Contract Documents, including the Drawings and Specifications.
7. **ALTERNATES:** Refer to the Information for Bidders (SC-6.12) for Method of Award for Alternates and use State Form SBP-6.13.1 Bid Alternates form to be submitted with this bid form if alternates are requested by the institution/agency in the solicitation documents.
8. **Submit wage rates** (direct labor costs) for prime contractor and subcontractor as requested by the institution/agency in the solicitation documents.
9. **The right is reserved to waive informalities and to reject any and all Bids.**

**Does not apply to projects for Institutions of Higher Education that have opted out of the State Procurement Code.*

SIGNATURES: If the Bid is being submitted by a Corporation, the Bid shall be signed by an officer, i.e., President or Vice-President. If a sole proprietorship or a partnership is submitting the Bid, the Bid shall so indicate and be properly signed.

Dated this _____ Day of _____, 20____

THE BIDDER:

Company Name

Address (including city, state and zip)

Phone number: _____

Name (Print) and Title

Signature

Appendix B2

Bid Bond (SBP-6.14)



STATE OF COLORADO
OFFICE OF THE STATE ARCHITECT
STATE BUILDINGS PROGRAM

COLORADO BID BOND

University of Colorado Anschutz Medical Campus / Facilities Management

Institution/Agency: **Planning and Design**

Project No./Name: **PN 22-154559 /Fitzsimons Building 3rd Floor Elevator Lobby Lighting**

KNOW ALL MEN BY THESE PRESENTS:

WHEREAS, _____ hereinafter called the "PRINCIPAL", is submitting a PROPOSAL for the above described project, to the STATE OF COLORADO, hereinafter called the "OBLIGEE".

WHEREAS, the Advertisement for Bids has required as a condition of receiving the Proposals that the Principal submit with the PROPOSAL GUARANTY in an amount not less than five per cent (5%) of the Proposal, which sum it is specifically agreed is to be forfeited as Liquidated Damages in the event that the Principal defaults in his obligation as hereinafter specified, and, in pursuance of which Requirement, this Bid is made, executed and delivered.

NOW THEREFORE, the Principal and _____ a corporation of the State of _____, duly authorized to transact business in Colorado, as Surety, are held and firmly bound unto the Obligee, in the sum of five per cent (5%) of the Principal's total bid price, lawful money of the United States for the payment of which sum, well and truly to be made to the Obligee, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

FURTHER THAT, a condition of the obligation that the Principal shall maintain his Proposal in full force and effect for thirty (30) days after the opening of the proposals for the project, or, if the Principal's Proposal is accepted, the Principal shall, within the prescribed time, execute the required Agreement, furnish the required Performance Bond, Labor and Material Payment Bond, Insurance Policy, Certificates of Insurance and Certification and Affidavit Regarding Illegal Aliens, then this obligation shall be null and void, otherwise it shall remain in full force and effect, and subject to forfeiture upon demand as Liquidated Damages.

IN WITNESS WHEREOF said Principal and Surety have executed this Bond, this _____ day of _____, A.D., 20__.

(Corporate Seal)

THE PRINCIPAL

Company Name _____

Address (including city, state and zip) _____

Phone _____

number: _____

ATTEST

Secretary _____

Name (Print) _____

Signature _____

Name (Print) and Title _____

SIGNATURES If the "Principal" is doing business as a Corporation, the Bid Bond shall be signed by an officer, i.e., President or Vice President. The signature of the officer shall be attested to by the Secretary and properly sealed.

If the "Principal" is an individual or a partnership, the Bid Bond shall so indicate and be properly signed.

(Corporate Seal)

THE SURETY

By _____

Secretary

Attorney-in-Fact

**THIS BOND MUST BE ACCOMPANIED BY POWER OF ATTORNEY, EFFECTIVELY DATED.
FAILURE TO PROVIDE A PROPERLY EXECUTED BID BOND WITH A PROPERLY EXECUTED POWER OF ATTORNEY
WILL RESULT IN THE BIDDER'S PROPOSAL BEING DEEMED NON-RESPONSIVE.**

Appendix C

Notice Letter to Contractors – Vaccine Requirement

**NOTICE TO STATE CONTRACTORS
VACCINATION REQUIREMENTS**



NOTICE LETTER TO CONTRACTORS TEMPLATE

October 06, 2021

All Contractors Working within CU Denver/Anschutz Medical Campus Facilities

Subject: Vaccination Requirements

Dear Contractor:

On August 31, 2021, pursuant to the [Sixth Amended Public Health Order 20-38](#), Limited COVID Restrictions, all State Contractors and State Contractor Workers who physically enter a State Facility shall comply with the Vaccination Requirements included in Section III of the Order. All State Contractors and State Contractor Workers, including individuals who have been infected with and recovered from COVID-19, shall have received their first dose in a two dose COVID-19 series no later than September 30, 2021 and be Fully Vaccinated by October 31, 2021.

On September 30, 2021 the [Seventh Amended Public Health Order 20-38](#) (PHO or Order), allowed for State Contractor Workers to participate in twice weekly COVID-19 testing if they have an employer approved medical or religious exemption or are unvaccinated.

You are receiving this letter because your company has a contract with University of Colorado Denver/Anschutz Medical Campus and, as part of the performance of that contract, certain of your company's personnel (including any subcontractor personnel) are required to or likely will provide contracted goods or services in person and on-site. Therefore, as a contractor, your company is subject to the vaccination or testing requirements set forth in the Order.

As permitted by the Order, University of Colorado Denver/Anschutz Medical Campus State Contractors shall assume responsibility for verification of full COVID-19 vaccination, approving all exemptions for medical or religious beliefs and determining any accommodations needed for such exemptions.

State Contractors shall verify that each of the identified State Contractor Workers is Fully Vaccinated, or that each of the identified State Contractor Works that is unvaccinated or has a medical or religious exemption is participating in twice weekly COVID-19 testing.

Please be aware that the University of Colorado Denver/Anschutz Medical Campus retains the right to inquire into compliance with the Order's requirements at any time, to include requesting a State Contractor to provide proof of vaccination or a recent negative COVID-19 test.

The State of Colorado values your firm as a contract partner to deliver needed goods or services. Accordingly, we are hopeful that your company will comply with the Order and help the state reduce the spread of the virus. In the meantime, please see COVID-19 Vaccination Requirements for State Contractors FAQs. (<https://dhr.colorado.gov/covid-19-vaccination-requirements-for-state-contractors>)

University of Colorado Denver/Anschutz Medical Campus

DIVISIONS 01, 26

FITZSIMONS UPGRADES

DIVISION 00/01	GENERAL REQUIREMENTS	PAGES
Section 00 45 17	Subcontractor Prequalification.....	16
Section 01 00 00	General Requirements	1
Section 01 10 00	Summary	6
Section 01 25 00	Substitution Procedures	3
Section 01 26 00	Contract Modification Procedures	3
Section 01 29 00	Payment Procedures	5
Section 01 31 00	Project Management and Coordination	16
Section 01 32 00	Construction Progress Documentation	7
Section 01 32 33	Photographic Documentation	3
Section 01 33 00	Submittal Procedures	10
Section 01 35 44	Special Procedures for Environmental Health and Safety and Fire and Life Safety	4
Section 01 40 00	Quality Requirements	10
Section 01 41 00	Regulatory Requirements	4
Section 01 42 00	References	17
Section 01 50 00	Temporary Facilities and Controls	11
Section 01 60 00	Product Requirements	5
Section 01 73 00	Execution	12
Section 01 77 00	Closeout Procedures	7
Section 01 77 00A	Closeout Procedures Appendix A	2
Section 01 77 00B	Closeout Procedures Appendix B	2
Section 01 78 23	Operation and Maintenance Data	8
Section 01 78 39	Project Record Documents	4
Section 01 79 00	Demonstration and Training	5
Section 01 91 13	General Commissioning Requirements	16
DIVISION 26	ELECTRICAL	PAGES
Section 26 05 00	Common Work Results for Electrical	7
Section 26 05 19	Low-Voltage Electrical Power Conductors and Cables	5
Section 26 05 29	Hangers and Supports for Electrical Systems	3
Section 26 05 33	Raceways and Boxes for Electrical Systems	7
Section 26 09 43	Network Lighting Controls	9
Section 26 51 00	Interior Lighting	4



SECTION 00 45 17 – SUBCONTRACTOR PREQUALIFICATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS (Not Applicable)

1.2 SUMMARY (Not Applicable)

1.3 DEFINITIONS (Not Applicable)

1.4 SUBCONTRACTOR PREQUALIFICATION

A. FORM: University of Colorado Denver | Anschutz Medical Campus “Subcontractor’s Statement of Experience.”

B. A copy of the above noted document is attached to the end of this section.

1.5 PROCEDURE (Not Applicable)

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 00 45 17



University of Colorado
Denver | Anschutz Medical Campus
Facilities Management

SUBCONTRACTOR'S STATEMENT OF EXPERIENCE

Project Name: _____

Project # _____

Project Manager: _____

Phone: _____

Email: _____

Architect/Engineer: _____

- This is a project specific qualification form. Subcontractor must fill this out on each project.

INDEX OF DOCUMENTS

•INFORMATION FORM	Page 1 of 13
•TYPES OF WORK	Page 2 of 13
•IDENTIFICATION FORM	Page 3, 4 of 13
•PERSONNEL OF ORGANIZATION FORM	Page 5 of 13
•PROJECT EXPERIENCE FORM	Page 6 of 13
•WORK CURRENTLY UNDER CONTRACT FORM	Page 7 of 13
•SURETIES FORM	Page 8 of 13
•CORPORATION / CO-PARTNERSHIP FORM	Page 9 of 13
•AFFIDAVIT FOR CORPORATION	Page 10 of 13
•AFFIDAVIT FOR CO-PARTNERSHIP	Page 11 of 13
•AFFIDAVIT FOR INDIVIDUAL	Page 12 of 13
•BIDDING INFORMATION	Page 13 of 13

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

INFORMATION FORM

STATEMENT OF _____
(Subcontractor)

ADDRESS _____
(Street or PO Box) (City) (State) (Zip)

TELEPHONE/FAX NO. _____
(telephone) (fax)

DATE OF EXPERIENCE STATEMENT _____

PRINCIPLE OWNER/OFFICER _____
(Names(s) and Official Title(s))

Please indicate below if your company qualifies as one of the following:

Minority Business Enterprise (MBE) YES ___ NO ___

Justification: _____

Woman-Owned Business Enterprise (WBE) YES ___ NO ___

Justification: _____

Small Business Enterprise (SBE) YES ___ NO ___

Justification: _____

Disadvantaged Business Enterprise (DBE) YES ___ NO ___

Justification: _____

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

TYPES OF WORK

- (1) If you are a General Contractor interested in bidding on all types of construction, mark "All Classes of Construction" only.
- (2) If you are interested in contracting directly with the University for certain types of work only, mark in the column provided after the particular types of work on which you wish to bid.

TYPES OF WORK	MARK WITH (X)
1. All Classes of Construction	
2. General	
3. Mechanical	
4. Electrical	
5. Excavating and Grading	
6. Concrete	
7. Structural Steel	
8. Steel and Miscellaneous Iron	
9. Painting and Decorating	
10. Laboratory Equipment	
11. Elevator Installation	
12. Plumbing	
13. Heating and Ventilating	
14. Air Conditioning	
15. Boiler and Equipment	
16. Environmental (Describe)	
17. Other (Describe)	
18. Other (Describe)	
19. Other (Describe)	
20. Other (Describe)	

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

IDENTIFICATION

(The signatory of this questionnaire guarantees the truth and accuracy of all statements and of all answers to questions hereinafter made.)

LEGAL NAME _____

PRINCIPAL OFFICE _____
(Street or PO Box) (City) (State) (Zip)

____ A Corporation ____ A Copartnership ____ An Individual __ Combination

GENERAL INFORMATION

A. Are you licensed as a contractor? Yes () No ()

Licensed in the name of	Location (City or State)	License No. & Type
----------------------------	-----------------------------	-----------------------

B. How many years has your organization been in business as a contractor under your present business name? _____

C. How many years experience in _____ construction work has your organization had? (Type)

(a) As a prime contractor? _____ (b) As a subcontractor?

D. Have you or your organization, or any officer or partner thereof, failed to complete a contract? _____

If so, give details _____

E. If you have a controlling interest in any firms presently qualified with the University, show names thereof:

F. We normally perform ____% of the work with our own forces.

List trades: _____

Where qualification is based on a combination of several organizations, show the experience and equipment of the combined organizations.

G. Has your firm been involved in any litigation in the past five (5) years? Yes () No ()
If yes, explain (listing type, kind, plaintiff, defendant, etc. and state the current status).

H. Are there any activities or interests of officers, principle stockholders, or employees of your firm or other factors which would place your firm and the University of Colorado Denver in a position of "Conflict of Interests"?

Yes () No () If yes, or in doubt, explain.

I. Has your firm ever been involved in any bankruptcy action as a bankrupt?

Yes () No () If yes, explain.

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

PERSONNEL OF ORGANIZATION

1. Name the persons with whom you have been associated in business as partners or business associates in each of the last five (5) years.

2. Show the construction experience of the principal individuals of your present organization in the following tabulation:

Individual's Name	Present Position or Office in Your Organization	Years of Construction Experience	Magnitudes and Type of Work	In What Capacity

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

PROJECT EXPERIENCE

Show the projects your organization has completed during the last five years in the following tabulation:

Year Completed	Project	Type of Work (See Page 2)	Location	Contract Value	Contracting Authority	In what Capacity

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

WORK CURRENTLY UNDER CONTRACT

Expected Completion Date	Project	Type of Work (See Page 1)	Location	Contract Value	Contracting Authority	Architect or Engineer

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

SURETIES

List the Surety Companies that have bonded your work for the past five (5) years:

Name of Surety and Name and Address of Agent	Project and Location	Period of Bond From	Period of Bond To	General Comments

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

CORPORATION / CO-PARTNERSHIP

CORPORATION:

(If a corporation, answer this:)

When Incorporated _____

In What State _____

President's Name _____

Vice President's Name _____

Secretary's Name _____

Treasurer's Name _____

CO-PARTNERSHIP:

(If a co-partnership, answer this:)

Date of Organization _____

State whether partnership is general, limited, or association

Name and address of each partner:

_____ (name) _____ (name)

_____ (address) _____ (address)

WHERE QUALIFICATION IS BASED ON A COMBINATION OF ORGANIZATIONS, THE APPROPRIATE (ATTACHED) AFFIDAVITS MUST BE EXECUTED FOR EACH MEMBER OF SUCH COMBINATION.

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

AFFIDAVIT FOR CORPORATION

_____ certifies and says: That he is
(Name of officer)

_____ of the _____ (Official capacity)

corporation submitting this statement of experience: that he/she has read the same, and that the same is true of his/her own knowledge: that the statement is for the purpose of inducing the University of Colorado Denver to supply the submittor with plans and specifications, and that any vendor, or other agency therein named is hereby authorized to supply the University of Colorado Denver with any information necessary to verify the statement: and that furthermore, should this statement at any time cease to properly and truly represent his/her condition in any substantial respect, it will refrain from further bidding on University work until it shall have submitted a revised and corrected statement.

I certify and declare under penalty of perjury that the foregoing is true and correct:

Subscribed on _____ at _____, _____, State of _____
(date) (city) (county)

NOTE: Use full corporate name and
attach corporate seal here. _____
(Officer must sign here)

NOTE: Statement will be returned unless affidavit is completed in EVERY respect.

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

AFFIDAVIT FOR CO-PARTNERSHIP

_____ certifies and says: That he/she is a partner of
(Name of partner)

the partnership of _____ : That said partnership
(Name of Firm)

submitted this statement of experience: that he/she has read the same, and that the same is true of his/her own knowledge: that the statement is for the purpose of inducing the University of Colorado Denver to supply the submitter with plans and specifications, and that any vendor, or other agency therein named is hereby authorized to supply the University of Colorado Denver with any information necessary to verify the statement: and that furthermore, should this statement at any time cease to properly and truly represent the condition of said firm in any substantial respect, it will refrain from further bidding on University work until they shall have submitted a revised and corrected statement.

I certify and declare under penalty of perjury that the foregoing is true and correct:

Subscribed on _____ at _____, _____, State of _____
(date) (city) (county)

The foregoing statement and affidavit are hereby offered.

(Member of Firm must sign here)

(Title)

(Remaining members of Firm sign here) (Name of Firm)

NOTE: Statement will be returned unless affidavit is completed in EVERY respect.

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

AFFIDAVIT FOR INDIVIDUAL

_____ doing business _____
(Name of individual) (Name of Firm)

certifies and says: That he/she is the person submitting this statement of experience: that he/she has read the same, and that the same is true of his/her own knowledge: that the statement is for the purpose of inducing the University of Colorado Denver to supply the submitter with plans and specifications, and that any vendor, or other agency therein named is hereby authorized to supply the University of Colorado Denver with any information necessary to verify the statement: and that furthermore, should this statement at any time cease to properly and truly represent his/her condition in any substantial respect, it will refrain from further bidding on University work until it shall have submitted a revised and corrected statement.

I certify and declare under penalty of perjury that the foregoing is true and correct:

Subscribed on _____ at _____, _____, State of _____
(date) (city) (county)

NOTE: Statement will be returned unless
affidavit is completed in EVERY respect. _____
(Applicant must sign here)

**UNIVERSITY OF COLORADO DENVER | ANSCHUTZ MEDICAL CAMPUS
SUBCONTRACTOR'S QUALIFICATION STATEMENT**

BIDDING INFORMATION

QUALIFICATION

The University of Colorado Denver will qualify or disqualify a Subcontractor on the basis of:

- (1) The information contained in this statement and
- (2) Past contract experience with the University.

NOTIFICATION

The University of Colorado Denver will, in writing, notify Contractors of their qualification or disqualification.

SECTION 01 00 00 - GENERAL REQUIREMENTS

PART 1 - GENERAL

1.1 SUMMARY

A. Design Requirements:

1. **Designer Responsibility:** Based on a series of meetings with the University Project Manager and applicable University staff, draft Division 01 Specification Sections consistent with State of Colorado Construction Contract provisions, General and Supplementary Conditions of the Contract, including requirements for administrative procedures consistent with the size and scope of the project.
2. **Content:** Include, as applicable, the following Sections:
 - a. SECTION 01 00 00 – SUMMARY.
 - b. SECTION 01 25 00 – SUBSTITUTION PROCEDURES.
 - c. SECTION 01 26 00 – CONTRACT MODIFICATION PROCEDURES.
 - d. SECTION 01 31 00 – PROJECT MANagements AND COORDINATION.
 - e. SECTION 01 32 33 – PHOTOGRAPHIC DOCUMENTATION.
 - f. SECTION 01 33 00 – SUBMITTAL PROCEDURES.
 - g. SECTION 01 35 00 – SPECIAL PROCEDURES.
 - 1) This Section includes special environment health and safety procedures unique to work at University projects.
 - h. SECTION 01 35 46 – INDOOR AIR QUALITY PROCEDURES
 - 1) This Section includes special procedures required by the University to maintain a high level of indoor air quality both during construction and subsequent to occupancy.
 - i. SECTION 01 40 00 – QUALITY REQUIREMENTS.
 - j. SECTION 01 41 00 – REGULATORY REQUIREMENTS.
 - k. SECTION 01 42 00 – REFERENCES.
 - l. SECTION 01 50 00 – TEMPORARY FACILITIES AND CONTROLS.
 - m. SECTION 01 60 00 – PRODUCT REQUIREMENTS.
 - n. SECTION 01 73 00 – CLOSEOUT PROCEDURES.
 - o. SECTION 01 74 19 – CONSTRUCTION WASTE MANAGEMENT AND DISPOSAL.
 - p. SECTION 01 78 23 – OPERATION AND MAINTENANCE DATA.
 - q. SECTION 01 78 39 – PROJECT RECORD DOCUMENTS.
 - r. SECTION 01 78 46 – EXTRA STOCK MATERIALS.
 - s. SECTION 01 79 00 – DEMONSTRATION AND TRAINING.
 - t. SECTION 01 81 13 – SUSTAINABLE DESIGN REQUIREMENTS.
 - u. SECTION 01 91 13 – GENERAL COMMISSIONING REQUIREMENTS.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 00 00

SECTION 01 10 00

SUMMARY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

1. Project information.
2. Work covered by Contract Documents.
3. Work by University.
4. Work under separate contracts.
5. University-furnished and installed products.
6. University-furnished, Contractor-installed products.
7. Access to site.
8. Coordination with occupants.
9. Work restrictions.
10. Specification and drawing conventions.

- B. Related Requirements:

1. Section 01 35 46 "Indoor Air Quality Procedures" for requirements and procedures related to maintaining air quality in adjacent occupied spaces and buildings.
2. Section 01 50 00 "Temporary Facilities and Controls" for limitations and procedures governing temporary use of University's facilities and for the provision of temporary construction barriers and dust partitions.

1.3 PROJECT INFORMATION

- A. Project Identification: 19-130958 / SB267 Upgrades to HVAC, VAV Distribution and Zone Control, B500 PH 1 thru 5 2015-128M19 (Project A) / 19-172601 B500 Improve Heating System PH 1 of 5 CM 2019-073M19 (Project C).

1. Project Location: Fitzsimons Building, 13001 East 17th Place, Aurora, CO 80045.

- B. Principal Representation: University of Colorado Denver.

1. University's Representative: Erik Balsley / erik.balsley@cuanschutz.edu.

- C. Architect/Engineer: RMH Group, Michelle Swanson / mswanson@rmhgroup.com.

1.4 WORK COVERED BY CONTRACT DOCUMENTS

- A. The Work of Project is defined by the Contract Documents and, in summary, briefly consists of the following:
1. Project A: New HVAC systems on the various floors to achieve a standard layout allowing for modern – energy efficient – temperature control. The new system will be VAV-distribution (variable-air-volume) with hot water reheat for better temperature control. New Siemens building automation control will be installed with the new distribution system. Testing, adjusting, and balancing of system air/water flow rates will be needed to confirm operation. Project C: New North / Central Heating Water Risers from the 1st floor to the eighth floor along with adding two new Heat Exchangers located on the 1st floor.

1.5 ACCESS TO SITE

- A. General: Contractor shall have limited and restricted use of Project site for construction operations as indicated on Drawings by the Contract limits and as indicated by requirements of this Section.
- B. Use of Site: Limit use of Project site to areas within the Contract limits indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
1. Adjust means and methods of construction based on site limits and restrictions.
 2. Locate staging areas only where permitted by University.
 3. As part of this Project, replace damaged lawns, sprinkler systems, sidewalks and any other existing site improvements within staging area and access ways.
- C. Construction Access and Travel:
1. Use only those entrances, exits, and travel ways on campus roads and within the building designated by University. Contractor's personnel are not permitted in non-designated areas of University's existing facilities. Use only designated travel ways for transporting demolition materials, new construction materials, tools and equipment.
 2. Use of other than designated travel ways on campus roads and within existing buildings requires a minimum of 20 business days prior approval by University.
 - a. Request variations to traffic flow including temporary fire lane, parking lot, sidewalk and road closures, regulatory signage, and traffic control devices in accordance with University "Procedure for Approval of Regulatory Signage, Traffic Control Devices and for Street Closures at the Anschutz Medical Campus" and "AMC Campus Street and Parking Lot Closure Request" available through University Project Manager.
 3. Access to the site will be as permitted by the University. Prearrange delivery and use of cranes, heavy trucks and other heavy equipment at least 72 hours prior to need through the University's Project Manager and University Police.
 4. Maintain access to fire lanes and campus operations at all times. Provide flag personnel during the ingress or egress of large equipment.
 - a. When fire lanes and/or access way must be temporarily disrupted notify University Police and University Parking and Transportation at least 20 business days in advance and reconfirm 72 hours in advance through the University's Project Manager.
 5. Arrange for and obtain all necessary permits from City of Aurora for any disruption to or temporary closures of public city streets. Coordinate procurement of permits with Anschutz Medical Campus Liaison and University Project Manager.

D. Construction Parking:

1. General: Contractor must pay for all parking and, if available, may be assigned parking spaces in designated contractor parking lots. Parking in lots designated for visitors and patients is not permitted. Make arrangements for designated spaces and payment for long term parking with University Parking Services through the University Project Manager.
2. Provide temporary parking or use designated areas of University's existing parking areas as applicable to the Project and in accordance with the following:
 - a. All parking on University property, including parking on University owned streets, is under the exclusive control and authority of University Parking and Transportation Services. Direct policy question to the department at (303) 724-2555.
 - b. There is no free parking on campus. Displacement or use of existing parking spaces by Contractor, either for parking or for staging, is a Contractor cost.
 - c. Use of existing parking spaces or other areas outside of Contractor's staging area must be approved in advance by University Parking and Transportation Services.
 - d. University Parking and Transportation Services may require and issue parking permits through the University Project Manager. Permits must be displayed and visible at all times while parked on the campus. Failure to display a permit will result in citations being written and possible removal of the vehicle from University property.
 - e. Keep all designated parking areas clean and free of litter and debris. University reserves the right to direct Contractor to clean areas not kept clean and orderly.
 - f. University Parking and Transportation Services may change parking assignments as deemed necessary, restrict the use of any space(s) or lot(s) at any time, and determine the hours of control and mode of operations for any parking area at any time. University Parking and Transportation Services may deny or revoke parking privileges to any person when deemed necessary and/or considered to be in the best interests of the University.
3. Parking on University property is at the Contractor's own risk. The University and any entity affiliated with it are not responsible for fire, theft, and damage to or loss of contractor's or subcontractor's vehicle or any article left therein. Only a license is granted to the user and no bailment is created.

E. Condition of Existing Building: Maintain portions of existing building affected by construction operations in a weathertight condition throughout construction period. Repair damage caused by construction operations.

1.6 COORDINATION WITH OCCUPANTS

- A. University may occupy site and both existing and adjacent building(s) during entire construction period. Cooperate with University during construction and sequence operations to minimize conflicts and facilitate University usage. Perform the Work so as not to interfere with University's day-to-day operations.
1. Maintain existing exits from existing and adjacent building, unless otherwise indicated.
 2. Maintain access to existing walkways, corridors, and other adjacent occupied or used facilities. Do not close or obstruct walkways, corridors, or other occupied or used facilities without written permission from University and approval of authorities having jurisdiction.
 3. Limit construction operations to those methods and procedures which will not adversely and unduly affect the working environment of University's occupied spaces, including noise, dust, odors, air pollution, ambient discomfort, poor lighting, hazards and other undesirable effects and conditions.
 4. Coordinate with University Project Manager to schedule jack hammering or activities producing dusty conditions, excessive fumes or odors during off-hours.

5. When work must be accomplished in areas containing existing furniture, upon a minimum of 3 business days notification of the University Project Manager, University will remove or relocate existing furniture.
6. Provide not less than 72 hours' notice to University Project Manager of activities that will affect University's operations. University Project Manager will coordinate with campus tenants.
 - a. Refer to "Work Restrictions" Article of this Section for procedures and notification requirements related to utility interruptions.
7. Provide temporary barriers and partitions, or other means as required to protect occupants of existing building and the general public from injury due to construction activities. Prevent the spread of dust and dirt to adjacent occupied areas and building.

1.7 WORK RESTRICTIONS

- A. Work Restrictions, General: Comply with restrictions on construction operations.
 1. Comply with limitations on use of public streets and with other requirements of authorities having jurisdiction.
 2. In planning and executing the Work, take into consideration the special needs of University patient care, teaching and research settings, for example, supply of critical utilities, noise and dust control, access to existing loading docks, occupied buildings, etc.
- B. Normal Working Hours: Limit work to normal working hours of 7:00 a.m. to 6:00 p.m., Monday through Friday.
 1. Notify University Project Manager of all proposed work outside of normal working hours. Include dates, times, names and contact information for contractors and subcontractor performing the Work with notification. University Project Manager will notify, as appropriate, other University personnel and departments including, but not limited to, Building Maintenance and Operations (BMO) Directors, BMO assigned representative, Campus Police and Facilities Management.
- C. Noise and Vibration: Coordinate operations that may result in high levels of noise and vibration, or other disruption to University occupancy with University.
 1. Noise during Normal Working Hours: Identify potentially disruptive construction activities at weekly Progress Meeting and adjust active time of day to reduce significant impacts on occupants.
 2. Noise outside Normal Working Hours: Schedule construction work or demolition work outside of normal working hours with University Project Manager at minimum of 72 hours in advance.
 - a. The maximum permissible noise level is 75 decibels (dBA), measured at the adjacent property line.
- D. Contractor Identification:
 1. Supervisory staff for the primary contractor must obtain an identification badge at the University Anschutz Medical Center (AMC) Building 500. Submit the University Access Control Badge Application form through University Project Manager. Submitted forms shall be complete with all required information including a letter on company letterhead confirming employee status with company and stating whether the company completes background testing and/or drug screening. Contractor supervision must display badge on site during construction activities.
 2. To the greatest extent possible, Contractor's and subcontractor's employees must wear a recognizable logo shirt or hardhat identifying them as members of the contractor's work force.

- E. Use of Existing Elevators: Use “freight” elevators only and protect finishes during transport. Restrict use exclusively to time required to move construction materials.
 - 1. Do not block corridors, aisles, passageways or doors leading to elevator except as, and only to the extent approved by University Project Manager.
 - F. Keys: Submit written request to University Project Manager on University Key Request Form.
 - 1. To the extent the need for keys is demonstrated and required to complete the Work, University Project Manager will issue keys to Contractor.
 - 2. Contractor is responsible for all costs related to lost or non-returned keys.
 - 3. Electrical, mechanical and sensitive research space may require University escort in lieu of issuing keys.
 - G. Dock Deliveries: Restrict use exclusively to time required to unload and move construction materials.
 - H. Existing Utility Interruptions: Do not interrupt water, sewer, plumbing, gas, steam, chilled water, oxygen, HVAC, electrical power, lighting, telephone and other related utilities serving facilities occupied by University without prior notice to and approval by the University. Coordinate and schedule interruptions in advance through the University Project Manager in strict conformance with University Utility Interruption/Outage Request Procedure.
 - 1. Form of Notice: University Utility Interruption and Start-up Request form.
 - 2. Time of Notice: Notice for major and minor outages as defined by the Utility Interruption/Outage Request Procedure is 8 business days for minor outages and 31 business days for major outages.
 - I. Fire Alarm and Fire Sprinkler Interruptions: When construction activities require interruption of fire alarm or fire sprinkler service, or when dust from construction activities is likely to cause accidental alarm, advise University Project Manager who will submit an interruption request.
 - 1. Form of Notice: University Fire Alarm/Sprinkler Disable Request Form.
 - 2. Time of Notice: Prior to noon on the day before the anticipated interruption.
 - J. Nonsmoking Campus: Smoking, chewing tobacco, and other related tobacco product use is not permitted at any location on campus or on any adjacent property.
 - K. University Policies Applying to All Contractors: Comply with University policies applying to contractors including drug policy, sexual harassment policy and tobacco free policy. Obtain copies of University policies from University Project Manager.
 - 1. Controlled Substances: Use of tobacco products and other controlled substances on Project site and surrounding Campus is not permitted.
 - L. Designated Eating Areas: Restrict consumption of food on project site to designated eating areas as approved by University Project Manager.
- 1.8 SPECIFICATION AND DRAWING CONVENTIONS
- A. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:

1. Imperative mood and streamlined language are generally used in the Specifications. The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.
 2. Specification requirements are to be performed by Contractor unless specifically stated otherwise.
 3. Words in the singular number include the plural and those in the plural include the singular.
 4. Words of any gender include any other gender.
- B. Division 01 General Requirements: Requirements of Sections in Division 01 apply to the Work of all Sections in the Specifications.
- C. Drawing Coordination: Requirements for materials and products identified on Drawings are described in detail in the Specifications. One or more of the following are used on Drawings to identify materials and products:
1. Terminology: Materials and products are identified by the typical generic terms used in the individual Specifications Sections.
 2. Abbreviations: Materials and products are identified by abbreviations published as part of the U.S. National CAD Standard and scheduled on Drawings.
 3. Keynoting: Materials and products may be identified by reference keynotes referencing Specification Section numbers found in this Project Manual.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 10 00

SECTION 01 25 00

SUBSTITUTION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for substitutions.
- B. Related Requirements:
 - 1. Section 01 21 00 "Allowances" for products selected under an allowance, if applicable.
 - 2. Section 01 23 00 "Alternates" for products selected under an alternate, if applicable.
 - 3. Section 01 60 00 "Product Requirements" for requirements for submitting comparable product submittals for products by listed manufacturers.

1.3 DEFINITIONS

- A. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents and proposed by Contractor.
 - 1. Substitutions for Cause: Changes proposed by Contractor that are required due to changed Project conditions, such as unavailability of product, regulatory changes, or unavailability of required warranty terms.
 - 2. Substitutions for Convenience: Changes proposed by Contractor or University that are not required in order to meet other Project requirements but may offer advantage to Contractor or University.

1.4 ACTION SUBMITTALS

- A. Substitution Requests: Submit each request for consideration in format and quantities specified in Section 01 33 00 "Submittal Procedures". Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
 - 1. Substitution Request Form: Use CSI Form 13.1A or Contractor-generated form with substantially the same information.
 - 2. Documentation: Show compliance with requirements for substitutions and the following, as applicable:
 - a. Statement indicating why specified product or fabrication or installation cannot be provided, if applicable.

- b. Coordination information, including a list of changes or revisions needed to other parts of the Work and to construction performed by University and separate contractors that will be necessary to accommodate proposed substitution.
 - c. Detailed comparison of significant qualities of proposed substitution with those of the Work specified. Include annotated copy of applicable Specification Section. Significant qualities may include attributes such as performance, weight, size, durability, visual effect, sustainable design characteristics, warranties, and specific features and requirements indicated. Indicate deviations, if any, from the Work specified.
 - d. Product Data, including drawings and descriptions of products and fabrication and installation procedures.
 - e. Samples, where applicable or requested.
 - f. Certificates and qualification data, where applicable or requested.
 - g. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners.
 - h. Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
 - i. Research reports evidencing compliance with building code in effect for Project, from ICC-ES.
 - j. Detailed comparison of Contractor's construction schedule using proposed substitution with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating date of receipt of purchase order, lack of availability, or delays in delivery.
 - k. Cost information, including a proposal of change, if any, in the Contract Sum.
 - l. Contractor's certification that proposed substitution complies with requirements in the Contract Documents except as indicated in substitution request, is compatible with related materials, and is appropriate for applications indicated.
 - m. Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.
3. Architect/Engineer's Action: If necessary, Architect/Engineer in consultation with the University will request additional information or documentation for evaluation within seven calendar days of receipt of a request for substitution. Architect/Engineer in consultation with the University will notify Contractor of acceptance or rejection of proposed substitution within 14 calendar days of receipt of request, or seven calendar days of receipt of additional information or documentation, whichever is later.
- a. Forms of Acceptance: Change Order.
 - b. Use product specified if Architect/Engineer does not issue a decision on use of a proposed substitution within time allocated.

1.5 QUALITY ASSURANCE

- A. Compatibility of Substitutions: Investigate and document compatibility of proposed substitution with related products and materials. Engage a qualified testing agency to perform compatibility tests recommended by manufacturers.

1.6 PROCEDURES

- A. Coordination: Revise or adjust affected work as necessary to integrate work of the approved substitutions.

PART 2 - PRODUCTS

2.1 SUBSTITUTIONS

- A. Substitutions for Cause: Submit requests for substitution immediately on discovery of need for change, but not later than 14 calendar days prior to time required for preparation and review of related submittals.
1. Conditions: Architect/Engineer in consultation with the University will consider Contractor's request for substitution when the following conditions are satisfied. If the following conditions are not satisfied, Architect/Engineer will return requests without action, except to record noncompliance with these requirements:
- a. Requested substitution is consistent with the Contract Documents and will produce indicated results.
 - b. Requested substitution provides sustainable design characteristics that specified product provided.
 - c. Substitution request is fully documented and properly submitted.
 - d. Requested substitution will not adversely affect Contractor's construction schedule.
 - e. Requested substitution has received necessary approvals of authorities having jurisdiction.
 - f. Requested substitution is compatible with other portions of the Work.
 - g. Requested substitution has been coordinated with other portions of the Work.
 - h. Requested substitution provides specified warranty.
 - i. If requested substitution involves more than one contractor, requested substitution has been coordinated with other portions of the Work, is uniform and consistent, is compatible with other products, and is acceptable to all contractors involved.
- B. Substitutions for Convenience: Not allowed.

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 25 00

SECTION 01 26 00

CONTRACT MODIFICATION PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for handling and processing Contract modifications.
- B. Related Requirements:
 - 1. Section 01 25 00 "Substitution Procedures" for administrative procedures for handling requests for substitutions made after the Contract award.
 - 2. Construction Manager/General Contractor Agreement CMGC, State Form SC-6.4 for definitions and contractual requirements related to contract modification procedures.

1.3 DEFINITIONS

- A. Change Order: A written order in compliance with the requirements of the Contract authorizing changes in the Work. For the purposes of this Section a Change Order and a Contract Amendment shall have the same meaning.

1.4 INFORMATIONAL SUBMITTALS

- A. Contractor's Authorized Signatory: Submit name of individual authorized to accept changes and responsible for informing others employed by Contractor of changes in the Work.

1.5 MINOR CHANGES IN THE WORK

- A. Architect/Engineer will issue supplemental instructions authorizing minor changes in the Work, not involving adjustment to the Contract Sum or the Contract Time.

1.6 CHANGE ORDER BULLETIN

- A. University-Initiated Change Order Bulletin: Architect/Engineer will issue a detailed description of proposed changes in the Work that may require adjustment to the Contract Sum or the Contract Time. If necessary, the description will include supplemental or revised Drawings and Specifications. It will also state the time period for which the request will remain valid.

1. Change Order Bulletin Form: State Form SC-6.311 available on the website of the Office of the State Architect.
2. Work Change Order Bulletins issued by Architect/Engineer are not instructions either to stop work in progress or to execute the proposed change.

B. Contractor-Initiated Change Order Bulletin: If latent or changed conditions require modifications to the Contract, Contractor may initiate a claim by submitting a request for a change to Architect/Engineer.

1. Change Order Bulletin Form: State Form SC-6.311 available from the website of the Office of the State Architect.
2. Include a statement outlining reasons for the change and the effect of the change on the Work. Provide a complete description of the proposed change. Indicate the effect of the proposed change on the Contract Sum and the Contract Time.

1.7 CHANGE ORDER PROPOSAL

A. Change Order Proposal: In response to a University-Initiated Change Order Bulletin or accompanying a Contractor-Initiated Change Order Bulletin, submit a quotation estimating cost adjustments to the Contract Sum and the Contract Time necessary to execute the change described.

1. Change Order Proposal Form: State Form SC-6.312 available from the website of the Office of the State Architect.
2. Labor Rates: Prior to submitting first Change Order Proposal, submit bare, unburdened hourly labor rates for all contractor and subcontractor labor categories; submit itemized breakdown of all applicable additional labor benefit costs to be added to the bare labor cost to arrive at the total burdened hourly labor cost.
3. Equipment Costs: Provide cost backup for all equipment clearly indicating equipment billing rates and sufficient to demonstrate, as determined by the University Project Manager, that proposed rates are competitive and reasonable in all cases. Submit completed Change Order Proposal Form within the requested timeframe. Include backup documentation to support calculations consistent with Contract provisions, including but not limited to, the following:
 - a. Contractor and Subcontractor labor, material and equipment costs including:
 - 1) A list of quantities of products required or eliminated and unit costs, with total amount of purchases and credits to be made. If requested, furnish survey data to substantiate quantities.
 - 2) Applicable taxes, delivery charges, equipment rental, and amounts of trade discounts.
 - 3) Costs of labor and supervision directly attributable to the change and as permitted by the terms and conditions of the General Contract for Construction.
 - b. Contractor and Subcontractor overhead and profit.
 - c. Contractor's bond cost.
 - d. Justification for Change in Contract Time: An updated Contractor's construction schedule that indicates the effect of the change, including, but not limited to, changes in activity duration, start and finish times, and activity relationship. Use available total float before requesting an extension of the Contract Time.
4. Maintain detailed records of work completed. Provide complete information for evaluation of proposed changes and to substantiate proposed changes in Contract Sum or Contract Time.

1.8 ADMINISTRATIVE CHANGE ORDERS

- A. Allowance Adjustment: See Section 01 21 00 "Allowances" for administrative procedures for preparation of Change Order Proposal for adjusting the Contract Sum to reflect actual costs of allowances.
- B. Unit-Price Adjustment: See Section 01 22 00 "Unit Prices" for administrative procedures for preparation of Change Order Proposal for adjusting the Contract Sum to reflect measured scope of unit-price work.

1.9 CHANGE ORDER PROCEDURES

- A. Submit three signed copies of Change Order Proposal to Architect/Engineer for review.
 - 1. University-Initiated Change Order Bulletins: University and Architect/Engineer will evaluate Contractor's Change Order Proposal and either request additional information or suggest modifications. Based on this review and evaluation University will either accept or reject the proposal.
 - 2. Contractor-Initiated Change Order Bulletins: Architect/Engineer will evaluate Contractor's claim based on the terms and conditions of the Contractor Agreement and General Conditions of the Construction Contract, as applicable.
 - 3. Architect/Engineer's Action: When satisfied as to the accuracy and completeness of the Change Order Proposal, the Architect/Engineer will sign all three copies and forward to the University for consideration.
- B. On University's approval of a Change Order Proposal, Architect/Engineer will prepare, sign and forward three copies of a Change Order, State Form SC-6.31 available from the website of the Office of the State Architect, for signature by the Contractor. Contractor then forwards all three copies of signed Change Order to the University for signature and distribution of fully executed copies to Architect/Engineer and Contractor for record.
- C. Upon receipt of a fully executed Change Order, promptly perform the following:
 - 1. Revise Schedule of Values on the Application for Payment Form by indicating each authorized Change Order as a separate line item and adjusting the Contract Sum as shown on the Change Order.
 - a. University will not pay for changes to the Work until authorized by a Change Order signed by all parties.
 - 2. Revise the Progress Schedule to reflect any change in the Contract Time.
 - 3. Enter changes in the Project Record Documents.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 26 00

SECTION 01 29 00

PAYMENT PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements necessary to prepare and process Applications for Payment.
- B. Related Requirements:
 - 1. Section 01 21 00 "Allowances" for procedural requirements governing the handling and processing of allowances.
 - 2. Section 01 22 00 "Unit Prices" for administrative requirements governing the use of unit prices.
 - 3. Section 01 26 00 "Contract Modification Procedures" for administrative procedures for handling changes to the Contract.
 - 4. Section 01 32 00 "Construction Progress Documentation" for administrative requirements governing the preparation and submittal of the Contractor's construction schedule.
 - 5. For projects required to obtain LEED certification, Division 01 Section "Sustainable Design Requirements" for administrative requirements governing submittal of cost breakdown information required for LEED documentation.

1.3 DEFINITIONS

- A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

1.4 SCHEDULE OF VALUES

- A. Coordination: Coordinate preparation of the schedule of values with preparation of Contractor's construction schedule. Schedule of values report from cost-loaded Critical Path Method Schedule prepared in accordance with Section 01 32 00 "Construction Progress Documentation" may serve to satisfy requirements for the schedule of values.
 - 1. Coordinate line items in the schedule of values with other required administrative forms and schedules, including the following:
 - a. Application for Payment forms with continuation sheets.
 - b. Submittal schedule.
 - c. Items required to be indicated as separate activities in Contractor's construction schedule.
 - 1) Construction Manager's Fee.

- 2) Estimated Project General Conditions Costs.
2. Submit schedule of values and hold a conference with the Architect/Engineer and University Project Manager to finalize the schedule of values at earliest possible date, but no later than 10 business days before the date scheduled for submittal of initial Certificates and Applications for Payment.
- B. Format and Content: Use Project Manual table of contents as a guide to establish line items for the schedule of values. Provide at least one line item for each Specification Section.
1. Identification: Include the following Project identification on the schedule of values:
 - a. Project name and location.
 - b. Name of Architect/Engineer.
 - c. Architect/Engineer's project number.
 - d. Contractor's name and address.
 - e. Date of submittal.
 2. Arrange the schedule of values in tabular form with separate columns to indicate the following for each item listed:
 - a. Related Specification Section or Division.
 - b. Description of the Work.
 - c. Name of subcontractor.
 - d. Name of manufacturer or fabricator.
 - e. Name of supplier.
 - f. Change Orders (numbers) that affect value.
 - g. Dollar value of the following, as a percentage of the Contract Sum to nearest one-hundredth percent, adjusted to total 100 percent.
 - 1) Labor.
 - 2) Materials.
 - 3) Equipment.
 3. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with Project Manual table of contents. Provide multiple line items for principal subcontract amounts in excess of five percent of the Contract Sum.
 - a. Include separate line items under Contractor and principal subcontracts for LEED documentation, where applicable, and other Project closeout requirements in an amount totaling five percent of the Contract Sum and subcontract amount.
 4. Round amounts to nearest whole dollar; total shall equal the Contract Sum.
 5. Provide a separate line item in the schedule of values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
 - a. Differentiate between items stored on-site and items stored off-site. If required, include evidence of insurance.
 6. Each item in the schedule of values and Applications for Payment shall be complete. Include total cost and proportionate share of general overhead and profit for each item.
 - a. Temporary facilities and other major cost items that are not a direct cost of actual work-in-place shall be shown as separate line items in the schedule of values.

7. Schedule Updating: Update and resubmit the schedule of values before the next Applications for Payment when Change Orders result in a change in the Contract Sum.

1.5 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment following the initial Application for Payment shall be consistent with previous applications and payments as certified by Architect/Engineer and paid for by University.
 1. Initial Application for Payment, Application for Payment at time of Substantial Completion, and final Application for Payment involve additional requirements.
- B. Pay Application and Schedule Review Meetings: Conduct in accordance with Section 01 31 00 "Project Management and Coordination." Provide draft application for payment and draft schedule update reflecting work accomplished during previous pay period. Review progress achieved; discuss and resolve issues affecting the progress; and review critical activities to be accomplished during the following 90 calendar days.
 1. Jobsite Walk: When required, conduct a walk of the jobsite to confirm progress related to any activity in question.
- C. Monthly Schedule Reporting: Upon conclusion of the Pay Application and Schedule Review Meeting, but not later than the 28th of the month, update the Construction Schedule and submit the Pay Application.
- D. Payment Application Times: Submit Application for Payment to Architect/Engineer by the first day of the month and no more than five (5) business days prior thereto. The period covered by each Application for Payment is per the date indicated in the Application.
- E. Payment Application Review: The Architect/Engineer shall, within five (5) business days after the receipt of each Certificate and Application for Payment, review the Project Application for Payment and either execute a Project Certificate for Payment to the University or notify the Contractor in writing of the reasons for withholding a Certificate.
 1. All applications for payment, except the final application, and the payments there under, shall be subject to correction in the next application rendered following the discovery of any error
- F. Application for Payment Forms: Use State Form SBP-7.2 "Certification for Contractor Payment."
- G. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect/Engineer will return incomplete applications without action.
 1. Entries shall match data on the schedule of values and Contractor's construction schedule. Use updated schedules if revisions were made.
 2. Include amounts for work completed following previous Application for Payment, whether or not payment has been received. Include only amounts for work completed at time of Application for Payment.
 3. Include amounts of Change Orders issued before last day of construction period covered by application.
 4. Indicate separate amounts for work being carried out under University-requested project acceleration.
- H. Stored Materials: Include in Application for Payment amounts applied for materials or equipment purchased or fabricated and stored, but not yet installed. Differentiate between items stored on-site as

approved in advance by the University Project Manager and items stored at an off-site location previously agreed upon in writing.

1. Provide certificate of insurance, evidence of transfer of title to University, and consent of surety to payment, for stored materials.
 2. Provide supporting documentation that verifies amount requested, such as paid invoices. Match amount requested with amounts indicated on documentation; do not include overhead and profit on stored materials.
 3. Provide summary documentation for stored materials indicating the following:
 - a. Value of materials previously stored and remaining stored as of date of previous Applications for Payment.
 - b. Value of previously stored materials put in place after date of previous Application for Payment and on or before date of current Application for Payment.
 - c. Value of materials stored since date of previous Application for Payment and remaining stored as of date of current Application for Payment.
- I. Transmittal: Submit three signed and notarized original copies of each Application for Payment to Architect/Engineer by a method ensuring receipt. One copy shall include waivers of lien and similar attachments if required.
1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
- J. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:
1. List of subcontractors.
 2. Schedule of values.
 3. For projects required to obtain LEED certification, LEED submittal for project materials cost data.
 4. Contractor's construction schedule (preliminary if not final).
 5. Products list (preliminary if not final).
 6. For projects required to obtain LEED certification, LEED action plans.
 7. Schedule of unit prices.
 8. Submittal schedule (preliminary if not final).
 9. List of Contractor's staff assignments.
 10. List of Contractor's principal consultants.
 11. Copies of building permits.
 12. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
 13. Initial progress report.
 14. Report of preconstruction conference.
- K. Application for Payment at Substantial Completion: After Architect/Engineer issues the Certificate of Substantial Completion, submit an Application for Payment showing 100 percent completion for portion of the Work claimed as substantially complete.
1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
 2. This application shall reflect Certificate(s) of Substantial Completion issued previously for University occupancy of designated portions of the Work.
- L. Final Payment Application: After completing Project closeout requirements, submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:

1. All items on Pre-acceptance Checklist (State Form SBP-05) have been completed.
2. Notice of Acceptance (State Form SBP-6.27) has been issued.
3. Statements to support local sales tax refunds, if any submitted.
4. Notice of Contractor's settlement has been published.
5. Evidence of completion of Project closeout requirements, including but not limited to:
 - a. Submittal of Record Documents.
 - b. Submittal of all Operation and Maintenance Manuals.
 - c. Completion of all required demonstration and training.
6. Updated final statement, accounting for final changes to the Contract Sum.
7. Evidence that claims have been settled.
8. Final meter readings for utilities, a measured record of stored fuel, and similar data as of date of Substantial Completion or when University took possession of and assumed responsibility for corresponding elements of the Work.
9. Final liquidated damages settlement statement.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 29 00

SECTION 01 31 00

PROJECT MANAGEMENT AND COORDINATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative provisions for coordinating construction operations on Project including, but not limited to, the following:
 - 1. General coordination procedures.
 - 2. Coordination drawings.
 - 3. Requests for Information (RFIs).
 - 4. Project Web site.
 - 5. Project meetings.
- B. Related Requirements:
 - 1. Section 01 32 00 "Construction Progress Documentation" for preparing and submitting Contractor's construction schedule.
 - 2. Section 01 73 00 "Execution" for procedures for coordinating general installation and field-engineering services, including establishment of benchmarks and control points.
 - 3. Section 01 77 00 "Closeout Procedures" for coordinating closeout of the Contract.

1.3 DEFINITIONS

- A. RFI: Request from Contractor seeking information required by or clarifications of the Contract Documents.

1.4 INFORMATIONAL SUBMITTALS

- A. Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Within 21 calendar days of Notice of Award submit, as complete as possible, a preliminary list to include all major subcontractors. Augment, complete and submit the final subcontractor list within 60 calendar days of Notice of Award, unless a longer duration is approved by the Architect/Engineer. Include the following information in tabular form:
 - 1. Name, address, and telephone number of entity performing subcontract or supplying products.
 - 2. Number and title of related Specification Section(s) covered by subcontract.
 - 3. Drawing number and detail references, as appropriate, covered by subcontract.

- B. Key Personnel Names: Within 14 calendar days after Notice to Proceed, submit a list of key personnel assignments, including superintendent and other personnel in attendance at Project site. Identify individuals and their duties and responsibilities; list addresses and telephone numbers, including home, office, and cellular telephone numbers and e-mail addresses. Provide names, addresses, and telephone numbers of individuals assigned as alternates in the absence of individuals assigned to Project.

1.5 GENERAL COORDINATION PROCEDURES

- A. General: Each entity involved in the performance of work for the entire Project shall cooperate in the overall coordination of the Work; promptly, when requested, furnish information concerning its portion of the Work; and respond promptly and reasonably to the decisions and requests of persons designated with coordination, supervision, administrative or similar authority.

1. University Standard Project Management Forms

- a. Where applicable, obtain from the University Project Manager and use the following University Standard Forms:

- 1) Preconstruction Agenda
- 2) Change Order Log with Contingency Codes
- 3) Access Control Badge Application Form
- 4) Utility Interruption Request Form
- 5) Utility Start-Up Request Form
- 6) Fire Alarm/Sprinkler Disable Request Form
- 7) Hot Work Permit Form
- 8) Anschutz Medical Campus (AMC) Street and Parking Lot Closure Form
- 9) Indoor Air Quality (IAQ) Planning Checklist
- 10) Indoor Air Quality (IAQ) Inspection Checklist

2. Site Utilization:

- a. In addition to the site utilization limitations and requirements indicated in Section 01 10 00 "Summary" and indicated by the Contract Documents; administer the allocation of available space equitably among entities needing access and space, so as to produce the best overall efficiency in the performance of the total work of the project. Schedule deliveries so as to minimize the space and time requirements for storage of materials and equipment on the site; but do not unduly risk delays in the work.
- b. Concurrent with work of the Contractor, other contractors, suppliers, and the University personnel may be working in relatively close proximity. The Contractor is solely responsible for coordinating their work with that of other contractors and will make no claims for failure to do so.

3. Layout:

- a. It is recognized that the Contract Documents are diagrammatic in showing certain physical relationships of the various elements and systems and their interfacing with other elements and systems. Establishment and coordination of these relationships is the exclusive responsibility of the Contractor. Do not scale the drawings. Lay out and arrange all elements to contribute to safety, efficiency and to carry the harmony of design throughout the Work. In case of conflict or undimensioned locations, verify required positioning with Architect/Engineer.

4. Substrate Examination:

- a. The Installer of each element of the work must examine the conditions of the substrate to receive the work, dimensions and spaces adjacent, tolerances, interfacing with other elements and services, and the conditions under which the work will be performed, and must notify the Contractor in writing of conditions detrimental to the proper or timely completion of the work. Do not proceed with the work until unsatisfactory conditions have been corrected in a manner acceptable to the Installer.
5. Large and Heavy Equipment:
- a. Contractor to coordinate with University Project Manager requirements to be maintained for the subsequent entry of large equipment units. Coordinate the movement of heavy items with shoring and bracing, so that the building structure will not be overloaded during the movement and installation.
 - b. Where equipment or products to be installed on the roof are too heavy to be hand-carried, do not transport across roof deck; position by crane or other device so as to avoid overloading the roof deck.
- B. Coordination: Coordinate construction operations included in different Sections of the Specifications to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations, included in different Sections of the Specification that depend on each other for proper installation, connection, and operation.
1. Contractor Communication with the University: Direct all communication with the University through the University Project Manager.
 2. Schedule construction operations in sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
 3. Coordinate installation of different components to ensure maximum performance and accessibility for required maintenance, service, and repair.
 4. Make adequate provisions to accommodate items scheduled for later installation.
- C. Prepare memoranda for distribution to each party involved, outlining special procedures required for coordination. Include such items as required notices, reports, and list of attendees at meetings.
1. Prepare similar memoranda for University and separate contractors if coordination of their Work is required.
- D. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities to avoid conflicts and to ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
1. Preparation of Contractor's construction schedule.
 2. Preparation of the schedule of values.
 3. Installation and removal of temporary facilities and controls.
 4. Delivery and processing of submittals.
 5. Progress meetings.
 6. Preinstallation conferences.
 7. Project closeout activities.
 8. Startup and adjustment of systems.
- E. Coordination Of Submittals: Prior to transmittal to the Architect/Engineer, review shop and erection drawings, product data, and samples for compliance with Contract Documents and for coordination among work of all Sections of the Specifications. Coordination of submittals shall include, but not be limited to the following:

1. Verification of field dimensions and clearances and relationship to available space and anchors.
2. Verification of compatibility with equipment and work of other Sections, electrical characteristics, and operational control requirements.
3. Verification of motor voltages and control characteristics.
4. Coordination of controls, interlocks, wiring of pneumatic switches, and relays.
5. Coordination of wiring and control diagrams.
6. Review of the effect of any changes on work of other Sections.
7. For any item to be installed in or on a finished surface, certify that applicable Contract Documents have been checked and that the item submitted is compatible with the surface finish on which it is to be installed.
8. Equipment and material submittals shall show sufficient data to indicate complete compliance with Contract Documents as follows:
 - a. Proper sizes and capabilities.
 - b. Ability to fit in the available space in a manner that will allow proper service.
 - c. Construction methods, materials, and finishes.
 - d. List of accessories.

F. Special Coordination Requirements for Mechanical and Electrical Work:

1. General: Provide necessary work and services required to coordinate the complete installation of heating, ventilating, and air conditioning (HVAC) equipment and systems; plumbing systems and fixtures; electrical equipment, fixtures, and systems; and other equipment or systems containing motors and controls or requiring connection to mechanical or electrical systems; all so that the various systems perform as indicated and are in harmony with other project Work.
2. Contract Drawings:
 - a. Drawings are schematic in nature, and indicate in general how the various components are integrated with other parts of the building. Coordinate exact locations by job measurement, by verifying the requirements of other trades, and by review of Contract Documents.
3. Mechanical and Electrical Drawings indicate general routing of the various parts of the systems, but do not indicate all sizes, fittings, offsets, and runouts which are required. Coordinate correct sizes, fittings, offsets, and runouts required to fit systems into allocated spaces. Coordinate locations of all light fixtures, vents, and supply grilles to conform to the ceiling grid system or other modular finishes.
4. Coordinate installation of mechanical and electrical work in compliance with the following requirements:
 - a. Install piping, ductwork and similar services straight and true, aligned with other work, close to walls and overhead structure, allowing for insulation, concealed (except where indicated as exposed) in occupied spaces, and out-of-the-way with maximum passageway and headroom remaining in each space.
 - b. Install electrical work in a neat, organized manner with conduit and similar services in or parallel with building lines, and concealed unless indicated as exposed.
 - c. For all work maintain maximum practical overhead clearance but not less than 6" above ceiling. Where exposed, maintain 7'-0" minimum clearance.
 - d. Arrange all work to facilitate maintenance and repair or replacement of equipment. Locate services requiring maintenance on valves and similar units in front of services requiring less maintenance. Connect equipment for ease of disconnecting, with minimum of interference with other work.
 - e. Provide space to permit removal of coils, tubes, fan shafts, filters, other parts which may require replacement.
 - f. Locate operating and control equipment and devices for easy access. Furnish access panels where units are concealed by finishes and similar work.

- g. Integrate mechanical work in ceiling plenums with suspension system, light fixtures and other work, so that required performances of each will be achieved.
 - h. Give the right-of-way to piping systems required to slope for drainage over other service lines and ductwork.
 - i. Advise other trades of openings required in their work for accommodation of mechanical and electrical elements. Provide and place sleeves and anchors required in other work.
5. Access to Equipment: Except where located above accessible ceilings, provide access panels wherever access is required to concealed valves, controls, dampers, pull boxes and other devices requiring ongoing or periodic access.
- a. Acceptable types of access panels are specified in Division 08.
 - b. Each trade is responsible for providing access panels needed for access to their equipment and coordinating installation with other Division 03, 04, 06 and 09 trades.
 - c. Coordinate requirements and obtain approval of locations from Architect/Engineer.

G. Compatibility of Systems:

- 1. Provide products and equipment which are compatible with other work requiring mechanical/electrical interface including electrical connections, control devices, water, drain and other piping connections. Verify electrical characteristics, fuel requirements and other interface requirements before ordering equipment and resolve conflicts that may arise.
- 2. Coordinate equipment, mechanical and electrical work in accordance with the following schedule:

<u>ITEM</u>	<u>FURNISHED BY</u>	<u>MOUNTED BY</u>	<u>LOW VOLTAGE WIRED BY</u>	<u>POWER WIRED & CONNECTED BY</u>	<u>LOW VOLTAGE CONTROL CONNECTED BY</u>
Equipment motors	I	MI	MI	EI	--
Motor starters, contactors and overload heaters	MI	EI	EI	EI	MI
Fused and unfused disconnect switches	EI**	EI**	EI**	EI	--
Manual operating switches, speed switches, push-button stations and pilot lights	MI	EI	EI	EI	EI
Duct detectors	EI	MI	MI	EI	MI
Control relays and transformers	MI	MI	MI	EI	MI
Thermostats, time switches*	MI	MI	MI	EI	MI
Temperature control panels	MI	MI	MI	EI	MI
Motor and solenoid valves, damper motors, PE and EP switches	MI	MI	MI	--	MI
Refrigeration equipment, cooling tower and controls	MI	MI	MI	EI	MI

Electric meters	EI	EI	EI	EI	MI
Steam meters	MI	MI	MI	MI	MI
Chilled water meters,	MI	MI	MI	MI	MI
Water meters	MI***	MI	MI	MI	MI
Natural Gas	MI	MI	MI	MI	MI
<p>I = Installer of equipment requiring electrical service EI = Electrical Installer MI = Mechanical Installer</p> <p>* Motor driven units which are controlled from line voltage automatic controls such as line voltage thermostats, float switches or time switches which conduct full load current of the motor shall be wired for both power and control circuit under the electrical contract. However, if the control device does not conduct full load current, then the responsibility shall be that set forth in the above schedule. (Example: a 208 volt, 3-phase, 3- wire motor requires 120 volt control. Electrical Installer shall furnish a 120 volt circuit for control and 208 volt circuit for power and wire the power circuit. Mechanical Installer shall wire the control circuit.)</p> <p>** Disconnects for AH units are factory mounted.</p> <p>***Building Service meter provided by Civil. Any sub meter provided by MI. Coordinate meter requirements with utility for remote monitoring by 23 09 00 – Instrumentation and Controls.</p>					

H. Special Coordination Requirements for Exterior Envelope Work:

1. General: Provide necessary work and services required to coordinate the complete and continuous installation of the building’s heat, air and moisture barriers. Exterior building envelope construction to be coordinated includes, but is not limited to, below-grade walls, slabs-on-grade, exterior opaque walls, windows, curtain walls, roofs, and skylights.
2. Contract Drawings:
 - a. Drawings indicate general concepts and design intent for continuity of heat, air and moisture barriers at each exterior building envelope component and at transitions between building envelope components. Coordinate details for continuity based on actual product selections and Contractor’s proposed sequence of construction.

I. Complete Systems:

1. It is the intent of the Contract Documents that all systems, including mechanical and electrical, be complete and functional to provide the intended or specified performance. Provide all incidental items and parts necessary to achieve this requirement.
2. Provide correctly sized power, utilities, piping, drains, services and their connections to equipment and systems requiring them, whether or not specific items are listed in the schedule under “Compatibility of Systems” paragraph in this Section.

J. Conservation: Coordinate construction activities to ensure that operations are carried out with consideration given to conservation of energy, water, and materials. Coordinate use of temporary utilities to minimize waste.

1. Salvage materials and equipment involved in performance of, but not actually incorporated into, the Work. See other Sections for disposition of salvaged materials that are designated as University's property.

2. Establish recycling program at job site. Refer to Section 01 74 19 “Construction Waste Management and Disposal” for additional requirements.

1.6 COORDINATION DRAWINGS

- A. Coordination Drawings, General: Prepare coordination drawings according to requirements in individual Sections, and additionally where installation is not completely shown on Shop Drawings, where limited space availability necessitates coordination, or if coordination is required to facilitate integration of products and materials fabricated or installed by more than one entity.
1. Content: Project-specific information, drawn accurately to a scale large enough to indicate and resolve conflicts. Do not base coordination drawings on standard printed data. Include the following information, as applicable:
 - a. Use applicable Drawings as a basis for preparation of coordination drawings. Prepare sections, elevations, and details as needed to describe relationship of various systems and components.
 - b. Coordinate the addition of trade-specific information to the coordination drawings by multiple subcontractors in a sequence that best provides for coordination of the information and resolution of conflicts between installed components before submitting for review.
 - c. Indicate functional and spatial relationships of components of architectural, structural, civil, mechanical, and electrical systems.
 - d. Indicate space requirements for routine maintenance and for anticipated replacement of components during the life of the installation.
 - e. Show location and size of access doors required for access to concealed dampers, valves, and other controls.
 - f. Indicate required installation sequences.
 - g. Indicate dimensions shown on the Drawings. Specifically note dimensions that appear to be in conflict with submitted equipment and minimum clearance requirements. Provide alternate sketches to Architect/Engineer indicating proposed resolution of such conflicts. Minor dimension changes and difficult installations will not be considered changes to the Contract.
- B. Coordination Drawing Organization: Organize coordination drawings as follows:
1. Floor Plans and Reflected Ceiling Plans: Show architectural and structural elements, and mechanical, plumbing, fire-protection, fire-alarm, and electrical Work. Show locations of visible ceiling-mounted devices relative to acoustical ceiling grid. Supplement plan drawings with section drawings, where required, to adequately represent the Work.
 2. Plenum Space: Indicate subframing for support of ceiling and wall systems, mechanical and electrical equipment, and related Work. Locate components within ceiling plenum to accommodate layout of light fixtures indicated on Drawings. Indicate areas of conflict between light fixtures and other components.
 3. Mechanical Rooms: Provide coordination drawings for mechanical rooms showing plans and elevations of mechanical, plumbing, fire-protection, fire-alarm, and electrical equipment.
 4. Structural Penetrations: Indicate penetrations and openings required for all disciplines.
 5. Slab Edge and Embedded Items: Indicate slab edge locations and sizes and locations of embedded items for metal fabrications, sleeves, anchor bolts, bearing plates, angles, door floor closers, slab depressions for floor finishes, curbs and housekeeping pads, and similar items.
 6. Mechanical and Plumbing Work: Show the following:
 - a. Sizes and bottom elevations of ductwork, piping, and conduit runs, including insulation, bracing, flanges, and support systems.
 - b. Dimensions of major components, such as dampers, valves, diffusers, access doors, cleanouts and electrical distribution equipment.

- c. Fire-rated enclosures around ductwork.
 7. Electrical Work: Show the following:
 - a. Runs of vertical and horizontal conduit 1-1/4 inches in diameter and larger.
 - b. Light fixture, exit light, emergency battery pack, smoke detector, and other fire-alarm locations.
 - c. Panel board, switch board, switchgear, transformer, busway, generator, and motor control center locations.
 - d. Location of pull boxes and junction boxes, dimensioned from column center lines.
 8. Fire-Protection System: Show the following:
 - a. Locations of standpipes, mains piping, branch lines, pipe drops, and sprinkler heads.
 9. Windows, Curtain Wall, and Exterior Wall Assembly Transition Work: Show all components of each adjacent wall or window system and all required compatible tie-ins between them including transition strips, flashings and sealants. Clearly identify each product, its configuration and its extent. Shop Drawings which only generically indicate adjacent construction and/or indicate "construction by others" will not be acceptable.
 10. Review: Architect/Engineer will review coordination drawings to confirm that the Work is being coordinated, but not for the details of the coordination, which are Contractor's responsibility. If Architect/Engineer determines that coordination drawings are not being prepared in sufficient scope or detail, or are otherwise deficient, Architect/Engineer will so inform Contractor, who shall make changes as directed and resubmit.
 11. Coordination Drawing Prints: Prepare coordination drawing prints according to requirements in Section 01 33 00 "Submittal Procedures."
- C. Virtual Design and Construction (VDC), Building Information Model (BIM) and Coordination Digital Data Files:
1. General: It is expected that, to the greatest extent applicable, Contractor will employ VDC and BIM tools to facilitate the construction, coordination, scheduling and phasing of the Work.
 2. Contractor's VDC implementation shall include at a minimum the following activities:
 - a. Development and maintenance of a three-dimensional building information model (BIM) of the Work that includes contractor-developed, shop-drawing level information of the following building components and systems:
 - 1) Building structure, including but not limited to, foundations, columns, beams, joists, purlins, floor and roof decking and fill, bracing, and load-bearing walls.
 - 2) HVAC systems, including but not limited to, HVAC piping and pumps, air distribution ductwork, fans, air terminal units, air outlets and inlets; central cooling equipment compressors, chillers, condensers, and cooling towers; boilers, heat exchangers and packaged and/or custom air-handling units and thermal storage systems.
 - 3) Plumbing systems, including but not limited to, water distribution, storm drainage and sanitary sewerage waste and vent piping, water-heaters and plumbing fixtures.
 - 4) Fire suppression systems, including but not limited to, standpipes, sprinkler systems, fire pumps, and non-water-based fire-extinguishing systems.
 - 5) Electrical systems, including but not limited to, conduit greater than 1-1/2 inches in diameter, or bundled conduits, cable-tray, transformers, switchgear, switchboards, panelboards, generators, lightning protection and lighting.
 - 6) Communication systems, including but not limited to, structured cabling, premise wiring distribution system, equipment room fittings, racks, frames and enclosures,

- data communications switches, hubs, and routers, common use systems, and paging systems
 - 7) Vertical Transportation systems including.
 - 8) Architectural building systems including interior and exterior walls, windows, curtain walls, ceilings, and roof.
 - b. Collision Detection Reports: Based on information developed and included in the Contractor's three-dimensional BIM, perform collision/interference checking and develop reports for review and resolution by the integrated Contractor team, including subcontractors, manufacturers and suppliers, working with the Design team where needed prior to release of fabrication drawings.
 - 3. Schedule Visualization: Develop and maintain a three-dimension building information model for the expressed purpose of visually demonstrating and communicating proposed project construction schedule and phasing to University, subcontractors and suppliers as applicable. Include all major building systems and construct in such a fashion as to permit animation showing sequential construction of the project based on and driven by the approved Primavera construction schedule.
 - 4. Prepare coordination digital data files according to the following requirements:
 - a. File Preparation Format: Same digital data software program, version, and operating system as original Drawings.
 - b. File Submittal Format: Submit or post coordination drawing files using format same as file preparation format and Portable Data File (PDF) format.
 - c. BIM File Incorporation: Develop and incorporate coordination drawing files into Building Information Model established for Project.
 - 1) Perform three-dimensional component conflict analysis as part of preparation of coordination drawings. Resolve component conflicts prior to submittal. Indicate where conflict resolution requires modification of design requirements by Architect/Engineer.
 - d. Architect/Engineer will furnish Contractor one set of digital data files of Drawings for use in preparing coordination digital data files.
 - 1) Architect/Engineer makes no representations as to the accuracy or completeness of digital data files as they relate to Drawings.
 - 2) Digital Data Software Program: Drawings are available in <Insert name and version of digital data software program and operating system>.
 - 3) Contractor shall execute a data licensing agreement in the form of Agreement form acceptable to University and Architect/Engineer.
 - 5. Review: At request of Contractor and at Architect/Engineer's discretion, Architect/Engineer will participate in BIM coordination and review meetings and will review coordination model and drawings to confirm that the Work is being coordinated, but not for the details of the coordination, which are the Contractor's responsibility. If the Architect/Engineer determines that the coordination model and drawings are not being prepared in sufficient scope or detail, or are otherwise deficient, the Architect/Engineer will inform the Contractor, who shall make changes as directed and resubmit.

D. Interference Resolution: Whenever job measurements and an analysis of the building coordination model, Drawings and Specifications indicate that the various systems cannot be installed without significant deviation from the intent of the Contract, prepare interference drawings as required to indicate conflict between the various systems and other components of the building such as beams, columns, and walls. Include plans, elevations, sections, and other details drawn to large scale as required to clearly

define the interference and to indicate the Contractor's proposed solution. Submit interference drawings for review by the Architect prior to proceeding with work in the general areas of the conflict.

1.7 REQUESTS FOR INFORMATION (RFIs)

- A. General: Immediately on discovery of the need for additional information or interpretation of the Contract Documents, Contractor shall prepare and submit an RFI in the form specified.
1. Architect/Engineer will return RFIs submitted to Architect/Engineer by other entities controlled by Contractor with no response.
 2. Coordinate and submit RFIs in a prompt manner so as to avoid delays in Contractor's work or work of subcontractors.
- B. Content of the RFI: Include a detailed, legible description of item needing information or interpretation and the following:
1. Project name.
 2. Project number.
 3. Date.
 4. Name of Contractor.
 5. Name of Architect/Engineer.
 6. RFI number, numbered sequentially.
 7. RFI subject.
 8. Specification Section number and title and related paragraphs, as appropriate.
 9. Drawing number and detail references, as appropriate.
 10. Field dimensions and conditions, as appropriate.
 11. Contractor's suggested resolution. If Contractor's suggested resolution impacts the Contract Time or the Contract Sum, Contractor shall state impact in the RFI.
 12. Contractor's signature.
 13. Attachments: Include sketches, descriptions, measurements, photos, Product Data, Shop Drawings, coordination drawings, and other information necessary to fully describe items needing interpretation.
 - a. Include dimensions, thicknesses, structural grid references, and details of affected materials, assemblies, and attachments on attached sketches.
 14. Space for response and signature by Architect/Engineer.
- C. RFI Forms: Hard copy form or software-generated form with substantially the same content as indicated above, acceptable to Architect/Engineer.
1. Attachments shall be electronic files in Adobe Acrobat PDF format.
- D. Architect/Engineer's Action: Architect/Engineer will review each RFI, determine action required, and respond. Allow seven calendar days for Architect/Engineer's response for each RFI. RFIs received by Architect/Engineer after 1:00 p.m. will be considered as received the following working day.
1. The following Contractor-generated RFIs will be returned without action:
 - a. Requests for approval of submittals.
 - b. Requests for approval of substitutions.
 - c. Requests for approval of Contractor's means and methods.
 - d. Requests for coordination information already indicated in the Contract Documents.
 - e. Requests for adjustments in the Contract Time or the Contract Sum.

- f. Requests for interpretation of Architect/Engineer's actions on submittals.
 - g. Incomplete RFIs or inaccurately prepared RFIs.
 2. Architect/Engineer's action may include a request for additional information, in which case Architect/Engineer's time for response will date from time of receipt of additional information.
 3. Architect/Engineer's action on RFIs that may result in a change to the Contract Time or the Contract Sum may be eligible for Contractor to submit Contractor-Initiated Change Order Bulletin and Proposal according to Section 01 26 00 "Contract Modification Procedures."
 - a. If Contractor believes the RFI response warrants change in the Contract Time or the Contract Sum, notify Architect/Engineer in writing within seven calendar days of receipt of the RFI response.
 - E. RFI Log: Prepare, maintain, and submit a tabular log of RFIs organized by RFI number. Submit log weekly. Use CSI Log Form 13.2B or Contractor-generated form of substantially same content. Include the following:
 1. Project name.
 2. Name and address of Contractor.
 3. Name and address of Architect/Engineer.
 4. RFI number including RFIs that were returned without action or withdrawn.
 5. RFI description.
 6. Date the RFI was submitted.
 7. Date Architect/Engineer's response was received.
 - F. On receipt of Architect/Engineer's action, update the RFI log and immediately distribute the RFI response to affected parties. Review response and notify Architect/Engineer within seven calendar days if Contractor disagrees with response.

1.8 PROJECT MEETINGS

- A. General: Schedule and conduct meetings and conferences at Project site unless otherwise indicated.
 1. Attendees: Inform participants and others involved, and individuals whose presence is required, of date and time of each meeting. Notify University and Architect/Engineer of scheduled meeting dates and times a minimum of 4 business days prior to meeting.
 - a. Participants, including representatives of subcontractors and suppliers, shall be qualified, familiar with Project and authorized to conclude matters relating to the Work.
 2. Agenda: Prepare the meeting agenda. Distribute the agenda to all invited attendees.
 3. Minutes: Entity responsible for conducting meeting will record significant discussions and agreements achieved. Distribute the meeting minutes to everyone concerned, including University and Architect/Engineer, within three business days of the meeting.
- B. Preconstruction Conference: Schedule and conduct a preconstruction conference before starting construction, at a time and site convenient to all parties, but not later than 14 calendar days after Notice to Proceed.
 1. Conduct the conference to review responsibilities and personnel assignments.
 2. Attendees: Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work and include the following:
 - a. Authorized representatives of University:

- 1) University Project Manager.
 - 2) University Building Maintenance Operations (BMO) Representative.
 - b. Architect/Engineer and their consultants.
 - c. Contractor's project manager and superintendent.
 - d. Major subcontractors and suppliers.
 - e. Other concerned parties shall attend the conference.
3. Agenda: Discuss items of significance that could affect progress, including the following:
- a. Designation of key personnel and their duties.
 - b. Lines of communications.
 - c. List of major subcontractors and suppliers.
 - d. Tentative construction schedule.
 - 1) Phasing.
 - 2) Critical work sequencing and long-lead items.
 - 3) Equipment deliveries and priorities.
 - e. Procedures and processing of:
 - 1) Change Order Bulletin, Change Order Proposal and Change Orders.
 - 2) RFI's
 - 3) Testing and inspecting.
 - 4) Applications for Payment.
 - 5) Submittals.
 - 6) Preparation of record documents.
 - f. Use of the premises, existing building and adjacent buildings as applicable.
 - 1) Work restrictions.
 - 2) Working hours.
 - 3) University's occupancy requirements.
 - 4) Procedures for disruptions and shutdowns.
 - 5) Construction parking and staging.
 - 6) Construction route and site access.
 - 7) Office, work, and storage areas.
 - 8) Progress cleaning and housekeeping procedures.
 - g. Project coordination.
 - h. Distribution of the Contract Documents.
 - i. Temporary facilities and controls.
 - j. Indoor Air Quality Plan and Monitoring including procedures for moisture and mold control.
 - k. Construction waste management and recycling.
 - l. Safety.
 - 1) Fire and Life Safety.
 - 2) Health and Safety.
 - m. First aid.
 - n. Security.
 - o. Building Department.
 - p. Telecommunications.
 - q. Building Services.

- r. Building Operations.
 - s. University Work Related Policies.
 - t. Contractor Contacts.
 - u. University Contacts.
 - v. University Process Forms.
 - 1) Key Request Form.
 - 2) Access Control Badge Application Form.
 - 3) Utility Interruption Request Form.
 - 4) Utility Start-Up Form.
 - 5) Fire Alarm/ Sprinkler Disable Request Form.
 - 6) Hot Work Permit Form.
 - 7) Anschutz Medical Campus (AMC) Street and Parking Lot Closure Form.
 - 8) Indoor Air Quality (IAQ) Plan.
 - 9) IAQ Planning Checklist.
 - 10) IAQ Inspection Checklist.
 - 11) Request for Variance.
4. Minutes: Entity responsible for conducting meeting will record and distribute meeting minutes.
- C. Preinstallation Conferences: Conduct a preinstallation conference at Project site for installations, systems or assemblies where required by individual Specification Sections, or where deemed necessary by Contractor.
- 1. Attendees: Installer and representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow, shall attend the meeting. Advise Architect/Engineer of scheduled meeting dates.
 - 2. Agenda: Review progress of other construction activities and preparations for the particular activity under consideration, including requirements for the following, as appropriate:
 - a. Contract Documents.
 - b. Options.
 - c. Related RFIs.
 - d. Related Change Orders.
 - e. Purchases.
 - f. Deliveries.
 - g. Submittals.
 - h. LEED requirements, for projects pursuing LEED certification.
 - i. Review of mockups.
 - j. Possible conflicts.
 - k. Compatibility requirements.
 - l. Time schedules.
 - m. Weather limitations.
 - n. Manufacturer's written instructions.
 - o. Warranty requirements.
 - p. Compatibility of materials.
 - q. Acceptability of substrates.
 - r. Temporary facilities and controls.
 - s. Space and access limitations.
 - t. Regulations of authorities having jurisdiction.
 - u. Testing and inspecting requirements.
 - v. Installation procedures.
 - w. Coordination with other work.
 - x. Required performance results.
 - y. Protection of adjacent work.

- z. Protection of construction and personnel.
 - 3. Record significant conference discussions, approved schedules, agreements, and disagreements, including required corrective measures and actions.
 - 4. Reporting: Distribute minutes of the meeting to each party present and to other parties requiring information, including University Project Manager and Architect/Engineer.
 - 5. Do not proceed with installation if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of the Work and reconvene the conference at earliest feasible date.
- D. Project Closeout Conference: Schedule and conduct a project closeout conference, at a time convenient to University and Architect/Engineer, but no later than 90 calendar days prior to the scheduled date of Substantial Completion or Partial Substantial Completion.
- 1. Conduct the conference to review requirements and responsibilities related to Project closeout.
 - 2. Attendees: Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work and include the following:
 - a. University Project Manager.
 - b. University Building Maintenance Operations (BMO) Representative.
 - c. Architect/Engineer and their consultants.
 - d. Contractor's project manager and superintendent.
 - e. Major subcontractors and suppliers.
 - f. Other concerned parties.
 - 3. Agenda: Discuss items of significance that could affect or delay Project closeout, including the following:
 - a. Procedures related to:
 - 1) Notice of Completion, including preparation of Contractor's punch list.
 - 2) Final Inspection.
 - 3) Notice of Substantial Completion.
 - 4) Notice of Approval of Occupancy/Use.
 - 5) Supplemental Occupancy/Use Checklist.
 - 6) Supplemental Acceptance Checklist.
 - 7) Pre-acceptance Checklists.
 - 8) Notice of Acceptance.
 - 9) Settlement and Final Payment.
 - b. Preparation of record documents.
 - c. Procedures required prior to inspection for Substantial Completion and for final inspection for acceptance.
 - d. Submittal of written warranties.
 - e. Requirements for completing LEED documentation, for projects pursuing LEED certification.
 - f. Requirements for preparing operations and maintenance data.
 - g. Requirements for delivery of material samples, attic stock, and spare parts.
 - h. Requirements for demonstration and training.
 - i. University's partial occupancy requirements.
 - j. Installation of University's furniture, fixtures, and equipment.
 - k. Responsibility for removing temporary facilities and controls.
 - 4. Minutes: Entity conducting meeting will record and distribute meeting minutes.

- E. Progress Meetings: Conduct progress meetings at weekly intervals.
1. Coordinate dates of meetings with preparation of payment requests.
 2. Attendees: Participants at the conference shall be familiar with Project and authorized to conclude matters relating to the Work and include the following:
 - a. University Project Manager.
 - b. University Health Safety Department Representative.
 - c. University Building Maintenance Operations Representative.
 - d. University Campus Building Official.
 - e. Architect/Engineer and their consultants.
 - f. Contractor's project manager and superintendent.
 - g. Major subcontractors and suppliers.
 - h. Other entities concerned with current progress or involved in planning, coordination, or performance of future activities.
 - i. As needed, University Building Maintenance Operations (BMO), Subject Matter Experts (SME), and University Facility Support Services (FSS) Representatives.
 3. Agenda: Review and correct or approve minutes of previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to status of Project.
 - a. Contractor's Construction Schedule:
 - 1) Review progress since the last meeting.
 - 2) Determine whether each activity is on time, ahead of schedule, or behind schedule, in relation to Contractor's construction schedule.
 - 3) Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
 - 4) Review schedule for next two week period.
 - 5) Review schedule of deliveries.
 - 6) Review off-site fabrication.
 - b. Site Safety.
 - c. Indoor Air Quality Management monitoring.
 - d. MS4 Storm Water and Water Quality monitoring.
 - e. Quality:
 - 1) Quality and work standards.
 - 2) Status of correction of deficient items.
 - 3) Progress cleaning.
 - 4) Field observations.
 - f. Status of submittals.
 - g. Status of RFIs.
 - h. Status of Changes including:
 - 1) Change Order Bulletins.
 - 2) Change Order Proposals.
 - 3) Change Orders.
 - 4) Pending claims and disputes.
 - i. Status of LEED documentation, for projects pursuing LEED certification.

- j. Review present and future needs of each entity present including:
 - 1) Access.
 - 2) Site utilization.
 - 3) Temporary facilities and controls.
 - 4) Coordination.
- 4. Minutes: Entity responsible for conducting the meeting will record and distribute the meeting minutes to each party present and to parties requiring information.
- F. Pay Application and Schedule Review Meeting: Conduct review meeting monthly on or about the 25th of each month.
 - 1. Attendees:
 - a. University Project Manager.
 - b. Architect/Engineer.
 - c. Contractor's Project Manager, Superintendant and Scheduler.
 - 2. Agenda: Review draft pay application and progress schedule update in accordance with the requirements of Section 01 29 00 "Payment Procedures" and Section 01 32 00 "Construction Progress Documentation."

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 31 00

SECTION 01 32 00

CONSTRUCTION PROGRESS DOCUMENTATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:

1. Startup construction schedule.
2. Contractor's construction schedule.
3. Construction schedule updating reports.
4. Daily construction reports.
5. Monthly project status reports.
6. Material location reports.
7. Site condition reports.
8. Special reports.

- B. Related Requirements:

1. Section 01 33 00 "Submittal Procedures" for submitting schedules and reports.
2. Section 01 40 00 "Quality Requirements" for submitting a schedule of tests and inspections.

1.3 DEFINITIONS

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction project. Activities included in a construction schedule consume time and resources.

1. Critical Activity: An activity on the critical path that must start and finish on the planned early start and finish times.
2. Predecessor Activity: An activity that precedes another activity in the network.
3. Successor Activity: An activity that follows another activity in the network.

- B. Cost Loading: The allocation of the schedule of values for the completion of an activity as scheduled. The sum of costs for all activities must equal the total Contract Sum exclusive of profit, overhead, and general conditions costs.

- C. CPM: Critical path method, which is a method of planning and scheduling a construction project where activities are arranged based on activity relationships. Network calculations determine when activities can be performed and the critical path of Project.

- D. Critical Path: The longest connected chain of interdependent activities through the network schedule that establishes the minimum overall Project duration and contains no float.
- E. Event: The starting or ending point of an activity.
- F. Float: The measure of leeway in starting and completing an activity.
 - 1. Float time is not for the exclusive use or benefit of either University or Contractor, but is a jointly owned, expiring Project resource available to both parties as needed to meet schedule milestones and Contract completion date.
 - 2. Free float is the amount of time an activity can be delayed without adversely affecting the early start of the successor activity.
 - 3. Total float is the measure of leeway in starting or completing an activity without adversely affecting the planned Project completion date.
- G. Resource Loading: The allocation of manpower necessary for the completion of an activity as scheduled.

1.4 INFORMATIONAL SUBMITTALS

- A. Format for Submittals: Submit required submittals in the following format:
 - 1. Working electronic copy of schedule file, where indicated.
 - 2. PDF electronic file and four paper copies.
- B. Startup construction schedule (bar chart).
 - 1. Approval of cost-loaded, startup construction schedule will not constitute approval of schedule of values for cost-loaded activities.
- C. Contractor's Preliminary Schedule and Startup Network Diagram: Of size required to display entire network for entire construction period. Show logic ties for activities.
- D. Contractor's Detailed Construction Schedule: Initial schedule, of size required to display entire schedule for entire construction period.
 - 1. Submit a working electronic copy of schedule, using software indicated, and labeled to comply with requirements for submittals. Include type of schedule (initial or updated) and date on label.
- E. Construction Schedule Updating Reports: Submit draft for discussion at monthly project schedule and pay application review meeting. Submit final report with monthly Application for Payment.
- F. Daily Construction Reports: Submit at weekly intervals.
- G. Material Location Reports: Submit at monthly intervals.
- H. Site Condition Reports: Submit at time of discovery of differing conditions.
- I. Special Reports: Submit at time of unusual event.
- J. Qualification Data: For scheduling consultant or in-house scheduling expert.

1.5 QUALITY ASSURANCE

- A. Scheduling Consultant Qualifications: An experienced specialist in CPM scheduling and reporting, with a minimum of 5 years experience and capability of producing CPM reports and diagrams within 24 hours of Architect/Engineer's request.
- B. Prescheduling Conference: Conduct conference at Project site to comply with requirements in Section 01 31 00 "Project Management and Coordination." Review methods and procedures related to the preliminary construction schedule and Contractor's construction schedule, including, but not limited to, the following:
 - 1. Review software limitations and content and format for reports.
 - 2. Verify availability of qualified personnel needed to develop and update schedule.
 - 3. Discuss constraints, including phasing, work stages, area separations, interim milestones, and partial University occupancy, as may be applicable.
 - 4. Review delivery dates for University-furnished products.
 - 5. Review schedule for work of University's separate contracts.
 - 6. Review submittal requirements and procedures.
 - 7. Review time required for review of submittals and resubmittals.
 - 8. Review requirements for tests and inspections by independent testing and inspecting agencies.
 - 9. Review time required for Project closeout and University startup procedures, including commissioning activities.
 - 10. Review and finalize list of construction activities to be included in schedule.
 - 11. Review procedures for updating schedule.

1.6 COORDINATION

- A. Coordinate Contractor's construction schedule with the schedule of values, list of subcontracts, submittal schedule, progress reports, payment requests, and other required schedules and reports.
 - 1. Secure time commitments for performing critical elements of the Work from entities involved.
 - 2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

PART 2 - PRODUCTS

2.1 CONTRACTOR'S CONSTRUCTION SCHEDULE, GENERAL

- A. Time Frame: Extend schedule from date established for commencement of the Work to date of Substantial Completion.
 - 1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date is not permitted. Contract completion date may only be modified by Change Order.
- B. Activities: Treat each story or separate area as a separate numbered activity for each main element of the Work. Comply with the following:
 - 1. Activity Duration: Define activities so no activity is longer than 21 calendar days, unless specifically allowed by Architect/Engineer.
 - 2. Procurement Activities: Include procurement process activities for long lead items and major items, requiring a cycle of more than 60 calendar days, as separate activities in schedule.

Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.

3. Submittal Review Time: Include review and resubmittal times indicated in Section 01 33 00 "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's construction schedule with submittal schedule.
 4. Startup and Testing Time: Include adequate time for startup, testing and commissioning.
 5. Substantial Completion: Indicate completion in advance of date established for Substantial Completion, and allow time for Architect/Engineer's administrative procedures necessary for issuing Notice of Substantial Completion.
- C. Constraints: Include the following constraints and work restrictions as indicated in the Contract Documents and as applicable in schedule; show how the sequence of the Work is affected.
1. Phasing: Arrange list of activities on schedule by phase.
 2. Work by University: Include a separate activity for each portion of the Work performed by University.
 3. Products Ordered in Advance: Include a separate activity for each product. Include delivery date indicated in Section 01 10 00 "Summary." Delivery dates indicated stipulate the earliest possible delivery date.
 4. University-Furnished Products: Include a separate activity for each product. Include delivery date indicated in Section 01 10 00 "Summary." Delivery dates indicated stipulate the earliest possible delivery date.
 5. Work Restrictions: Show the effect of the following items, as applicable, on the schedule:
 - a. Coordination with existing construction.
 - b. Limitations of continued occupancies.
 - c. Uninterruptible services.
 - d. Partial occupancy before Substantial Completion.
 - e. Use of premises restrictions.
 - f. Environmental control.
 6. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:
 - a. Submittals.
 - b. Mockups.
 - c. Fabrication.
 - d. Sample testing.
 - e. Deliveries.
 - f. Installation.
 - g. Tests and inspections.
 - h. Building flush-out.
 - i. Startup and placement into final use and operation.
 7. Construction Areas: As applicable, identify each major area of construction for each major portion of the Work. Indicate where each construction activity within a major area must be sequenced or integrated with other construction activities to provide for the following:
 - a. Structural completion.
 - b. Temporary enclosure and space conditioning.
 - c. Permanent space enclosure.
 - d. Completion of mechanical installation.
 - e. Completion of electrical installation.
 - f. Substantial Completion.

- D. Milestones: Include milestones indicated in the Contract Documents in schedule, including, but not limited to, the Notice to Proceed, Commencement of Work, Substantial Completion, Notice of Occupancy and Use, and Final Acceptance. As applicable, also include milestones for Partial Substantial Completion and Partial Notice of Occupancy and Use.
- E. Recovery Schedule: When periodic update indicates the Work is 14 or more calendar days behind the current approved schedule, submit a separate recovery schedule indicating means by which Contractor intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, and equipment required to achieve compliance, and date by which recovery will be accomplished.
- F. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules and as approved by University and Architect/Engineer.

2.2 STARTUP CONSTRUCTION SCHEDULE (BAR CHART)

- A. Bar-Chart Schedule: Submit startup, horizontal, bar-chart-type construction schedule within seven calendar days of date established for commencement of the Work.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line. Outline significant construction activities for first 90 calendar days of construction. Include skeleton diagram for the remainder of the Work and a cash requirement prediction based on indicated activities.

2.3 CONTRACTOR'S CONSTRUCTION SCHEDULE (BAR CHART OR GANTT CHART)

- A. Bar-Chart or Gantt-Chart Schedule: Submit startup, horizontal, bar-chart-type or a comprehensive, fully developed, horizontal, Gantt-chart-type construction schedule within 30 calendar days of date established for commencement of the Work. Base schedule on the startup construction schedule and additional information received since the start of Project.
- B. Preparation: Indicate each significant construction activity separately. Identify first workday of each week with a continuous vertical line. Use the same breakdown of construction activities as indicated in the Schedule of Values.
 - 1. For construction activities that require three months or longer to complete, indicate an estimated completion percentage in 10 percent increments within time bar. With each required construction schedule update, place a contrasting mark in each bar to indicate actual completion.

2.4 REPORTS

- A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:
 - 1. List of subcontractors at Project site.
 - 2. List of separate contractors at Project site.
 - 3. Approximate count of personnel at Project site.
 - 4. Equipment at Project site.
 - 5. Material deliveries.
 - 6. High and low temperatures and general weather conditions, including presence of rain or snow.
 - 7. Accidents.
 - 8. Meetings and significant decisions.

9. Unusual events (see special reports).
10. Stoppages, delays, shortages, and losses.
11. Meter readings and similar recordings.
12. Emergency procedures.
13. Orders and requests of authorities having jurisdiction.
14. Change Orders received and implemented.
15. Services connected and disconnected.
16. Equipment or system tests and startups.
17. Partial completions and occupancies.
18. Substantial Completions authorized.

B. Monthly Project Status Report: Prepare a monthly project status report including the following:

1. Current status of Project:

- a. Schedule.
- b. Cost.
- c. MBE and WBE participation, as applicable.
- d. RFI's.
- e. Submittals.
- f. Manpower.
- g. Safety.

2. Narrative of progress achieved in previous month, activities anticipated for the next month, and issues affecting the rate of progress.
3. Progress photographs in accordance with Section 01 32 33 "Photographic Documentation."

C. Material Location Reports: At monthly intervals, prepare and submit a comprehensive list of materials delivered to and stored at Project site. List shall be cumulative, showing materials previously reported plus items recently delivered. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site. Indicate the following categories for stored materials:

1. Material stored prior to previous report and remaining in storage.
2. Material stored prior to previous report and since removed from storage and installed.
3. Material stored following previous report and remaining in storage.

D. Site Condition Reports: Immediately on discovery of a difference between site conditions and the Contract Documents, prepare and submit a detailed report. Submit with a Request for Information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

2.5 SPECIAL REPORTS

- A. General: Submit special reports directly to University within one calendar day(s) of an occurrence. Distribute copies of report to parties affected by the occurrence.
- B. Reporting Unusual Events: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, response by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise University in advance when these events are known or predictable.

PART 3 - EXECUTION

3.1 CONTRACTOR'S CONSTRUCTION SCHEDULE

- A. Contractor's Construction Schedule Updating: At monthly intervals, update schedule to reflect actual construction progress and activities. Issue schedule draft update schedule for discussion and review at monthly project progress schedule and pay application review meeting.
1. Revise schedule immediately after each meeting and issue updated schedule concurrently with submittal of monthly Application for Payment.
 2. Include summary reports with updated schedule that indicates every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
 3. As the Work progresses, indicate final completion percentage for each activity.
 4. Schedule updates may change logic but may not change milestone or critical path without prior approval of University and Architect/Engineer.
- B. Distribution: Distribute copies of approved schedule to Architect/Engineer University, separate contractors, testing and inspecting agencies, and other parties identified by Contractor with a need-to-know schedule responsibility.
1. Post copies in Project meeting rooms and temporary field offices.
 2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

END OF SECTION 01 32 00

SECTION 01 32 33

PHOTOGRAPHIC DOCUMENTATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for the following:
 - 1. Preconstruction photographs.
 - 2. Periodic construction photographs.
 - 3. Final completion construction photographs.
- B. Related Requirements:
 - 1. Section 01 33 00 "Submittal Procedures" for submitting photographic documentation.
 - 2. Section 01 77 00 "Closeout Procedures" for submitting photographic documentation as project record documents at Project closeout.

1.3 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For photographer.
- B. Key Plan: Submit key plan of Project site and building with notation of vantage points marked for location and direction of each photograph. Indicate elevation or story of construction. Include same information as corresponding photographic documentation.
- C. Digital Photographs: Submit image files within three business days of taking photographs.
 - 1. Digital Camera: Minimum sensor resolution of 12 megapixels.
 - 2. Format: Minimum 3200 by 2400 pixels, in unaltered original files, with same aspect ratio as the sensor, uncropped, date and time stamped, in folder named by date of photograph, accompanied by key plan file.
 - 3. Identification: Provide the following information with each image description in file metadata tag:
 - a. Name of Project.
 - b. Name and contact information for photographer.
 - c. Name of Architect/Engineer.
 - d. Name of Contractor.
 - e. Date photograph was taken.
 - f. Description of vantage point, indicating location, direction (by compass point), and elevation or story of construction.
 - g. Unique sequential identifier keyed to accompanying key plan.

1.4 QUALITY ASSURANCE

- A. Photographer Qualifications: An individual who has been regularly engaged as a professional photographer of construction projects for not less than three years.

1.5 USAGE RIGHTS

- A. Obtain and transfer copyright usage rights from photographer to University for unlimited reproduction of photographic documentation.

PART 2 - PRODUCTS

2.1 PHOTOGRAPHIC MEDIA

- A. Digital Images: Provide images in JPG format, produced by a digital camera with minimum sensor size of 12 megapixels, and at an image resolution of not less than 3200 by 2400 pixels.

PART 3 - EXECUTION

3.1 CONSTRUCTION PHOTOGRAPHS

- A. Photographer: Engage a qualified photographer to take construction photographs.
- B. General: Take photographs using the maximum range of depth of field, and that are in focus, to clearly show the Work. Photographs with blurry or out-of-focus areas will not be accepted.
 - 1. Maintain key plan with each set of construction photographs that identifies each photographic location.
- C. Digital Images: Submit digital images exactly as originally recorded in the digital camera, without alteration, manipulation, editing, or modifications using image-editing software.
 - 1. Date and Time: Include date and time in file name for each image.
 - 2. Field Office Images: Maintain one set of images accessible in the field office at Project site, available at all times for reference. Identify images in the same manner as those submitted to Architect/Engineer.
- D. Preconstruction Photographs: Before starting construction, take photographs of Project site and surrounding properties, including existing items to remain during construction, from different vantage points, as directed by Architect/Engineer.
 - 1. Flag construction limits before taking construction photographs.
 - 2. Take additional photographs as required to record settlement or cracking of adjacent structures, pavements, and improvements.
- E. Periodic Construction Photographs: Take 20 photographs monthly, coinciding with the cutoff date associated with each Application for Payment. Select vantage points to show status of construction and progress since last photographs were taken.

- F. Architect/Engineer-Directed Construction Photographs: From time to time, Architect/Engineer will instruct photographer about number and frequency of photographs and general directions on vantage points. Select actual vantage points and take photographs to show the status of construction and progress since last photographs were taken.
- G. Final Completion Construction Photographs: Take [20] <Insert number> color photographs after date of Substantial Completion for submission as project record documents. Architect/Engineer will inform photographer of desired vantage points.
1. Do not include date stamp.
- H. Additional Photographs: University through Architect/Engineer may request photographs in addition to periodic photographs specified. Additional photographs will be paid for by Change Order and are not included in the Contract Sum.
1. Three business days' notice will be given, where feasible.
 2. In emergency situations, take additional photographs within 24 hours of request.
 3. Circumstances that could require additional photographs include, but are not limited to, the following:
 - a. Special events planned at Project site.
 - b. Immediate follow-up when on-site events result in construction damage or losses.
 - c. Photographs to be taken at fabrication locations away from Project site. These photographs are not subject to unit prices or unit-cost allowances.
 - d. Substantial Completion of a major phase or component of the Work.
 - e. Extra record photographs at time of final acceptance.
 - f. University's request for special publicity photographs.

END OF SECTION 01 32 33

SECTION 01 33 00

SUBMITTAL PROCEDURES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes requirements for the submittal schedule and administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples, and other submittals.
- B. Related Requirements:
 - 1. Section 01 29 00 "Payment Procedures" for submitting Applications for Payment and the schedule of values.
 - 2. Section 01 32 00 "Construction Progress Documentation" for submitting schedules and reports, including Contractor's construction schedule.
 - 3. Section 01 78 23 "Operation and Maintenance Data" for submitting operation and maintenance manuals.
 - 4. Section 01 78 39 "Project Record Documents" for submitting record Drawings, record Specifications, and record Product Data.
 - 5. Division 02 through 33 for additional submittal requirements specific to indicated Specification Sections.

1.3 DEFINITIONS

- A. Action Submittals: Written and graphic information and physical samples that require Architect/Engineer's responsive action. Action submittals are those submittals indicated in individual Specification Sections as "action submittals." Submittals not specifically indicated as informational submittals are considered to be action submittals.
- B. Informational Submittals: Written and graphic information and physical samples that do not require Architect/Engineer's responsive action. Submittals may be rejected for not complying with requirements. Informational submittals are those submittals indicated in individual Specification Sections as "informational submittals" and include, but are not limited to:
 - 1. Schedules.
 - 2. Permits.
 - 3. Applications for payment.
 - 4. Performance and payment bonds.
 - 5. Insurance certificates.
 - 6. List of Subcontractors.
 - 7. Schedule of Values.
 - 8. Inspection and test results.
 - 9. Closeout documents.

10. Coordination drawings.
11. Street and Storm Water Quality Management Plan.
12. Indoor Air Quality Management Plan.
13. Anschutz Medical Campus Street Services Request.

- C. File Transfer Protocol (FTP): Communications protocol that enables transfer of files to and from another computer over a network and that serves as the basis for standard Internet protocols. An FTP site is a portion of a network located outside of network firewalls within which internal and external users are able to access files.
- D. Portable Document Format (PDF): An open standard file format licensed by Adobe Systems used for representing documents in a device-independent and display resolution-independent fixed-layout document format.

1.4 ACTION SUBMITTALS

- A. Submittal Schedule: Submit a schedule of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, ordering, manufacturing, fabrication, and delivery when establishing dates. Include additional time required for making corrections or revisions to submittals noted by Architect/Engineer and additional time for handling and reviewing submittals required by those corrections.
1. Coordinate submittal schedule with list of subcontracts, the schedule of values, and Contractor's construction schedule.
 2. Initial Submittal: Submit concurrently with startup construction schedule and within 30 calendar days of Notice to Proceed or Commencement of Work, but not later than submittal of first application for payment. Include submittals required during the first 90 calendar days of construction. List those submittals required to maintain orderly progress of the Work and those required early because of long lead time for manufacture or fabrication.
 3. Final Submittal: Submit concurrently with the first complete submittal of Contractor's construction schedule.
 - a. Submit revised submittal schedule to reflect changes in current status and timing for submittals.
 4. Format: Arrange the following information in a tabular format:
 - a. Scheduled date for first submittal.
 - b. Specification Section number and title.
 - c. Submittal category: Action; informational.
 - d. Name of subcontractor.
 - e. Description of the Work covered.
 - f. Scheduled date for resubmittal.
 - g. Scheduled date for Architect/Engineer's final release or approval.
 - h. Scheduled date of fabrication.
 - i. Scheduled dates for purchasing.
 - j. Scheduled dates for installation.
 - k. Activity or event numbers.

1.5 SUBMITTAL ADMINISTRATIVE REQUIREMENTS

- A. Architect/Engineer's Digital Data Files: Electronic digital data files of the Contract Drawings will be provided by Architect/Engineer for Contractor's use in preparing submittals.

1. Architect/Engineer will furnish Contractor one set of digital data drawing files of the Contract Drawings for use in preparing Shop Drawings [**and Project record drawings**].
 - a. Architect/Engineer makes no representations as to the accuracy or completeness of digital data drawing files as they relate to the Contract Drawings.
 - b. Digital Drawing Software Program: The Contract Drawings are available in <**Insert name and version of digital drawing software program and operating system**>.
 - c. Contractor shall execute a data licensing agreement in the form of Agreement form acceptable to University and Architect/Engineer.

- B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities. Transmit for review with sufficient time to avoid construction delays.
 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
 2. Submit all submittal items required for each Specification Section concurrently unless partial submittals for portions of the Work are indicated on approved submittal schedule.
 3. Submit action submittals and informational submittals required by the same Specification Section as separate packages under separate transmittals.
 4. Coordinate transmittal of different types of submittals for related parts of the Work so processing will not be delayed because of need to review submittals concurrently for coordination.
 - a. Architect/Engineer reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.

- C. Processing Time: Allow time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Architect/Engineer's receipt of submittal. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including resubmittals.
 1. Initial Review: Allow 14 calendar days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Architect/Engineer will advise Contractor when a submittal being processed must be delayed for coordination.
 2. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
 3. Resubmittal Review: Allow 14 calendar days for review of each resubmittal.
 4. Large and/or Complex Submittals: For large and/or complex submittals, as determined by the Architect/Engineer and for submittals that require sequential reviews by Architect/Engineer's consultants, a review period greater than 14 calendar days may be required. Architect/Engineer and Contractor shall identify such submittals upon submission of the submittal schedule and determine a mutually agreed upon review period.

- D. Electronic Submittals: Identify and incorporate information in each electronic submittal file as follows:
 1. Assemble complete submittal package into a single indexed file incorporating submittal requirements of a single Specification Section and transmittal form with links enabling navigation to each item.
 2. Name file with submittal number or other unique identifier, including revision identifier.
 - a. File name shall use project identifier and Specification Section number followed by a dash and then a sequential number (e.g., LNHS-061000-01). Resubmittals shall include an alphabetic suffix after another dash (e.g., LNHS-061000-01-A).
 3. Provide means for insertion to permanently record Contractor's review and approval markings and action taken by Architect/Engineer.

4. Transmittal Form for Electronic Submittals: Use software-generated form from electronic project management software acceptable to University, containing the following information:
 - a. Project name.
 - b. Date.
 - c. Name and address of Architect/Engineer.
 - d. Name and address of Contractor.
 - e. Name of firm or entity that prepared submittal.
 - f. Names of subcontractor, manufacturer, and supplier.
 - g. Category and type of submittal.
 - h. Submittal purpose and description.
 - i. Specification Section number and title.
 - j. Specification paragraph number or drawing designation and generic name for each of multiple items.
 - k. Drawing number and detail references, as appropriate.
 - l. Location(s) where product is to be installed, as appropriate.
 - m. Related physical samples submitted directly.
 - n. Indication of full or partial submittal.
 - o. Transmittal number.
 - p. Submittal and transmittal distribution record.
 - q. Other necessary identification.
 - r. Contractor's certification that information complies with Contract Document requirements.
 - s. Remarks.

- E. Options: Identify options requiring selection by Architect/Engineer.

- F. Deviations and Additional Information: On an attached separate sheet, prepared on Contractor's letterhead, record relevant information, requests for data, revisions other than those requested by Architect/Engineer on previous submittals, and deviations from requirements in the Contract Documents, including minor variations and limitations. Include same identification information as related submittal.

- G. Contractor Certification: On transmittal include Contractor's certification that information complies with Contract Document requirements.

- H. Resubmittals: Make resubmittals in same form and number of copies as initial submittal.
 1. Note date and content of previous submittal.
 2. Note date and content of revision in label or title block and clearly indicate extent of revision.
 3. Resubmit submittals until they are marked with approval notation from Architect/Engineer's action stamp.

- I. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.

- J. Use for Construction: Retain complete copies of submittals on Project site. Use only final action submittals that are marked with approval notation from Architect/Engineer's action stamp.

- K. Record Documents: Retain complete additional copies of submittals on Project site to be submitted as record documents in accordance with requirements of Section 01 78 39 "Project Record Documents."

- L. Legibility: Provide clear and legible submittals. Submittals that are blurry or are for any reason unreadable will be returned without action.

PART 2 - PRODUCTS

2.1 SUBMITTAL PROCEDURES

- A. General Submittal Procedure Requirements: Prepare and submit submittals required by individual Specification Sections. Types of submittals are indicated in individual Specification Sections.
1. Post electronic submittals as PDF electronic files directly to Project Management Software Web site specifically established for Project.
 - a. Architect/Engineer will return annotated file. Annotate and retain one copy of file as an electronic Project record document file.
 2. Action Submittals: Submit three paper copies of each submittal to Architect/Engineer and one to University unless otherwise indicated. Architect/Engineer will return one copy.
 3. Informational Submittals: Submit two paper copies of each submittal to Architect/Engineer and one to University unless otherwise indicated. Architect/Engineer will not return copies.
 4. Certificates and Certifications Submittals: Provide a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity.
- B. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.
1. If information must be specially prepared for submittal because standard published data are not suitable for use, submit as Shop Drawings, not as Product Data.
 2. Mark each copy of each submittal to show which products and options are applicable.
 3. Include the following information, as applicable:
 - a. Manufacturer's catalog cuts.
 - b. Manufacturer's product specifications.
 - c. Manufacturer's installation instructions.
 - d. Manufacturer's printed recommendations.
 - e. Standard color charts.
 - f. Statement of compliance with specified referenced standards.
 - g. Statement of compliance with specified trade association standards.
 - h. Testing by recognized testing agency.
 - i. Application of testing agency labels and seals.
 - j. Notation of coordination requirements.
 - k. Notation of dimensions verified by field measurement.
 4. For equipment, include the following in addition to the above, as applicable:
 - a. Wiring diagrams showing factory-installed wiring.
 - b. Printed performance curves.
 - c. Operational range diagrams.
 - d. Rough-in diagrams and templates indicating clearances required to other construction, if not indicated on accompanying Shop Drawings.
 5. Submit Product Data before or concurrent with Samples.
 6. Confirm compliance of Product Data with requirements of Contract Documents. Submit cover letter indicating Contractor's certification of compliance.
 7. Submit additional copies of Product Data as required complying with requirements of Section 01 78 39 "Project Record Documents."

- C. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Highlight, encircle or otherwise indicate deviations from Contract Documents. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data, unless submittal based on Architect/Engineer's digital data drawing files is otherwise permitted. Standard information prepared without specific reference to the Project is not considered a shop drawing.
1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
 - a. Identification of products.
 - b. Schedules.
 - c. Compliance with specified standards.
 - d. Notation of coordination requirements.
 - e. Notation of dimensions established by field measurement.
 - f. Relationship and attachment to adjoining construction clearly indicated.
 - g. Seal and signature of professional engineer if specified.
 2. Sheet Size: Except for templates, patterns, and similar full-size drawings, submit Shop Drawings on sheets at least 8-1/2 by 11 inches, but no larger than size of Construction Drawings.
 3. BIM File Incorporation: Develop and incorporate Shop Drawing files into Building Information Model established for Project.
 - a. Prepare Shop Drawings in the following format: Same digital data software program, version, and operating system as the original Drawings.
 - b. Refer to Section 01 31 00 "Project Management and Coordination" for requirements for coordination drawings.
- D. Samples: Submit Samples for review of kind, color, pattern, and texture for a check of these characteristics with other elements and for a comparison of these characteristics between submittal and actual component as delivered and installed.
1. Transmit Samples that contain multiple, related components such as accessories together in one submittal package.
 2. Mount, display or package Samples in the manner specified to facilitate review of qualities indicated. Prepare Samples to match the Architect/Engineer's Sample.
 3. Identification: Attach label on unexposed side of Samples that includes the following:
 - a. Generic description of Sample.
 - b. Product name and name of manufacturer.
 - c. Sample source.
 - d. Number and title of applicable Specification Section.
 - e. Specification paragraph number and generic name of each item.
 - f. Compliance with recognized standards.
 - g. Availability and delivery time.
 4. For projects where electronic submittals are required, provide corresponding electronic submittal of Sample transmittal, digital image file illustrating Sample characteristics, and identification information for record.
 5. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units showing the full range of colors, textures, and patterns available.
 - a. Number of Samples: Submit one full set(s) of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. Architect/Engineer will return submittal with options selected.

6. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.
 - a. Number of Samples: Submit three sets of Samples. Architect/Engineer will retain two Sample sets; remainder will be returned. Mark up and retain one returned Sample set as a project record sample.
 - 1) Submit a single Sample where assembly details, workmanship, fabrication techniques, connections, operation, and other similar characteristics are to be demonstrated.
 - 2) If variation in color, pattern, texture, or other characteristic is inherent in material or product represented by a Sample, submit at least three sets of paired units that show approximate limits of variations.
 7. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
 - a. Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
 - b. Samples not incorporated into the Work, or otherwise designated as University's property, are the property of Contractor.
 8. Distribution of Samples: Prepare and distribute additional sets to Subcontractors, manufacturers, fabricators, suppliers, Installers, and others as required for performance of the Work. Show distribution on transmittal forms.
 9. Field Samples and Mock-Ups: Field Samples and mock-ups specified in individual Sections are full-size examples erected on site to illustrate finishes, coatings, or finish materials and to establish the standard by which the Work will be judged.
- E. Selection of Related Materials: Where selections of colors, patterns, textures are specified to be made by Architect/Engineer, assemble complete samples of all specified or approved products for all Specification Sections and submit to Architect/Engineer. Review specifications and assemble all such samples for a combined single submittal. Indicate on the transmittal the latest date for selections to be made for each item to permit delivery of material in accordance with Progress Schedule. Architect/Engineer's action is limited solely to the specified selections or rejection of submittal items not in accordance with Specifications.
- F. Coordination Drawing Submittals: Comply with requirements specified in Section 01 31 00 "Project Management and Coordination."
- G. Contractor's Construction Schedule: Comply with requirements specified in Section 01 32 00 "Construction Progress Documentation."
- H. Application for Payment and Schedule of Values: Comply with requirements specified in Section 01 29 00 "Payment Procedures."
- I. Test and Inspection Reports and Schedule of Tests and Inspections Submittals: Comply with requirements specified in Section 01 40 00 "Quality Requirements."

- J. Closeout Submittals and Maintenance Material Submittals: Comply with requirements specified in Section 01 77 00 "Closeout Procedures."
- K. Maintenance Data: Comply with requirements specified in Section 01 78 23 "Operation and Maintenance Data."
- L. LEED Submittals: For project required to obtain LEED certification, comply with requirements specified in Division 01 Section "Sustainable Design Requirements".
- M. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- N. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification and Procedure Qualification Record on AWS forms. Include names of firms and personnel certified.
- O. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
- P. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
- Q. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
- R. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
- S. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
- T. Product Test Reports: Submit written reports indicating that current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
- U. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project. Include the following information:
 - 1. Name of evaluation organization.
 - 2. Date of evaluation.
 - 3. Time period when report is in effect.
 - 4. Product and manufacturers' names.
 - 5. Description of product.
 - 6. Test procedures and results.
 - 7. Limitations of use.
- V. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.

- W. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.
- X. Field Test Reports: Submit written reports indicating and interpreting results of field tests performed either during installation of product or after product is installed in its final location, for compliance with requirements in the Contract Documents.
- Y. Design Data: Prepare and submit written and graphic information, including, but not limited to, performance and design criteria, list of applicable codes and regulations, and calculations. Include list of assumptions and other performance and design criteria and a summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Include page numbers.

2.2 DELEGATED-DESIGN SERVICES

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
 - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect/Engineer.
- B. Delegated-Design Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit three paper copies of certificate, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
 - 1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.
- C. BIM File Incorporation: Incorporate delegated-design drawing and data files into Building Information Model established for Project.
 - 1. Prepare delegated-design drawings in the following format: Same digital data software program, version, and operating system as the original Drawings.

PART 3 - EXECUTION

3.1 CONTRACTOR'S REVIEW

- A. Action and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to Architect/Engineer. Submittals received without Contractor's substantive review and approval stamp will be rejected and returned to the Contractor.
- B. Project Closeout and Maintenance Material Submittals: See requirements in Section 01 77 00 "Closeout Procedures."
- C. Approval Stamp: Stamp each submittal with a uniform, approval stamp. Include Project name and location, submittal number, Specification Section title and number, name of reviewer, date of Contractor's approval, and statement certifying that submittal has been reviewed, checked, and approved for compliance with the Contract Documents.

3.2 ARCHITECT/ENGINEER'S ACTION

- A. Action Submittals: Architect/Engineer will review each submittal, make marks to indicate corrections or revisions required, and return it. Architect/Engineer will stamp each submittal with an action stamp and will mark stamp appropriately to indicate action.
- B. Informational Submittals: Architect/Engineer will review each submittal and will not return it, or will return it if it does not comply with requirements. Architect/Engineer will forward each submittal to appropriate party.
- C. Partial submittals prepared for a portion of the Work will be reviewed when use of partial submittals has received prior approval from Architect/Engineer.
- D. Incomplete submittals are unacceptable, will be considered nonresponsive, and will be returned for resubmittal without review.
- E. Submittals not required by the Contract Documents may be returned by the Architect/Engineer without action.

END OF SECTION 01 33 00

SECTION 01 35 44

SPECIAL PROCEDURES FOR ENVIRONMENTAL HEALTH AND SAFETY AND FIRE AND LIFE SAFETY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes special administrative and procedural requirements related to environmental health and safety.
- B. University is Authority Having Jurisdiction (AHJ) for Fire and Life Safety. This responsibility is administered by the University's Fire and Life Safety Officer.
- C. Related Requirements:
 - 1. Section 01 35 46 "Indoor Air Quality Procedures" for procedure related to maintaining indoor air quality during construction.
 - 2. Section 02 81 00 "Transportation/Disposal of Hazardous Materials."

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 ENVIRONMENTAL HEALTH AND SAFETY AND FIRE AND LIFE SAFETY PROCEDURES

- A. Physical, Life, and Fire Safety:
 - 1. All contractors are required to conform to the Federal Occupational Safety and Health Administration (OSHA) regulations for construction (29 CFR 1926). Certain General Industry Standards (29 CFR 1910) may also apply, depending on location of work.
 - 2. Provide an effective health and safety program to control hazards, including but not limited to compressed gases, welding, electrical, safety netting, cranes, scaffolding and supplies on the roof.
 - 3. Provide fire protection in all construction areas to the satisfaction of the Authority Having Jurisdiction.
 - 4. During the construction phase, the Authority Having Jurisdiction may conduct oversight inspections to observe and provide recommendations regarding applicable safety standards. The following minimum items are included:
 - a. Do not block exit corridors. Install signage clearly identifying exit routes.
 - b. Provide physical barriers with appropriate warning signage to protect public areas from construction work.

- c. Conduct daily inspections to eliminate fire hazards and any other safety hazards.
- d. Periodic safety inspections will be performed on job sites by the Authority Having Jurisdiction. The Authority Having Jurisdiction for fire safety will present University's Project Manager with a written summary of the findings who will then take these issues to the Contractor's superintendent, foreman or other designated representative and return the summary form with documentation of the resolution of safety items to AHJ. Abate deficient items in a timely manner. Include documentation and resolution of safety items presented in weekly Progress Meeting minutes. Inspections by University AHJ are spot-checks only. They are not all encompassing. These inspections and recommendations do not relieve the Contractor from obligations related to safe work practices, as required under federal law.
- e. AHJ has the right to access the site at all times. Should a potential threat to personnel or property be observed, AHJ may require the hazard related operation immediately altered until adequate safeguards are addressed.
- f. Supply AHJ, through the University Project Manager, with a copy of Contractor's weekly safety meeting minutes and safety inspection reports.
- g. Provide signs used for proper identification of construction areas.
- h. Provide adequate number of appropriately rated fire extinguishers to be available on-site for emergency use in the construction area.
- i. Insure standpipes, pull stations, electrical panels, water control valves and fire hydrants are accessible at all times.
- j. Post emergency notification phone numbers provided by Contractor and University in all construction areas.
- k. Notify University Project Manager of any lost time injuries occurring on University's property within one (1) calendar day and of any fatalities immediately.
- l. Submit copies of all injury reports to AHJ, through University's Project Manager.
- m. Equip construction personnel with personal protective equipment (PPE) where required. Coordinate with University Project Manager to identify where use of PPE will be required.

B. OSHA Hazard Communication Standard:

- 1. Every Contractor and Subcontractor performing work shall to comply with the OSHA Hazard Communication Standard. Compliance includes joint University and Contractor responsibilities for the purpose of providing timely communications and information sharing with regard to hazardous materials, chemicals and chemical sources which may be present on-site or brought in by Contractor.
- 2. University Project Manager will provide Contractor with the following:
 - a. Information regarding known hazardous chemicals and agents or other hazards present at the job site.
 - b. University emergency procedures and contact numbers.
- 3. Provide safety training and environmental surveillance of all workers.
- 4. Inform and provide University's Project Manager the following:
 - a. Material safety data sheets (MSDS) for all chemicals introduced into the workplace.
 - b. Information regarding potential sources of pollutants which may be entrained in University's air intakes, e.g., roofing tar fumes, nuisance dusts, exhaust from internal combustion engines, welding or cutting fumes, and asbestos - if damaged or encountered during the course of the work.

C. Asbestos and Lead Paint:

- 1. The presence of asbestos-containing materials and/or paint containing lead on the job site does not mean a problem exists. Areas where asbestos is friable and not contained or lead paint is present or will be caused to be present in airborne or settled dust are of concern.

2. Responsibilities of University and Contractor regarding asbestos and lead paint are as follows:

a. University:

- 1) Notify the Contractor of the condition and location(s) where asbestos is known to be present or may reasonably be encountered, e.g., asbestos insulation, ceiling tiles, floor tiles, fire doors, wall and ceiling plasters, concrete, grouting, etc., and lead paint on metal building materials, walls, windows, etc.
- 2) Coordinate with Contractor when response action is required by a Subcontractor.
- 3) Contract with third party contractor to monitor areas where friable asbestos and/or lead-containing particles are present during construction/renovation projects for its own records and purpose. Monitoring results can be shared with Contractors but are in no way to be used for Contractor employee monitoring.
- 4) Final authority on all asbestos-related concerns and contractual arrangements.

b. Contractor:

- 1) Notify University's Project Manager of any suspected or existing problem involving asbestos or lead and cease work in that area until University has assessed the situation.
- 2) Ensure that undamaged asbestos-containing material and/or material containing lead, not included in the scope of the project, are not damaged.
- 3) Train and monitor their own employees, including Asbestos Awareness training and Lead Paint Awareness training, where applicable.
- 4) Be responsible for all environmental/industrial hygiene surveillance of its work staff and subcontractors and for required area monitoring where potential contamination of adjacent areas exists.
- 5) Prevent problems which can result in asbestos or lead exposure to building occupants.
- 6) Coordinate with the University's EHS Department and Building Maintenance and Operations through University's Project Manager and perform all activities that may potentially disturb asbestos containing materials in a manner acceptable to the EHS.
- 7) Follow State of Colorado regulation, Emission Standards for Asbestos, Part B, Control of Asbestos, "Regulation 8" and OSHA standards regulating exposure to asbestos and lead.
- 8) Where applicable, comply with Section 02 81 00 "Transportation/Disposal of Hazardous Materials."
- 9) Comply with current "Asbestos-Contaminated Soil Management Standard Operating Procedure Document, University of Colorado Anschutz Medical Campus" during excavation operations.

D. Carcinogens:

1. Contractor or any Subcontractor shall not knowingly install or cause to be installed any material or product containing carcinogens. Refer to Annual Report on Carcinogens, U.S. Department of Health and Human Services, National toxicology Program.

E. Hazardous Waste:

1. All hazardous wastes are to be handled and disposed of according to current EPA and CDPHE guidelines which can be obtained through University Project Manager. Only individuals specifically authorized by University may sign hazardous waste manifests for wastes generated on University's property. Only University approved transporters and disposal facilities are to be used for transportation and disposal of hazardous wastes.

- F. The Control of Hazardous Energy (Lockout/Tagout):
 - 1. Provide and enforce a program and procedures for the control of hazardous energy (lockout/tagout) including, but not limited to, locks, tags and lockout devices. Provide proof that workers have received safety training in the control of hazardous energy through lockout/tagout.

- G. Hot Work Operations:
 - 1. Comply with University hot work policy and obtain Hot Work Permit prior to executing any hot work in existing buildings.
 - 2. Notify University Project Manager prior to any hot work on University property.
 - 3. Provide and enforce a program to control fires during hot work operations. Provide appropriately rated fire extinguishers, fire retardant protective covers (when needed), and any other hot work related equipment.

- H. Confined Space Entry:
 - 1. Work in compliance with the “Confined Spaced Entry Procedure for Non-University Personnel” whenever any project requires entry into a confined space. A copy of this procedure can be obtained from University EHS through University’s Project Manager.

- I. Green Tagging of Work Area:
 - 1. Obtain a Green Tag and Construction Permit from the University Project Manager prior to any work being conducted in a laboratory or on any exhaust ductwork system serving a laboratory. If a Green Tag has been issued, it will be displayed at the entry of the laboratory area. The Green Tag assures that any radioactive, chemical or biological materials have been removed from the laboratory verifying the area is free from hazards to workers. If a Green Tag is not displayed, coordinate tagging with EHS through University’s Project Manager.

END OF SECTION 01 35 44

SECTION 01 40 00
QUALITY REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspecting services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
 - 1. Specific quality-assurance and -control requirements for individual construction activities are specified in the Sections that specify those activities. Requirements in those Sections may also cover production of standard products.
 - 2. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and -control procedures that facilitate compliance with the Contract Document requirements.
 - 3. Requirements for Contractor to provide quality-assurance and -control services required by Architect/Engineer, University, or authorities having jurisdiction are not limited by provisions of this Section.
 - 4. Specific test and inspection requirements are not specified in this Section.
- C. Related Requirements:
 - 1. Section 01 42 00 "Reference" for list of references, standards and definitions.
 - 2. Section 01 91 13 "General Commissioning" for coordination of testing with commissioning activities.
 - 3. Division 23 for testing, adjusting and balancing of mechanical systems.
 - 4. Division 26 for testing of electrical systems.

1.3 DEFINITIONS

- A. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- B. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Services do not include contract enforcement activities performed by Architect/Engineer.
- C. Mockups: Full-size physical assemblies that are constructed on-site. Mockups are constructed to verify selections made under Sample submittals; to demonstrate aesthetic effects and, where indicated, qualities

of materials and execution; to review coordination, testing, or operation; to show interface between dissimilar materials; and to demonstrate compliance with specified installation tolerances. Mockups are not Samples. Unless otherwise indicated, approved mockups establish the standard by which the Work will be judged.

1. As indicated in individual Specifications Sections or on the Drawings, the Work may include the following types of mockups:
 - a. Laboratory Mockups: Full-size physical assemblies constructed at testing facility to verify performance characteristics.
 - b. Integrated Exterior Mockups: Mockups of the exterior envelope erected separately from the building but on Project site, consisting of multiple products, assemblies, and subassemblies.
 - c. Room Mockups: Mockups of typical interior spaces complete with wall, floor, and ceiling finishes, doors, windows, millwork, casework, specialties, furnishings and equipment, and lighting.
 - D. Preconstruction Testing: Tests and inspections performed specifically for Project before products and materials are incorporated into the Work, to verify performance or compliance with specified criteria.
 - E. Product Testing: Tests and inspections that are performed by an NRTL, an NVLAP, or a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with specified requirements.
 - F. Source Quality-Control Testing: Tests and inspections that are performed at the source, e.g., plant, mill, factory, or shop.
 - G. Field Quality-Control Testing: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
 - H. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.
 - I. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, and similar operations.
 1. Use of trade-specific terminology in referring to a trade or entity does not require that certain construction activities be performed by accredited or unionized individuals, or that requirements specified apply exclusively to specific trade(s).
 - J. Experienced: When used with an entity or individual, "experienced" means having successfully completed a minimum of five previous projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- 1.4 CONFLICTING REQUIREMENTS
- A. Referenced Standards: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement. Refer conflicting requirements that are different, but apparently equal, to Architect/Engineer for a decision before proceeding.

- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect/Engineer for a decision before proceeding.

1.5 ACTION SUBMITTALS

- A. Shop Drawings: Where integrated exterior mockups are required and indicated on the Drawings, provide plans, sections, and elevations, indicating materials and size of mockup construction.
 - 1. Indicate manufacturer and model number of individual components.
 - 2. Provide axonometric drawings for conditions difficult to illustrate in two dimensions.

1.6 INFORMATIONAL SUBMITTALS

- A. Contractor's Statement of Responsibility: When required by authorities having jurisdiction, submit copy of written statement of responsibility sent to authorities having jurisdiction before starting work on the following systems:
 - 1. Seismic-force-resisting system, designated seismic system, or component listed in the designated seismic system quality-assurance plan prepared by Architect/Engineer.
 - 2. Main wind-force-resisting system or a wind-resisting component listed in the wind-force-resisting system quality-assurance plan prepared by Architect/Engineer.
- B. Testing Agency Qualifications: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- C. Schedule of Tests and Inspections: Prepare in tabular form and include the following:
 - 1. Specification Section number and title.
 - 2. Entity responsible for performing tests and inspections.
 - 3. Description of test and inspection.
 - 4. Identification of applicable standards.
 - 5. Identification of test and inspection methods.
 - 6. Number of tests and inspections required.
 - 7. Time schedule or time span for tests and inspections.
 - 8. Requirements for obtaining samples.
 - 9. Unique characteristics of each quality-control service.

1.7 REPORTS AND DOCUMENTS

- A. Test and Inspection Reports: Prepare and submit certified written reports specified in other Sections. Include the following:
 - 1. Date of issue.
 - 2. Project title and number.
 - 3. Name, address, and telephone number of testing agency.
 - 4. Dates and locations of samples and tests or inspections.
 - 5. Names of individuals making tests and inspections.
 - 6. Description of the Work and test and inspection method.

7. Identification of product and Specification Section.
 8. Complete test or inspection data.
 9. Test and inspection results and an interpretation of test results.
 10. Record of temperature and weather conditions at time of sample taking and testing and inspecting.
 11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
 12. Name and signature of laboratory inspector.
 13. Recommendations on retesting and reinspecting.
- B. Manufacturer's Technical Representative's Field Reports: Prepare written information documenting manufacturer's technical representative's tests and inspections specified in other Sections. Include the following:
1. Name, address, and telephone number of technical representative making report.
 2. Statement on condition of substrates and their acceptability for installation of product.
 3. Statement that products at Project site comply with requirements.
 4. Summary of installation procedures being followed, whether they comply with requirements and, if not, what corrective action was taken.
 5. Results of operational and other tests and a statement of whether observed performance complies with requirements.
 6. Statement whether conditions, products, and installation will affect warranty.
 7. Other required items indicated in individual Specification Sections.
- C. Factory-Authorized Service Representative's Reports: Prepare written information documenting manufacturer's factory-authorized service representative's tests and inspections specified in other Sections. Include the following:
1. Name, address, and telephone number of factory-authorized service representative making report.
 2. Statement that equipment complies with requirements.
 3. Results of operational and other tests and a statement of whether observed performance complies with requirements.
 4. Statement whether conditions, products, and installation will affect warranty.
 5. Other required items indicated in individual Specification Sections.
- D. Permits, Licenses, and Certificates: For University's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents, established for compliance with standards and regulations bearing on performance of the Work.
- 1.8 QUALITY ASSURANCE
- A. General: Qualifications paragraphs in this article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
1. Monitor quality control over products, services, site conditions, and workmanship to produce work of specified quality.
 2. Comply fully with manufacturers' instructions, including each step in sequence.
 3. If manufacturers' instructions conflict with Contract Document requirements, request clarification from Architect/Engineer before proceeding.
 4. Comply with specified standards as a minimum quality for the work except when more stringent tolerances, codes, or specified requirements indicate higher standards or more precise workmanship.
 5. Perform work by persons qualified to produce workmanship of specified quality.

- B. **Manufacturer Qualifications:** A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- C. **Fabricator Qualifications:** A firm experienced in producing products similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. **Subcontractor and Installer Qualifications:** A firm or individual experienced in installing, erecting, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance. In addition comply with the following:
 - 1. For all trades: Proof of applicable licensing.
 - 2. Electrical contractors:
 - a. Company: State of Colorado master electrician license.
 - b. On-site electricians: State of Colorado journeyman license.
 - 3. Plumbing Contractors:
 - a. Company: State of Colorado master plumbers license.
 - b. On-site plumbers: State of Colorado journeyman license.
 - c. Gas piping installations: State of Colorado master plumber with minimum 5 years institutional or heavy commercial gas piping experience. Provide an on-site supervisor with a minimum of 3 years of supervisory experience.
- E. **Professional Engineer Qualifications:** A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or product that are similar in material, design, and extent to those indicated for this Project.
- F. **Specialists:** Certain Specification Sections require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
 - 1. Requirements of authorities having jurisdiction shall supersede requirements for specialists.
- G. **Testing Agency Qualifications:** An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspecting indicated, as documented according to ASTM E 329 or ASTM D 3740 as appropriate; and with additional qualifications specified in individual Sections; and, where required by authorities having jurisdiction, that is acceptable to authorities.
 - 1. NRTL: A nationally recognized testing laboratory according to 29 CFR 1910.7.
 - 2. NVLAP: A testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program.
 - 3. Independent Agency: Meeting "Recommended Requirements for Independent Laboratory Qualifications" published by American Council for Independent Laboratories.
 - 4. Authorized to operate in the State of Colorado.
 - 5. Calibrate testing equipment at reasonable intervals with devices of accuracy traceable to National Bureau of Standards or of accepted values of natural physical constants.
- H. **Manufacturer's Technical Representative Qualifications:** An authorized representative of manufacturer who is trained and approved by manufacturer to observe and inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.

- I. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- J. Preconstruction Testing: Where testing agency is indicated to perform preconstruction testing for compliance with specified requirements for performance and test methods, comply with the following:
 - 1. Contractor responsibilities include the following:
 - a. Provide test specimens representative of proposed products and construction.
 - b. Submit specimens in a timely manner with sufficient time for testing and analyzing results to prevent delaying the Work.
 - c. Provide sizes and configurations of test assemblies, mockups, and laboratory mockups to adequately demonstrate capability of products to comply with performance requirements.
 - d. When required, build site-assembled test assemblies and mockups using installers who will perform same tasks for Project.
 - e. When required, build laboratory mockups at testing facility using personnel, products, and methods of construction indicated for the completed Work.
 - f. When testing is complete, remove test specimens, assemblies, mockups, and laboratory mockups, as applicable; do not reuse products on Project.
 - 2. Testing Agency Responsibilities: Submit a certified written report of each test, inspection, and similar quality-assurance service to Architect/Engineer, with copy to Contractor. Interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from the Contract Documents.
- K. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
 - 1. Build mockups in location and of size indicated or, if not indicated, as directed by Architect/Engineer.
 - 2. Notify Architect/Engineer seven calendar days in advance of dates and times when mockups will be constructed.
 - 3. Employ supervisory personnel who will oversee mockup construction. Employ workers that will be employed during the construction at Project.
 - 4. Demonstrate the proposed range of aesthetic effects and workmanship.
 - 5. Obtain Architect/Engineer's approval of mockups before starting work, fabrication, or construction.
 - a. Allow seven calendar days for initial review and each re-review of each mockup.
 - 6. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.
 - 7. Demolish and remove mockups when directed unless otherwise indicated.
- L. Integrated Exterior Mockups: When indicated on Drawings, construct integrated exterior mockup. Coordinate installation of exterior envelope materials and products for which mockups are required in individual Specification Sections, along with supporting materials.
- M. Room Mockups: When indicated on Drawings, construct room mockups incorporating required materials and assemblies, finished according to requirements. Provide required lighting and additional lighting where required to enable Architect/Engineer to evaluate quality of the Work. Provide room mockups of the following rooms:

- N. Laboratory Mockups: When required by individual Specification Sections, comply with requirements of preconstruction testing and those specified in individual Specification Sections.

1.9 QUALITY CONTROL

- A. University Responsibilities: Where quality-control services are indicated as University's responsibility, University will engage a qualified testing agency to perform these services.
 - 1. University will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspecting they are engaged to perform.
 - 2. Payment for these services will be made by the University.
 - 3. Costs for retesting and reinspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor.
- B. Contractor Responsibilities: Tests and inspections not explicitly assigned to University are Contractor's responsibility. Perform additional quality-control activities required to verify that the Work complies with requirements, whether specified or not.
 - 1. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
 - 2. Where services are indicated as Contractor's responsibility, engage a qualified testing agency to perform these quality-control services.
 - a. Contractor shall not employ same entity engaged by University, unless agreed to in writing by University.
 - 3. Notify testing agencies at least 24 hours in advance of time when Work that requires testing or inspecting will be performed.
 - 4. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
 - 5. Testing and inspecting requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
 - 6. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
- C. Manufacturer's Field Services: Where indicated, engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Section 01 33 00 "Submittal Procedures."
- D. Manufacturer's Technical Services: Where indicated, engage a manufacturer's technical representative to observe and inspect the Work. Manufacturer's technical representative's services include participation in preinstallation conferences, examination of substrates and conditions, verification of materials, observation of Installer activities, inspection of completed portions of the Work, and submittal of written reports.
- E. Retesting/Reinspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control services, including retesting and reinspecting, for construction that replaced Work that failed to comply with the Contract Documents.
- F. Testing Agency Responsibilities: Cooperate with Architect/Engineer and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.

1. Notify Architect/Engineer and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
 2. Determine the location from which test samples will be taken and in which in-situ tests are conducted.
 3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
 4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
 5. Do not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
 6. Do not perform any duties of Contractor.
- G. Associated Services: Cooperate with agencies performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
1. Access to the Work.
 2. Incidental labor and facilities necessary to facilitate tests and inspections.
 3. Adequate quantities of representative samples of materials that require testing and inspecting. Assist agency in obtaining samples.
 4. Facilities for storage and field curing of test samples including, but not limited to, safe storage and proper curing of concrete test cylinders at Project site for first 24 hours after casting as required by ASTM C 31.
 5. Delivery of samples to testing agencies.
 6. Preliminary design mix proposed for use for material mixes that require control by testing agency.
 7. Security and protection for samples and for testing and inspecting equipment at Project site.
- H. Coordination: Coordinate sequence of activities to accommodate required quality-assurance and -control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.
1. Schedule times for tests, inspections, obtaining samples, and similar activities.
- I. Manufactured Items and Equipment: Where manufactured products or equipment are required to have representative samples tested, do not use such materials or equipment until tests have been made and the materials or equipment found to be acceptable. Do not incorporate in the work any product which becomes unfit for use after acceptance.
- J. Schedule of Tests and Inspections: Prepare a schedule of tests, inspections, and similar quality-control services required by the Contract Documents. Coordinate and submit concurrently with Contractor's construction schedule. Update as the Work progresses.
1. Distribution: Distribute schedule to University, Architect/Engineer, testing agencies, and each party involved in performance of portions of the Work where tests and inspections are required.
- 1.10 SPECIAL TESTS AND INSPECTIONS
- A. Special Tests and Inspections: University will engage a qualified testing agency or special inspector to conduct special tests and inspections required by authorities having jurisdiction as the responsibility of University, and as follows:
1. Verifying that manufacturer maintains detailed fabrication and quality-control procedures and reviews the completeness and adequacy of those procedures to perform the Work.

2. Notifying Architect/Engineer and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
3. Submitting a certified written report of each test, inspection, and similar quality-control service to Architect/Engineer with copy to Contractor and to authorities having jurisdiction.
4. Submitting a final report of special tests and inspections at Substantial Completion, which includes a list of unresolved deficiencies.
5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
6. Retesting and reinspecting corrected work.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 TEST AND INSPECTION LOG

- A. Test and Inspection Log: Prepare a record of tests and inspections including instructions received from University. Include the following:
 1. Date test or inspection was conducted.
 2. Description of the Work tested or inspected.
 3. Date test or inspection results were transmitted to Architect/Engineer.
 4. Identification of testing agency or special inspector conducting test or inspection.
 5. Disposition: Pass, fail, nature of defects, if any.
 6. Date and descriptions of remedial or correction action taken.
- B. Maintain log at Project site. Post changes and revisions as they occur. Provide access to test and inspection log for Architect/Engineer's reference during normal working hours.

3.2 REPAIR AND PROTECTION

- A. General: On completion of testing, inspecting, sample taking, and similar services, repair damaged construction and restore substrates and finishes.
 1. Provide materials and comply with installation requirements specified in other Specification Sections or matching existing substrates and finishes. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible. Comply with the Contract Document requirements for cutting and patching in Section 01 73 00 "Execution."
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

3.3 SCHEDULE OF INSPECTIONS AND TESTS BY UNIVERSITY

- A. University will engage testing agency and pay for testing and inspection associated with the following materials and systems, where included in the Project:
 1. Compaction density of fill and backfill.

2. Drilled pier end bearing conditions and depths.
3. Cast-in-place concrete.
4. Precast concrete.
5. Post-tensioned concrete tendons.
6. Masonry.
7. Structural steel field welds and bolted connections.
8. Spray-applied fireproofing.
9. Built-up roof cutouts.
10. Asphaltic concrete paving.
11. Foundation drainage systems.
12. Drainage structures and piping.
13. Waterproofing.
14. Air barriers.
15. Fluid applied membranes.
16. Thermal imaging.
17. Curtain wall, window, and door field testing.
18. Ceiling hanger wire pull-out.
19. Electrical resistance of static-control resilient flooring.
20. Field sound testing of operable partitions.
21. Elevator safety.
22. Fan vibration.

END OF SECTION 01 40 00

SECTION 01 41 00

REGULATORY REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Building Department Authority.
 - 2. MS 4 Storm Water and Water Quality Permits
 - 3. Applicable Codes and Standards.

1.3 BUILDING DEPARTMENT AUTHORITY

- A. The University of Colorado Denver is charged with the responsibility of ensuring that provision of applicable codes, standards and guidelines are met on its campuses.
- B. The University Denver campus has an established Building Authority responsible to review and examine buildings and plan documents, to permit and inspect construction and/or demolition to ensure conformance to codes adopted by the University and issue certificates of temporary occupancy and occupancy if satisfactory conformance is demonstrated.
- C. The authority is executed by the Campus Building Official (CBO) who has the responsibility to perform all the duties set forth in the Current Approved State Buildings Codes and other applicable codes and standards indicated in the "Applicable Codes and Standards" Article of this Section.
- D. Permits: Obtain a separate permit for each Project from the Office of the CBO prior to erecting, constructing, enlarging, repairing, moving, removing, converting or demolishing any building or portion thereof. Coordinate and obtain all permits through the University Project Manager. The Contractor is not responsible for costs associated with construction permits.
 - 1. Exempt work: A building permit is not required for the following:
 - a. Fences less than or equal to 6 feet tall.
 - b. Movable casework, counters and partitions not over 5 feet 9 inches tall with no electrical or plumbing.
 - c. Platforms, walks, and driveways not more than 30 inches above grade and not over any basement or story below.
 - d. Painting, papering and similar finish work.
 - e. Other work of limited scope at the discretion of the CBO.
- E. Permit Issuance: The CBO, or at the discretion of the CBO a third party code consultant, will review application, Drawings, Specifications, computations and other data filed for permit. Complete the permit

application with the University Project Manager. Permits require submittal of two (2) stamped, signed sets of Construction Documents, including Drawings, Specifications and all Addenda, and one (1) set of each engineering discipline's calculations, where such calculations are required. If CBO determines that submittal conforms to the requirements of the Building Code and other applicable codes, standards, laws, regulations and ordinances, an inspection record card will be issued with the building permit. Keep one stamped set of documents on site. The University will keep one stamped set in the Campus Support plan room.

- F. Suspension or Revocation of Permit: CBO may, in writing, suspend or revoke a permit issued in error or on the basis of submitted information that is incorrect or that is in violation of the Building Code and other applicable codes and standards.
- G. Posting of Permit: Post the Permit in a visible and protected location near the access to the project.
- H. Inspection Record Card: Post the Inspection Record Card next to the permit in a visible and protected location near the access to the project. CBO will make required entries based on inspection of the work.
- I. Inspection Requests:
 - 1. Notify CBO that work is ready for inspection two business days before such inspection is desired by telephoning the number posted on the permit. The CBO retains the right to require requests in writing.
 - 2. A re-inspection fee may be charged for prior rejected items.
- J. Construction Inspections:
 - 1. Contractor is not responsible for costs associated with construction inspections, except re-inspections. The CBO or his/her designee will perform all general building, electrical and plumbing inspections. All construction or work for which a permit is required must remain accessible and exposed for inspection purposes. Provide access to and means for inspection of work.
 - 2. Site Utilities: Contact and comply with all requirements of City of Aurora.
 - 3. Plumbing and Electrical Inspections: For new buildings and major additions, contact and comply with all requirements of State of Colorado Plumbing and Electrical Boards.
 - 4. Provisions for structural and other special inspections required by Contract Documents, current approved State Building Codes and University Codes will be provided by the University.
- K. Certification of Occupancy:
 - 1. When CBO inspects the project and finds no violations of any provision of the Building Code, other applicable codes, standards, laws, regulations and ordinances, CBO will issue a Certification of Occupancy (CO) which will contain the following:
 - a. Building permit number.
 - b. Address of building.
 - c. Name and address of Owner.
 - d. Description of building or portion thereof for which certification is issued.
 - e. Statement that described building or portion thereof has been inspected for compliance with the requirements of the Building Code, other applicable codes, standards, laws, regulations and ordinances, as relates to type of occupancy and use for which the building is intended.

2. Temporary Certificate of Occupancy (TCO): If CBO finds no substantial hazard will result from occupancy of any building or portion thereof before the same is completed, CBO may issue a TCO for the use of a portion or portions of a building or structure prior to the completion of the entire building or structure.
3. Posting of CO: Provide a copy to the University Project Manager and post in a conspicuous location on the premises. CO may not be removed except by CBO upon initial occupancy.
4. Revocation of CO:

1.4 MS4 STORM WATER AND WATER QUALITY PERMITS

- A. The University has a non-standard MS4 permit for entire Anschutz Medical Campus (AMC) that requires University over-sight of campus construction and its water quality impact. Contractors are required to prepare Storm Water Quality Plans and obtain State of Colorado CDPHE permits for all projects that impact site. In addition, Contractors shall comply with the University MS4 permit requirements, including keeping written record of weekly inspections of Storm Water Quality measures and attaching record to the weekly Progress Meeting minutes. Submit the plan, permits, and evidence of final closeout to University Project Manager who will copy all such storm water documents to University Engineering Department. Coordinate with University Project Manager who will arrange for University Grounds Manager to attend monthly inspections and closeout walk.

1.5 APPLICABLE CODES AND STANDARDS

- A. The following approved building codes and standards have been adopted by State Buildings Programs (SBP) as the minimum requirements to be applied to all state-owned buildings and physical facilities including capital construction and controlled maintenance construction projects. Current applicable codes can be obtained from The Office of the State Architect's website.
- B. University of Colorado Denver Codes and Standards: The following codes and standards supplement those indicated on the Office of the State Architect website.
 1. The Manual of Guidelines and Standards for Construction Projects
 - a. <http://ucdenver.edu/about/departments/FacilitiesManagement/FacilitiesProjects/Pages/GuidelinesStandards.aspx>
 2. Colorado Rules and Regulations pertaining to Radiation Control, 6 CCR 1007 Part 1-20.
 3. Colorado Rules and Regulations pertaining Air Quality Control Commission Regulations, 5 CCR 1001-10, Part B "Asbestos Control."
 4. Colorado Rules and Regulations pertaining to Solid Waste, 6 CCR 1007-2.
 5. Colorado Rules and Regulations pertaining to Hazardous Waste, 6 CCR 1007-3.
 6. Federal Hazardous Waste Regulations, 40 CFR, Parts 260 through 264.
 7. Federal Clean Water Act (CWA) is 33 U.S.C § 1251 et seq. (1972).
 8. University of Colorado Denver | Anschutz Medical Campus, Asbestos Contaminated Soil Management, Standard Operating Procedure (SOP) Document.
 9. NFPA 30: Flammable and Combustible Liquids Code.
 10. NFPA 45: Standard on Fire Protection for Laboratories Using Chemicals.
 11. NFPA 72: National Fire Alarm and Signaling Code.
 12. Life Safety Code (NFPA 101) – latest edition.
 - a. Use the most restrictive interpretation where NFPA 101 conflicts with the IBC requirements.

13. ANSI/AIHA Z9.5 Laboratory Ventilation – latest edition.
 - a. <http://www.aiha.org/insideaiha/standards/Pages/ANSIZ9.aspx>
 14. ANSI/AIHA Z9.6 Exhaust Systems for Grinding, Buffing and Polishing – latest edition.
 - a. <http://www.aiha.org/insideaiha/standards/Pages/ANSIZ9.aspx>
 15. ANSI/AIHA Z9.10 Fundamentals Governing the Design and Operation of Dilution Ventilation Systems in Industrial Occupancies – latest edition.
 - a. <http://www.aiha.org/insideaiha/standards/Pages/ANSIZ9.aspx>
 16. ANSI/ASHRAE/ASHE Standard 170 – Ventilation of Healthcare Facilities – latest edition.
 17. ASHRAE 62.1 – Ventilation for Acceptable Indoor Air Quality.
 18. OSHA “Safety and Health Regulation for Construction” (29 CFR 1926).
 19. OSHA “Occupational Safety and Health Standards” (29 CFR 1910).
 20. American Institute of Architects, Academy of Architecture for Health (AIA AAHA) and Facility Guidelines Institute (FGI), Guidelines for Design and Construction of Hospital and Healthcare Facilities – latest edition (FOR PATIENT CARE AREAS ONLY).
 21. CDC-NIH Biosafety in Microbiological and Biomedical Laboratories (BMBL); latest edition.
 22. NIH Design Requirements Manual (DRM) – latest edition.
 - a. <http://orf.nih.gov/PoliciesAndGuidelines/BiomedicalandAnimalResearchFacilitiesDesignPoliciesandGuidelines/DesignRequirementsManualPDF.htm>
 23. NIH Guidelines for Research Involving Recombinant DNA Molecules – latest edition.
 24. ILAR Guide for Care and Use of Laboratory Animals - latest edition.
 25. National Research Council of the National Academies, Institute for Laboratory Animal Research, Division on Earth and Life Studies: Guide for the Care and Use of Laboratory Animals - latest edition.
 26. Uniform Federal Accessibility Standards (UFAS) – latest edition.
 27. Metro Wastewater District’s Rules and Regulations, (Sections 6.17 [6.13, 6.14] and 6.18).
 28. City of Aurora Asphalt and Paving Standards - latest edition.
- C. Other Standards: As indicated in individual Specification Sections.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 41 00

SECTION 01 42 00

REFERENCES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Definitions.
- 2. Industry Standards.
- 3. Abbreviations and Acronyms.

- B. Related Requirements:

- 1. Section 01 10 00 "Summary" for an explanation of specification and drawing conventions.
- 2. Section 01 41 00 "Regulatory Requirements" for a list of applicable codes.

1.3 DEFINITIONS

- A. General: Basic Contract definitions are included in the Conditions of the Contract.

- 1. Definitions in this Section are not intended to be complete, exhaustive or exclusive. They are general and apply to the Work to the extent that such definitions are not stated more explicitly in other provisions of the Contract Documents.

- B. "Approved": When used to convey Architect/Engineer's action on Contractor's submittals, applications, and requests, "approved" is limited to Architect/Engineer's duties and responsibilities as stated in the Conditions of the Contract. Except where expressly indicated, such approval does not release the Contractor from responsibility to fulfill requirements of the Contract Documents.

- C. "Backup": N+1 system.

- D. "Directed": A command or instruction by Architect/Engineer. Other terms including "requested," "authorized," "selected," "required," and "permitted" have the same meaning as "directed."

- E. "EHS": Environmental Health and Safety.

- F. "Engineer": Architect/Engineer. Other terms including "Mechanical Engineer", "Electrical Engineer", or "Structural Engineer" have the same meaning as "Engineer."

- G. "General Conditions": Contract terms contained in Construction Manager/General Contractor Agreement CMGC, State Form SC-6.4

- H. "General Requirements": Provisions and requirements of all Division 01 Sections as they apply to all aspects of the Work.
- I. "Guarantee": The narrow definition of the term "warranty" applying to both "warranty" and "guarantee" which terms are used interchangeably.
- J. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- K. "Redundant": 2N system. The level of redundancy is determined by design.
- L. "Regulations": Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work, whether lawfully imposed by authorities having jurisdiction or not.
- M. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- N. "Install": Operations at Project site including unloading, temporarily storing, unpacking, assembling, erecting, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations.
- O. "Owner": Principal Representative and/or University.
- P. "Provide": Furnish and install, complete and ready for the intended use.
- Q. "Project Manual": Bound, printed volume or volumes including Conditions of the Contract and Specifications, which may also include bidding requirements, contract forms, details, schedules, surveys, reports or other relevant items that may or may not be Contract Documents.
- R. "Project Site": Space available for performing construction activities, either exclusively or in conjunction with others performing other work as part of the Project. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.
- S. "Supplementary Conditions": University Special Supplementary General Conditions. Other terms including "Supplementary General Conditions" shall have the same meaning.

1.4 INDUSTRY STANDARDS

- A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.
 - 1. Referenced standards take precedence over standards that are not referenced but generally recognized in the construction industry as applicable.
- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents.
 - 1. Updated Codes and Standards: Where an applicable code or standard has been revised and reissued after the date of the Contract Documents and before performance of Work affected, submit Contractor-Initiated Change Order Bulletin and Change Order Proposal in accordance with

Section 01 26 00 “Contract Modification Procedures” for consideration to modify contract requirements to comply with revised code or standard.

- C. Copies of Standards: Each entity engaged in construction on Project should be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
 - 1. Where copies of standards are needed to perform a required construction activity, obtain copies directly from publication source.
 - 2. Where required by individual Specification Sections provide and maintain copies of referenced codes and standards at Project Site.
 - 3. Although copies of standards needed for enforcement of requirements may be part of required submittals, the Architect/Engineer reserves the right to require the Contractor to submit additional copies as necessary for enforcement of requirements.
- D. Unreferenced Standards: Unreferenced standards are not directly applicable to the Work, except as a general requirement of whether the Work complies with recognized construction industry standards.
- E. Conflicting Requirements: Where compliance with two or more standards is specified, and they establish different or conflicting requirements for minimum quantities or quality levels, the most stringent requirement will be enforced, unless the Contract Documents indicate otherwise. Refer requirements that are different, but apparently equal, and uncertainties as to which quality level is more stringent to the Architect/Engineer for a decision before proceeding.

1.5 ABBREVIATIONS AND ACRONYMS

- A. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Names, telephone numbers, and Web sites are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

AABC	Associated Air Balance Council www.aabc.com	(202) 737-0202
AAMA	American Architectural Manufacturers Association www.aamanet.org	(847) 303-5664
AASHTO	American Association of State Highway and Transportation Officials www.transportation.org	(202) 624-5800
AATCC	American Association of Textile Chemists and Colorists www.aatcc.org	(919) 549-8141
ABMA	American Bearing Manufacturers Association www.americanbearings.org	(202) 367-1155
ACI	American Concrete Institute (Formerly: ACI International) www.concrete.org	(248) 848-3700
ACPA	American Concrete Pipe Association www.concrete-pipe.org	(972) 506-7216
AEIC	Association of Edison Illuminating Companies, Inc. (The)	(205) 257-2530

	www.aeic.org	
AF&PA	American Forest & Paper Association www.afandpa.org	(800) 878-8878 (202) 463-2700
AGA	American Gas Association www.aga.org	(202) 824-7000
AHAM	Association of Home Appliance Manufacturers www.aham.org	(202) 872-5955
AHRI	Air-Conditioning, Heating, and Refrigeration Institute (The) www.ahrinet.org	(703) 524-8800
AI	Asphalt Institute www.asphaltinstitute.org	(859) 288-4960
AIA	American Institute of Architects (The) www.aia.org	(800) 242-3837 (202) 626-7300
AISC	American Institute of Steel Construction www.aisc.org	(800) 644-2400 (312) 670-2400
AISI	American Iron and Steel Institute www.steel.org	(202) 452-7100
AITC	American Institute of Timber Construction www.aitc-glulam.org	(303) 792-9559
AMCA	Air Movement and Control Association International, Inc. www.amca.org	(847) 394-0150
ANSI	American National Standards Institute www.ansi.org	(202) 293-8020
AOSA	Association of Official Seed Analysts, Inc. www.aosaseed.com	(607) 256-3313
APA	APA - The Engineered Wood Association www.apawood.org	(253) 565-6600
APA	Architectural Precast Association www.archprecast.org	(239) 454-6989
API	American Petroleum Institute www.api.org	(202) 682-8000
ARI	Air-Conditioning & Refrigeration Institute (See AHRI)	
ARI	American Refrigeration Institute (See AHRI)	
ARMA	Asphalt Roofing Manufacturers Association www.asphaltroofing.org	(202) 207-0917

ASCE	American Society of Civil Engineers www.asce.org	(800) 548-2723 (703) 295-6300
ASCE/SEI	American Society of Civil Engineers/Structural Engineering Institute (See ASCE)	
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers www.ashrae.org	(800) 527-4723 (404) 636-8400
ASME	ASME International (American Society of Mechanical Engineers) www.asme.org	(800) 843-2763 (973) 882-1170
ASSE	American Society of Safety Engineers (The) www.asse.org	(847) 699-2929
ASSE	American Society of Sanitary Engineering www.asse-plumbing.org	(440) 835-3040
ASTM	ASTM International (American Society for Testing and Materials International) www.astm.org	(610) 832-9500
ATIS	Alliance for Telecommunications Industry Solutions www.atis.org	(202) 628-6380
AWEA	American Wind Energy Association www.awea.org	(202) 383-2500
AWI	Architectural Woodwork Institute www.awinet.org	(571) 323-3636
AWMAC	Architectural Woodwork Manufacturers Association of Canada www.awmac.com	(403) 453-7387
AWPA	American Wood Protection Association (Formerly: American Wood-Preservers' Association) www.awpa.com	(205) 733-4077
AWS	American Welding Society www.aws.org	(800) 443-9353 (305) 443-9353
AWWA	American Water Works Association www.awwa.org	(800) 926-7337 (303) 794-7711
BHMA	Builders Hardware Manufacturers Association www.buildershardware.com	(212) 297-2122
BIA	Brick Industry Association (The) www.gobrick.com	(703) 620-0010
BICSI	BICSI, Inc. www.bicsi.org	(800) 242-7405 (813) 979-1991

BIFMA	BIFMA International (Business and Institutional Furniture Manufacturer's Association) www.bifma.com	(616) 285-3963
BISSC	Baking Industry Sanitation Standards Committee www.bissc.org	(866) 342-4772
BOCA	BOCA (Building Officials and Code Administrators International Inc.) (See ICC)	
BWF	Badminton World Federation (Formerly: International Badminton Federation) www.bwfbadminton.org	60 3 9283 7155
CDA	Copper Development Association www.copper.org	(800) 232-3282 (212) 251-7200
CEA	Canadian Electricity Association www.electricity.ca	(613) 230-9263
CEA	Consumer Electronics Association www.ce.org	(866) 858-1555 (703) 907-7600
CFFA	Chemical Fabrics & Film Association, Inc. www.chemicalfabricsandfilm.com	(216) 241-7333
CFSEI	Cold-Formed Steel Engineers Institute www.cfsei.org	(866) 465-4732 (202) 263-4488
CGA	Compressed Gas Association www.cganet.com	(703) 788-2700
CIMA	Cellulose Insulation Manufacturers Association www.cellulose.org	(888) 881-2462 (937) 222-2462
CISCA	Ceilings & Interior Systems Construction Association www.cisca.org	(630) 584-1919
CISPI	Cast Iron Soil Pipe Institute www.cispi.org	(404) 622-0073
CLFMI	Chain Link Fence Manufacturers Institute www.chainlinkinfo.org	(301) 596-2583
CPA	Composite Panel Association www.pbmdf.com	(703) 724-1128
CRI	Carpet and Rug Institute (The) www.carpet-rug.org	(706) 278-3176
CRRC	Cool Roof Rating Council www.coolroofs.org	(866) 465-2523 (510) 485-7175
CRSI	Concrete Reinforcing Steel Institute www.crsi.org	(800) 328-6306 (847) 517-1200

CSA	Canadian Standards Association www.csa.ca	(800) 463-6727 (416) 747-4000
CSA	CSA International (Formerly: IAS - International Approval Services) www.csa-international.org	(866) 797-4272 (416) 747-4000
CSI	Construction Specifications Institute (The) www.csinet.org	(800) 689-2900 (703) 684-0300
CSSB	Cedar Shake & Shingle Bureau www.cedarbureau.org	(604) 820-7700
CTI	Cooling Technology Institute (Formerly: Cooling Tower Institute) www.cti.org	(281) 583-4087
CWC	Composite Wood Council (See CPA)	
DASMA	Door and Access Systems Manufacturers Association www.dasma.com	(216) 241-7333
DHI	Door and Hardware Institute www.dhi.org	(703) 222-2010
ECA	Electronic Components Association www.ec-central.org	(703) 907-8024
ECAMA	Electronic Components Assemblies & Materials Association (See ECA)	
EIA	Electronic Industries Alliance (See TIA)	
EIMA	EIFS Industry Members Association www.eima.com	(800) 294-3462 (703) 538-1616
EJMA	Expansion Joint Manufacturers Association, Inc. www.ejma.org	(914) 332-0040
ESD	ESD Association (Electrostatic Discharge Association) www.esda.org	(315) 339-6937
ESTA	Entertainment Services and Technology Association (See PLASA)	
EVO	Efficiency Valuation Organization www.evo-world.org	(415) 367-3643 44 20 88 167 857
FIBA	Fédération Internationale de Basketball (The International Basketball Federation) www.fiba.com	41 22 545 00 00

FIVB	Fédération Internationale de Volleyball (The International Volleyball Federation) www.fivb.org	41 21 345 35 45
FM Approvals	FM Approvals LLC www.fmglobal.com	(781) 762-4300
FM Global	FM Global (Formerly: FMG - FM Global) www.fmglobal.com	(401) 275-3000
FRSA	Florida Roofing, Sheet Metal & Air Conditioning Contractors Association, Inc. www.floridarroof.com	(407) 671-3772
FSA	Fluid Sealing Association www.fluidsealing.com	(610) 971-4850
FSC	Forest Stewardship Council U.S. www.fscus.org	(612) 353-4511
GA	Gypsum Association www.gypsum.org	(301) 277-8686
GANA	Glass Association of North America www.glasswebsite.com	(785) 271-0208
GS	Green Seal www.greenseal.org	(202) 872-6400
HI	Hydraulic Institute www.pumps.org	(973) 267-9700
HI/GAMA	Hydronics Institute/Gas Appliance Manufacturers Association (See AHRI)	
HMMA	Hollow Metal Manufacturers Association (See NAAMM)	
HPVA	Hardwood Plywood & Veneer Association www.hpva.org	(703) 435-2900
HPW	H. P. White Laboratory, Inc. www.hpwhite.com	(410) 838-6550
IAPSC	International Association of Professional Security Consultants www.iapsc.org	(415) 536-0288
IAS	International Approval Services (See CSA)	
ICBO	International Conference of Building Officials (See ICC)	
ICC	International Code Council www.iccsafe.org	(888) 422-7233 (202) 370-1800

ICEA	Insulated Cable Engineers Association, Inc. www.icea.net	(770) 830-0369
ICPA	International Cast Polymer Alliance www.icpa-hq.org	(703) 525-0511
ICRI	International Concrete Repair Institute, Inc. www.icri.org	(847) 827-0830
IEC	International Electrotechnical Commission www.iec.ch	41 22 919 02 11
IEEE	Institute of Electrical and Electronics Engineers, Inc. (The) www.ieee.org	(212) 419-7900
IES	Illuminating Engineering Society (Formerly: Illuminating Engineering Society of North America) www.ies.org	(212) 248-5000
IESNA	Illuminating Engineering Society of North America (See IES)	
IEST	Institute of Environmental Sciences and Technology www.iest.org	(847) 981-0100
IGMA	Insulating Glass Manufacturers Alliance www.igmaonline.org	(613) 233-1510
IGSHPA	International Ground Source Heat Pump Association www.igshpa.okstate.edu	(405) 744-5175
ILI	Indiana Limestone Institute of America, Inc. www.iliai.com	(812) 275-4426
Intertek	Intertek Group (Formerly: ETL SEMCO; Intertek Testing Service NA) www.intertek.com	(800) 967-5352
ISA	International Society of Automation (The) (Formerly: Instrumentation, Systems, and Automation Society) www.isa.org	(919) 549-8411
ISAS	Instrumentation, Systems, and Automation Society (The) (See ISA)	
ISFA	International Surface Fabricators Association (Formerly: International Solid Surface Fabricators Association) www.isfanow.org	(877) 464-7732 (801) 341-7360
ISO	International Organization for Standardization www.iso.org	41 22 749 01 11
ISSFA	International Solid Surface Fabricators Association (See ISFA)	

ITU	International Telecommunication Union www.itu.int/home	41 22 730 51 11
KCMA	Kitchen Cabinet Manufacturers Association www.kcma.org	(703) 264-1690
LMA	Laminating Materials Association (See CPA)	
LPI	Lightning Protection Institute www.lightning.org	(800) 488-6864
MBMA	Metal Building Manufacturers Association www.mbma.com	(216) 241-7333
MCA	Metal Construction Association www.metalconstruction.org	(847) 375-4718
MFMA	Maple Flooring Manufacturers Association, Inc. www.maplefloor.org	(888) 480-9138
MFMA	Metal Framing Manufacturers Association, Inc. www.metalframingmfg.org	(312) 644-6610
MHIA	Material Handling Industry of America www.mhia.org	(800) 345-1815 (704) 676-1190
MIA	Marble Institute of America www.marble-institute.com	(440) 250-9222
MMPA	Moulding & Millwork Producers Association (Formerly: Wood Moulding & Millwork Producers Association) www.wmmpa.com	(800) 550-7889 (530) 661-9591
MPI	Master Painters Institute www.paintinfo.com	(888) 674-8937 (604) 298-7578
MSS	Manufacturers Standardization Society of The Valve and Fittings Industry Inc. www.mss-hq.org	(703) 281-6613
NAAMM	National Association of Architectural Metal Manufacturers www.naamm.org	(630) 942-6591
NACE	NACE International (National Association of Corrosion Engineers International) www.nace.org	(800) 797-6223 (281) 228-6200
NADCA	National Air Duct Cleaners Association www.nadca.com	(202) 737-2926
NAIMA	North American Insulation Manufacturers Association www.naima.org	(703) 684-0084
NBGQA	National Building Granite Quarries Association, Inc. www.nbgqa.com	(800) 557-2848

NCAA	National Collegiate Athletic Association (The) www.ncaa.org	(317) 917-6222
NCMA	National Concrete Masonry Association www.ncma.org	(703) 713-1900
NEBB	National Environmental Balancing Bureau www.nebb.org	(301) 977-3698
NECA	National Electrical Contractors Association www.necanet.org	(301) 657-3110
NeLMA	Northeastern Lumber Manufacturers Association www.nelma.org	(207) 829-6901
NEMA	National Electrical Manufacturers Association www.nema.org	(703) 841-3200
NETA	InterNational Electrical Testing Association www.netaworld.org	(888) 300-6382 (269) 488-6382
NFHS	National Federation of State High School Associations www.nfhs.org	(317) 972-6900
NFPA	NFPA (National Fire Protection Association) www.nfpa.org	(800) 344-3555 (617) 770-3000
NFPA	NFPA International (See NFPA)	
NFRC	National Fenestration Rating Council www.nfrc.org	(301) 589-1776
NHLA	National Hardwood Lumber Association www.nhla.com	(800) 933-0318 (901) 377-1818
NLGA	National Lumber Grades Authority www.nlga.org	(604) 524-2393
NOFMA	National Oak Flooring Manufacturers Association (See NWFA)	
NOMMA	National Ornamental & Miscellaneous Metals Association www.nomma.org	(888) 516-8585
NRCA	National Roofing Contractors Association www.nrca.net	(800) 323-9545 (847) 299-9070
NRMCA	National Ready Mixed Concrete Association www.nrmca.org	(888) 846-7622 (301) 587-1400
NSF	NSF International (National Sanitation Foundation International) www.nsf.org	(800) 673-6275 (734) 769-8010

NSPE	National Society of Professional Engineers www.nspe.org	(703) 684-2800
NSSGA	National Stone, Sand & Gravel Association www.nssga.org	(800) 342-1415 (703) 525-8788
NTMA	National Terrazzo & Mosaic Association, Inc. (The) www.ntma.com	(800) 323-9736
NWFA	National Wood Flooring Association www.nwfa.org	(800) 422-4556 (636) 519-9663
PCI	Precast/Prestressed Concrete Institute www.pci.org	(312) 786-0300
PDI	Plumbing & Drainage Institute www.pdionline.org	(800) 589-8956 (978) 557-0720
PLASA	PLASA (Formerly: ESTA - Entertainment Services and Technology Association) www.plasa.org	(212) 244-1505
RCSC	Research Council on Structural Connections www.boltcouncil.org	
RFCI	Resilient Floor Covering Institute www.rfci.com	(706) 882-3833
RIS	Redwood Inspection Service www.redwoodinspection.com	(925) 935-1499
SAE	SAE International (Society of Automotive Engineers) www.sae.org	(877) 606-7323 (724) 776-4841
SBCCI	Southern Building Code Congress International, Inc. (See ICC)	
SCTE	Society of Cable Telecommunications Engineers www.scte.org	(800) 542-5040 (610) 363-6888
SDI	Steel Deck Institute www.sdi.org	(847) 458-4647
SDI	Steel Door Institute www.steeldoor.org	(440) 899-0010
SEFA	Scientific Equipment and Furniture Association www.sefalabs.com	(877) 294-5424 (516) 294-5424
SEI/ASCE	Structural Engineering Institute/American Society of Civil Engineers (See ASCE)	

SIA	Security Industry Association www.siaonline.org	(866) 817-8888 (703) 683-2075
SJI	Steel Joist Institute www.steeljoist.org	(843) 293-1995
SMA	Screen Manufacturers Association www.smainfo.org	(773) 636-0672
SMACNA	Sheet Metal and Air Conditioning Contractors' National Association www.smacna.org	(703) 803-2980
SMPTE	Society of Motion Picture and Television Engineers www.smpte.org	(914) 761-1100
SPFA	Spray Polyurethane Foam Alliance www.sprayfoam.org	(800) 523-6154
SPIB	Southern Pine Inspection Bureau www.spib.org	(850) 434-2611
SPRI	Single Ply Roofing Industry www.spri.org	(781) 647-7026
SRCC	Solar Rating and Certification Corporation www.solar-rating.org	(321) 638-1537
SSINA	Specialty Steel Industry of North America www.ssina.com	(800) 982-0355 (202) 342-8630
SSPC	SSPC: The Society for Protective Coatings www.sspc.org	(877) 281-7772 (412) 281-2331
STI	Steel Tank Institute www.steeltank.com	(847) 438-8265
SWI	Steel Window Institute www.steelwindows.com	(216) 241-7333
SWPA	Submersible Wastewater Pump Association www.swpa.org	(847) 681-1868
TCA	Tilt-Up Concrete Association www.tilt-up.org	(319) 895-6911
TCNA	Tile Council of North America, Inc. (Formerly: Tile Council of America) www.tileusa.com	(864) 646-8453
TEMA	Tubular Exchanger Manufacturers Association, Inc. www.tema.org	(914) 332-0040
TIA	Telecommunications Industry Association (Formerly: TIA/EIA - Telecommunications Industry Association/Electronic Industries Alliance) www.tiaonline.org	(703) 907-7700

TIA/EIA	Telecommunications Industry Association/Electronic Industries Alliance (See TIA)	
TMS	The Masonry Society www.masonrysociety.org	(303) 939-9700
TPI	Truss Plate Institute www.tpinst.org	(703) 683-1010
TPI	Turfgrass Producers International www.turfgrassod.org	(800) 405-8873 (847) 649-5555
TRI	Tile Roofing Institute www.tilerroofing.org	(312) 670-4177
UBC	Uniform Building Code (See ICC)	
UL	Underwriters Laboratories Inc. www.ul.com	(877) 854-3577
UNI	Uni-Bell PVC Pipe Association www.uni-bell.org	(972) 243-3902
USAV	USA Volleyball www.usavolleyball.org	(888) 786-5539 (719) 228-6800
USGBC	U.S. Green Building Council www.usgbc.org	(800) 795-1747
USITT	United States Institute for Theatre Technology, Inc. www.usitt.org	(800) 938-7488 (315) 463-6463
WASTEC	Waste Equipment Technology Association www.wastec.org	(800) 424-2869 (202) 244-4700
WCLIB	West Coast Lumber Inspection Bureau www.wclib.org	(800) 283-1486 (503) 639-0651
WCMA	Window Covering Manufacturers Association www.wcmanet.org	(212) 297-2122
WDMA	Window & Door Manufacturers Association www.wdma.com	(800) 223-2301 (312) 321-6802
WI	Woodwork Institute (Formerly: WIC - Woodwork Institute of California) www.wicnet.org	(916) 372-9943
WMMPA	Wood Moulding & Millwork Producers Association (See MMPA)	
WSRCA	Western States Roofing Contractors Association www.wsrca.com	(800) 725-0333 (650) 938-5441

WWPA	Western Wood Products Association www.wwpa.org	(503) 224-3930
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B. Code Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Names, telephone numbers, and Web sites are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

DIN	Deutsches Institut für Normung e.V. www.din.de	49 30 2601-0
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IAPMO	International Association of Plumbing and Mechanical Officials www.iapmo.org	(909) 472-4100
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ICC	International Code Council www.iccsafe.org	(888) 422-7233
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ICC-ES	ICC Evaluation Service, LLC www.icc-es.org	(800) 423-6587 (562) 699-0543
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C. Federal Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list. Names, telephone numbers, and Web sites are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

COE	Army Corps of Engineers www.usace.army.mil	(202) 761-0011
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CPSC	Consumer Product Safety Commission www.cpsc.gov	(800) 638-2772 (301) 504-7923
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DOC	Department of Commerce National Institute of Standards and Technology www.nist.gov	(301) 975-4040
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DOD	Department of Defense http://dodssp.daps.dla.mil	(215) 697-2664
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DOE	Department of Energy www.energy.gov	(202) 586-9220
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EPA	Environmental Protection Agency www.epa.gov	(202) 272-0167
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FAA	Federal Aviation Administration www.faa.gov	(866) 835-5322
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FG	Federal Government Publications www.gpo.gov	(202) 512-1800
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GSA	General Services Administration www.gsa.gov	(800) 488-3111 (202) 619-8925
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HUD	Department of Housing and Urban Development www.hud.gov	(202) 708-1112
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LBL	Lawrence Berkeley National Laboratory Environmental Energy Technologies Division http://eetd.lbl.gov	(510) 486-4000
OSHA	Occupational Safety & Health Administration www.osha.gov	(800) 321-6742
SD	Department of State www.state.gov	(202) 647-4000
TRB	Transportation Research Board National Cooperative Highway Research Program www.trb.org	(202) 334-2934
USDA	Department of Agriculture Agriculture Research Service U.S. Salinity Laboratory www.ars.usda.gov	(202) 720-3656
USDA	Department of Agriculture Rural Utilities Service www.usda.gov	(202) 720-2791
USDJ	Department of Justice Office of Justice Programs National Institute of Justice www.ojp.usdoj.gov	(202) 307-0703
USP	U.S. Pharmacopeia www.usp.org	(800) 227-8772 (301) 881-0666
USPS	United States Postal Service www.usps.com	(202) 268-2000

D. Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the standards and regulations in the following list. Names, telephone numbers, and Web sites are subject to change and are believed to be accurate and up-to-date as of the date of the Contract Documents.

CFR	Code of Federal Regulations Available from Government Printing Office www.gpo.gov/fdsys	(866) 512-1800 (202) 512-1800
DOD	Department of Defense Military Specifications and Standards Available from Department of Defense Single Stock Point http://dodssp.daps.dla.mil	(215) 697-2664
DSCC	Defense Supply Center Columbus (See FS)	
FED-STD	Federal Standard (See FS)	
FS	Federal Specification	(215) 697-2664

Available from Department of Defense Single Stock Point
<http://dodssp.daps.dla.mil>

Available from Defense Standardization Program
www.dsp.dla.mil

Available from General Services Administration (800) 488-3111
www.gsa.gov (202) 619-8925

Available from National Institute of Building Sciences/Whole Building
Design Guide (202) 289-7800
www.wbdg.org/ccb

MILSPEC Military Specification and Standards
(See DOD)

USAB United States Access Board (800) 872-2253
www.access-board.gov (202) 272-0080

USATBCB U.S. Architectural & Transportation Barriers Compliance Board
(See USAB)

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 42 00

SECTION 01 50 00

TEMPORARY FACILITIES AND CONTROLS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes requirements for temporary utilities, support facilities, and security and protection facilities.
 - 1. Nothing in this Section is intended to limit types and amounts of temporary work required, and no omission from this Section will be recognized as an indication by Architect/Engineer that such temporary activity is not required for successful completion of the Work. The use of alternative facilities equivalent to those specified is the Contractor's option, subject to Architect/Engineer's and University acceptance.
- B. Related Requirements:
 - 1. Section 01 10 00 "Summary" for work restrictions and limitations on utility interruptions.
 - 2. Section 01 35 46 "Indoor Air Quality" for temporary facility work including HVAC, air filtration, moisture management, air filtration and dust control partitions required to comply with indoor air quality requirements during construction.

1.3 USE CHARGES

- A. General: Installation and removal of and use charges for temporary facilities shall be included in the Contract Sum unless otherwise indicated. Allow other entities to use temporary services and facilities without cost, including, but not limited to, University's construction forces, Architect/Engineer, testing agencies, and authorities having jurisdiction.
- B. Use Charges: As follows:
 - 1. For new construction: Arrange for and pay for water, sewer, electric power, steam and chilled water use charges for utility usage by all entities for construction operations.
 - 2. For renovations of existing facilities: Arrange for and University will pay for all use charges.
- C. Temporary Metering: For all utility connection; sub-meter at point of connection to existing systems.
 - 1. Temporary utility meter must be approved by University Campus Energy Engineer.
 - 2. Meters shall be operational prior to any use of utility for temporary heating.

1.4 INFORMATIONAL SUBMITTALS

- A. Site Plan: Show temporary facilities, utility hookups, staging areas, and parking areas for construction personnel.
- B. Erosion- and Sedimentation-Control Plan: Show compliance with requirements of EPA Construction General Permit or authorities having jurisdiction, whichever is more stringent.
- C. Fire-Safety Program: Show compliance with requirements of NFPA 241 and authorities having jurisdiction. Indicate Contractor personnel responsible for management of fire-prevention program.
- D. Dust- and HVAC-Control Plan: Submit coordination drawing and narrative that indicates the dust- and HVAC-control measures proposed for use, proposed locations, and proposed time frame for their operation. Identify further options if proposed measures are later determined to be inadequate. Include the following:
 - 1. Locations of dust-control partitions at each phase of work.
 - 2. HVAC system isolation schematic drawing.
 - 3. Location of proposed air-filtration system discharge.
 - 4. Waste handling procedures.
 - 5. Other dust-control measures.

1.5 QUALITY ASSURANCE

- A. General: Comply with governing regulations and utility company regulations and recommendations for the construction of temporary facilities including, but not necessarily limited to, code compliances, permits, inspections, testing, health, safety, pollution and environmental compliances.
- B. Fire-safety: Comply with NFPA 421 “Standard for Safeguarding Construction, Alteration, and Demolition Operations.”
- C. Safety: Comply with ANSI/ASSE A10 “Construction Package” series of safety construction standards.
- D. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- E. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.
- F. Accessible Temporary Egress: Where temporary accessible egress from existing buildings or portions thereof is provided, comply with applicable provisions in the U.S. Architectural & Transportation Barriers Compliance Board's ADA-ABA Accessibility Guidelines and ICC/ANSI A117.1.

1.6 PROJECT CONDITIONS

- A. Temporary Use of Permanent Facilities: Engage Installer of each permanent service to assume responsibility for operation, maintenance, and protection of each permanent service during its use as a construction facility before University's acceptance, regardless of previously assigned responsibilities.
- B. Conditions of Use: Keep temporary services and facilities clean and neat in appearance. Operate in a safe and efficient manner. Take necessary fire prevention measures. Do not overload facilities, or permit them to interfere with progress. Do not allow hazardous, dangerous or unsanitary conditions, or public nuisances to develop or persist on the site.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. General: Provide both new or used materials and equipment for temporary facilities, which are in substantially undamaged and serviceable condition. Provide types and qualities which are recognized in the construction industry as suitable for the intended use in each application. Comply with Utility Company requirements as applicable.

2.2 TEMPORARY FACILITIES

- A. Field Offices, General: Prefabricated or mobile units with serviceable finishes, temperature controls, and foundations adequate for normal loading.
- B. Storage and Fabrication Sheds: Provide sheds sized, furnished, and equipped to accommodate materials and equipment for construction operations.
 - 1. Store combustible materials apart from building.
 - 2. Comply with Section 01 10 00 "Summary" for use of site for staging areas.

2.3 EQUIPMENT

- A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.
- B. Digital Camera: Minimum 12 megapixel; available in field office for use.
- C. Thermometer: Outdoor, re-settable type indicating daily maximum and minimum temperatures.
 - 1. Locate in a shaded-from-the-sun, conveniently readable location that will give reasonably accurate readings of the actual air temperature and be reached easily for resetting.
 - 2. Maintain daily log of reading.
- D. Air-Filtration Units: Primary and secondary HEPA-filter-equipped portable units with four-stage filtration. Provide single switch for emergency shutoff. Configure to run continuously.

PART 3 - EXECUTION

3.1 INSTALLATION, GENERAL

- A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate, expand and modify facilities as required by progress of the Work.
- B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.
- C. Use qualified workers for the installation of temporary facilities.

3.2 TEMPORARY UTILITY INSTALLATION

- A. General: Install temporary service or connect to existing service.
 - 1. Arrange with utility company, University, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services. Comply with requirements in Section 01 10 00 "Summary" for existing utility disruption procedures.
- B. Sewers and Drainage: Provide temporary utilities to remove effluent lawfully.
 - 1. Connect temporary sewers to municipal system as directed by authorities having jurisdiction.
- C. Water Service: Install water service and distribution piping in sizes and pressures adequate for construction. Where available, connect to University's existing water service facilities. Clean and maintain water service facilities in a condition acceptable to University. At Substantial Completion, restore these facilities to condition existing before initial use.
 - 1. Obtain and pay for all required water taps.
- D. Sanitary Facilities: Provide temporary toilets, wash facilities, and drinking water for use of construction personnel. Comply with requirements of authorities having jurisdiction for type, number, location, operation, and maintenance of fixtures and facilities.
 - 1. Toilets: Use of University's existing toilet facilities is not permitted.
 - 2. Provide temporary toilets within available site area in location approved by University which will best serve the needs of construction personnel.
 - 3. Supply and maintain toilet tissue, paper towels, paper cups and similar disposable materials as appropriate for each sanitary facility, and provide appropriate waste paper containers for used materials.
 - 4. At Contractor's option, provide drinking water for construction personnel by either water-system-connected drinking fountains or by containerized tap dispensers with paper cups (or both).
- E. Heating: Provide temporary heating required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of low temperatures or high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed.
 - 1. HVAC Equipment: Unless University authorizes use of permanent HVAC system, provide vented, self-contained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.
 - a. Use of gasoline-burning space heaters, open-flame heaters, or salamander-type heating units is prohibited.
 - b. Heating Units: Listed and labeled for type of fuel being consumed, by a qualified testing agency acceptable to authorities having jurisdiction, and marked for intended location and application.
 - c. Permanent HVAC System: If University authorizes use of permanent HVAC system for temporary use during construction, provide filter with MERV of 8 at each return-air and exhaust grille in system and remove at end of construction. Clean and adjust HVAC system and put in new condition before Completion as required in Section 01 77 00 "Closeout Procedures".
- F. Isolation of Work Areas in Occupied Facilities: Prevent dust, fumes, and odors from entering occupied areas.

1. Prior to commencing work, isolate the HVAC system in area where work is to be performed.
 - a. Disconnect supply and return ductwork in work area from HVAC systems servicing occupied areas.
 - b. Maintain negative air pressure within work area using HEPA-equipped air-filtration units, starting with commencement of temporary partition construction, and continuing until removal of temporary partitions is complete.
 2. Maintain dust partitions during the Work. Use vacuum collection attachments on dust-producing equipment. Isolate limited work within occupied areas using portable dust-containment devices.
 3. Perform daily construction cleanup and final cleanup using approved, HEPA-filter-equipped vacuum equipment.
- G. Ventilation and Humidity Control: Provide temporary ventilation required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed. Coordinate ventilation requirements to produce ambient condition required and minimize energy consumption.
1. Provide dehumidification systems when required to reduce substrate moisture levels to level required to allow installation or application of finishes.
- H. Electric Power Service: Provide weatherproof, grounded, electric power service and distribution system of sufficient size, capacity, and power characteristics required for construction operations. Include, as required, transformers, overload protected disconnects, automatic ground fault interrupters and main distribution switchgear. Maintain equipment in a condition acceptable to University.
1. Install electric power service overhead unless otherwise indicated.
 2. Where available capacity exists in existing system, connect temporary service to University's existing power source, as directed by University.
 3. Provide separate connection for power and for lighting.
 4. Provide sufficient 220v outlets for special tools, welding equipment and similar devices requiring such service at locations where required.
 5. Provide sufficient circuits and duplex 120v single phase outlets so located that any part of the work can be reached with a 75 foot extension cord to accommodate normal power tools and supplemental lighting.
- I. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections, and traffic conditions.
1. Provide temporary light to levels and as required by governing regulations but not less than minimum 5 foot-candle illumination in all areas accessible to workers during hours they are at the job; minimum 10 foot-candles for shop areas; 20 foot-candles or more where detailed or finishing work is being done, supplemented as may be required.
 2. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.
 3. Install lighting for Project identification sign.
 4. Where permanent light fixtures have been used for temporary lighting, supply temporary lamps and replace with new lamps at time of Completion.
 5. Provide lighting in stairways and exits at all times.
- J. Telephone Service: Provide temporary telephone service in Contractor's field office and distribute to each work station.

1. Pay for line installation, monthly charges, and expenses necessary to extend service from minimum point of presence (MPOP) as determined by University I/S.
2. Provide temporary telephone service in common-use facilities for use by all construction personnel.
3. Provide answering machine and a dedicated telephone line for a facsimile machine.
4. Provide superintendent with cellular telephone or portable two-way radio for use when away from field office.

3.3 SUPPORT FACILITIES INSTALLATION

A. General: Comply with the following:

1. Provide construction for temporary offices, shops, and sheds located within construction area or within 30 feet of building lines that is noncombustible according to ASTM E 136. Comply with NFPA 241.
2. Maintain support facilities until Architect/Engineer schedules Substantial Completion inspection. Remove before Substantial Completion. Personnel remaining after Substantial Completion will be permitted to use permanent facilities, under conditions acceptable to University.

B. Temporary Roads and Paved Areas: Construct and maintain temporary roads and paved areas adequate for construction operations. Locate temporary roads and paved areas within construction limits indicated on Drawings.

1. Surface temporary access road with road base material of not less than 4 inch thickness and compact.
2. Provide temporary signage and temporary pedestrian accessways or other special considerations necessary for continued University operations.
3. Provide stop sign(s) at all points of egress from construction site to meet standards established in the Manual of Uniform Traffic Code Devices (MUTCD).
4. Maintain University access to areas affected by temporary access roads during inclement weather.
5. Provide dust-control treatment that is nonpolluting and nontracking. Reapply treatment as required to minimize dust.
6. Restore to original condition to satisfaction of University when no longer required.

C. Temporary Walks: Construct and maintain temporary walks around the construction work and to offices, toilets and similar locations on the site.

D. Traffic Controls: Comply with requirements of authorities having jurisdiction.

1. Protect existing site improvements to remain including curbs, pavement, and utilities.
2. Maintain access for fire-fighting equipment and access to fire hydrants.

E. Parking: Comply with requirements in Section 01 10 00 "Summary."

F. Dewatering Facilities and Drains: Comply with requirements of authorities having jurisdiction. Maintain Project site, excavations, and construction free of water.

1. Dispose of rainwater in a lawful manner that will not result in flooding Project or adjoining properties or endanger permanent Work or temporary facilities.
2. Remove snow and ice as required to minimize accumulations.

G. Project Signs: Provide Project signs at locations indicated or directed. Unauthorized signs are not permitted.

1. Identification Signs: Unless otherwise indicated, provide 4 foot by 8 foot Project identification sign.
 - a. Architect/Engineer will provide sign layout, including colors and graphics as approved by University Resident Architect through University Project Manager.
 2. Temporary Signs: Provide other signs as indicated and as required to inform public and individuals seeking entrance to Project.
 - a. Provide temporary, directional signs for construction personnel and visitors.
 3. Engage an experience sign painter to apply required colors and graphics in a neat and professional manner.
 4. Maintain and touchup signs so they are legible at all times.
- H. Waste Disposal Facilities: Provide waste-collection containers in sizes adequate to handle waste from construction operations. Comply with requirements of authorities having jurisdiction. Comply with progress cleaning requirements in Section 01 73 00 "Execution."
1. Coordinate with University Project Manager to obtain approval from University Environmental Services Manager.
 2. Provide waste chutes as required in accordance with applicable laws and regulations.
- I. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel. The selection of type, size and number of hoisting facilities is the solely the responsibility of the Contractor.
1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.
- J. Temporary Elevator Use: Use of elevators is not permitted without prior written approval of the Architect/Engineer and University Project Manager.
1. If so approved, only one designated elevator may be used subject to the requirements of "Existing Elevator Use" paragraph below.
- K. Existing Elevator Use: When approved by University, one designated existing elevator may be used at no charge to Contractor or other subcontractors for transporting personnel, small tools, materials, and equipment. Comply with requirements of Section 01 10 00 "Summary" and the following:
1. Contractor will not be granted exclusive use of the designated elevator. University personnel and staff will be permitted to use this elevator as their work duties require.
 2. Entire car is lined (floor, walls, ceiling) with 3/4 inch Fir plywood or equivalent.
 3. Total load carried does not exceed rated capacity of elevator.
 4. No materials, equipment, trash, tools or other items too large to be readily moved into and out of the car may be carried in the elevator.
 5. Before acceptance of the building, linings are removed; all exposed surfaces are in new condition; all controls, relays, other parts showing any wear have been replaced.
 6. Entire elevator, including machinery, electrical components, doors, operators and controls shall be tested, adjusted, and put in new condition with specified warranties and maintenance to take effect at date of Completion Certificate.
 7. Written clearance has been obtained from the Elevator Service Company stating that the installation is safe and complete for this use prior to using it.
 8. The Contractor signs the Elevator Service Company's standard agreement and release forms for this usage and pays charges for maintenance, service, repairs, and reconditioning.

- L. Temporary Stairs: Until permanent stairs are available, provide temporary stairs where ladders are not adequate.
- M. Existing Stair Usage: Use of University's existing stairs will be permitted, provided stairs are cleaned and maintained in a condition acceptable to University. At Substantial Completion, restore stairs to condition existing before initial use.
 - 1. Provide protective coverings, barriers, devices, signs, or other procedures to protect stairs and to maintain means of egress. If stairs become damaged, restore damaged areas so no evidence remains of correction work.
- N. Temporary Use of Permanent Stairs: Use of new stairs for construction traffic will be permitted, provided stairs are protected and finishes restored to new condition at time of Substantial Completion.

3.4 SECURITY AND PROTECTION FACILITIES INSTALLATION

- A. Protection of Existing Facilities: Protect existing vegetation, equipment, structures, utilities, and other improvements at Project site and on adjacent properties, except those indicated to be removed or altered. Repair damage to existing facilities.
- B. Protection of Work: Protect in-progress and completed work from damage or deterioration, other than normal weathering of exposed materials, through construction duration until completion, as appropriate and as recommended by manufacturer and Installer.
 - 1. Provide protective coverings at walls, projections, jambs, sills, and soffits of openings. Protect finished floors and stairs from traffic, movement of heavy objects, and storage.
 - 2. Prohibit traffic and storage on waterproofed and roofed surfaces, on lawn and landscaped areas.
 - 3. Always protect excavation, trenches, and building, from damage from rain water, spring water, ground water, backing up of drains or sewers. Provide pumps, equipment, enclosures, to provide this protection.
 - 4. Remove protective coverings and materials at the appropriate time but no later than final cleaning operations.
- C. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction as required to comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.
 - 1. Comply with work restrictions specified in Section 01 10 00 "Summary."
- D. Temporary Erosion and Sedimentation Control: Provide measures to prevent soil erosion and discharge of soil-bearing water runoff and airborne dust to undisturbed areas and to adjacent properties and walkways, according to requirements of 2003 EPA Construction General Permit or authorities having jurisdiction, whichever is more stringent.
 - 1. Comply with Section 01 41 00 "Regulatory Requirements" Article "MS4 Storm Water and Water Quality Permits."
 - 2. Verify that flows of water redirected from construction areas or generated by construction activity do not enter or cross tree- or plant- protection zones.
 - 3. Inspect, repair, and maintain erosion- and sedimentation-control measures during construction until permanent vegetation has been established.
 - 4. Clean, repair, and restore adjoining properties and roads affected by erosion and sedimentation from Project site during the course of Project.
 - 5. Remove erosion and sedimentation controls and restore and stabilize areas disturbed during removal.

- E. Stormwater Control: Comply with Section 01 41 00 “Regulatory Requirements” Article “MS4 Storm Water and Water Quality Permits.”
- F. Tree and Plant Protection: Install temporary fencing or guard located outside the drip line of trees to protect vegetation from damage arising out of construction operations, including cutting, breaking or skinning of roots and skinning or bruising of bark. Protect tree root systems from damage, flooding, and erosion.
 - 1. Do not stockpile construction materials or excavated materials inside dripline.
 - 2. University will identify historically recorded trees and vegetation not to be disturbed.
 - 3. Water trees and other vegetation to remain as required to maintain their health for the duration of the Project.
 - 4. Repair or replace trees and vegetation damaged by construction operations in a manner acceptable to Architect/Engineer. Use a qualified tree surgeon to perform the work.
- G. Pest Control: Engage pest-control service to recommend practices to minimize attraction and harboring of rodents, roaches, and other pests and to perform extermination and control procedures at regular intervals so Project will be free of pests and their residues at Substantial Completion. Perform control operations lawfully, using environmentally safe materials.
- H. Site Enclosure Fence: Within 10 business days of mobilization, furnish and install site enclosure fence in a manner that will prevent people and animals from easily entering site except by entrance gates and will protect adjacent sites from damage or contamination..
 - 1. Extent of Fence: As required to enclose entire Project site or portion determined sufficient to accommodate construction operations.
 - 2. Portable Chain-Link Fencing: Minimum 2-inch, 0.148-inch- thick, galvanized-steel, chain-link fabric fencing; minimum 6 feet high with galvanized-steel pipe posts; minimum 2-3/8-inch- OD line posts and 2-7/8-inch- OD corner and pull posts, with 1-5/8-inch- OD top and bottom rails. Provide bases for supporting posts.
 - 3. Locate so base supports do not extend outside work area where adjacent to walkways.
 - 4. Maintain security by limiting number of keys and restricting distribution to authorized personnel. Furnish one set of keys to University.
- I. Security: Provide security program and facilities to protect the Work, existing facilities, and University operations and to prevent unauthorized entrance, vandalism, theft, and similar violations of security.
 - 1. Coordinate with University Police.
 - 2. Provide lockable entrances and lock entrances at end of each work day.
 - 3. After review and approval by University, install temporary enclosure around partially completed areas of construction.
 - 4. Storage: Where materials and equipment must be stored, and are of value or attractive for theft, provide a secure lockup. Enforce discipline in connection with the installation and release of material to minimize the opportunity for theft and vandalism.
- J. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting wherever required to prevent accidents and losses.
- K. Temporary Egress: Maintain temporary egress from existing occupied facilities as indicated and as required by authorities having jurisdiction.
- L. Covered Walkway: Where regulations require or where a public roadway/walkway adjoins the Project site and materials may be hoisted across the walkway, erect protective, covered walkway for passage of

individuals through or adjacent to Project site. Coordinate with entrance gates, other facilities, and obstructions. Comply with regulations of authorities having jurisdiction.

1. Construct covered walkways using scaffold or shoring framing.
 2. Provide overhead waterproof decking, protective enclosure walls, handrails, barricades, warning signs, exit signs, lights, safe and well-drained walkways, and similar provisions for protection and safe passage.
 3. Paint and maintain appearance of walkway for duration of the Work in a manner acceptable to the Architect/Engineer and University.
 4. Extend back wall beyond structure to complete the enclosure fence.
- M. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities. Provide temporary weathertight enclosure for building exterior.
1. Where heating or cooling is needed and permanent enclosure is incomplete, insulate temporary enclosures.
 2. Coordinate temporary enclosures with ventilating and drying-of-the-work requirements, so as to avoid dangerous conditions and deleterious effects.
 3. Close openings through floor or roof decks and horizontal surfaces with load-bearing wood-framed construction.
- N. Temporary Partitions: Provide floor-to-floor or floor-to-ceiling dustproof partitions terminating in dustproof floor or ceiling above to limit dust and dirt migration and to separate existing active elevator hoistways and other areas occupied by University from dust, fumes and noise in compliance with Section 01 35 46 "Indoor Air Quality" and the following:
1. Construct dustproof partitions with 5/8 inch gypsum wallboard with joints taped on occupied side, and 1/2 inch fire-retardant-treated plywood on construction operations side.
 2. Where fire-resistance-rated temporary partitions are indicated or are required by authorities having jurisdiction, construct partitions according to the rated assemblies.
 3. Insulate partitions to control noise transmission to occupied areas.
 4. Seal joints and perimeter. Equip partitions with gasketed dustproof doors and security locks where openings are required.
 5. Protect air-handling equipment.
 6. Provide walk-off mats at each entrance through temporary partition.
 7. At elevator hoistway entrances not used during construction, seal openings with plastic sheet and duct tape.
- O. Temporary Fire Protection: Install and maintain temporary fire-protection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241; manage fire-prevention program.
1. Fire Extinguishers: Minimum one per floor at or near useable exit.
 - a. Provide additional extinguishers where convenient and effective for intended purpose.
 - b. Comply with NFPA 10 to the extent applicable.
 2. Strictly enforce site prohibition against smoking.
 3. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition according to requirements of authorities having jurisdiction.
 4. Develop and supervise an overall fire-prevention and -protection program for personnel at Project site. Coordinate with University Project Manager to review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.

5. Provide temporary standpipes and hoses for fire protection. Hang hoses with a warning sign stating that hoses are for fire-protection purposes only and are not to be removed. Match hose size with outlet size and equip with suitable nozzles.
6. Maintain unobstructed access to fire extinguishers, temporary fire protection facilities, stairways and other access routes for fighting fires.
7. Store combustible materials in containers in fire-safe locations.
8. Permanent Fire Protection System: Complete and make operational at earliest possible date. Instruct site personnel on use of permanent system.

3.5 MOISTURE AND MOLD CONTROL

- A. Contractor's Moisture-Protection Plan: Comply with requirements in Section 01 35 46 "Indoor Air Quality Procedures."

3.6 OPERATION, TERMINATION, AND REMOVAL

- A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.
 1. Do not permit temporary offices and similar temporary or permanent spaces to be used as living quarters or for other unintended occupancies or uses.
- B. Maintenance: Maintain facilities in good operating condition until removal.
 1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.
- C. Janitorial Services: Provide daily janitorial services for temporary offices, toilets, and similar areas at the project site. Require users of other temporary facilities to maintain clean and orderly premises.
- D. Operate Project-identification-sign lighting daily from dusk until 12:00 midnight.
- E. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion.
- F. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Substantial Completion, unless Architect/Engineer requests that it be retained for a longer period of time. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.
 1. Materials and facilities that constitute temporary facilities are property of Contractor. University reserves right to take possession of Project identification signs.
 2. At Substantial Completion, repair, renovate, and clean permanent facilities used during construction period. Comply with final cleaning requirements specified in Section 01 77 00 "Closeout Procedures."

END OF SECTION 01 50 00

SECTION 01 60 00

PRODUCT REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; and comparable products.
- B. Related Requirements:
 - 1. Section 01 21 00 "Allowances" for products selected under an allowance, if applicable.
 - 2. Section 01 23 00 "Alternates" for products selected under an alternate, if applicable.
 - 3. Section 01 25 00 "Substitution Procedures" for requests for substitutions.
 - 4. Section 01 42 00 "References" for applicable industry standards for products specified.
 - 5. Section 01 77 00 "Closeout Procedures" for submittal of project warranties.

1.3 DEFINITIONS

- A. Products: Items obtained for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
 - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature, that is current as of date of the Contract Documents.
 - 2. New Products: Items that have not previously been incorporated into another project or facility. Products salvaged or recycled from other projects are not considered new products.
 - 3. Comparable Product: Product that is demonstrated and approved through submittal process to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Basis-of-Design Product Specification: A specification in which a specific manufacturer's product is named and accompanied by the words "basis-of-design product," including make or model number or other designation, to establish the significant qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics for purposes of evaluating comparable products of additional manufacturers named in the specification.

1.4 ACTION SUBMITTALS

- A. Comparable Product Requests: Submit request for consideration of each comparable product. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.

1. Requests for consideration of comparable products will only be entertained during bidding.
 2. Include data to indicate compliance with the requirements specified in "Comparable Products" Article.
 3. Architect/Engineer's Action: If necessary, Architect/Engineer will request additional information or documentation for evaluation of a comparable product request. Architect/Engineer will notify Contractor of approval or rejection of proposed comparable product.
 - a. Form of Approval: Written Addendum.
- B. Basis-of-Design Product Specification Submittal: Comply with requirements in Section 01 33 00 "Submittal Procedures." Show compliance with requirements.

1.5 QUALITY ASSURANCE

- A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, select product compatible with products previously selected, even if previously selected products were also options. The complete compatibility between the various choices available to the Contractor is not assured by the various requirements of the Contract Documents, but must be provided by the Contractor.
- B. Source Limitations: To the fullest extent possible, provide products of the same kind, from a single source.
- C. Nameplates: Except for required labels and operating data, do not attach or imprint manufacturers or producer's nameplates or trademarks on exposed surfaces of products which will be exposed to view in occupied spaces or on the exterior.
- D. Labels: Locate required product labels and stamps on a concealed surface or, where required for observation after installation, on an accessible surface that is not conspicuous.
- E. Equipment Nameplates: Provide a permanent nameplate on each item of service-connected or power-operated equipment. Locate on an easily accessible surface which is inconspicuous in occupied spaces. The nameplate shall contain the following information and other essential operating data.
1. Name of product and manufacturer.
 2. Model and serial number.
 3. Capacity.
 4. Speed.
 5. Ratings.
 6. Power characteristics (if applicable).
 7. UL label or compliance (if applicable).

1.6 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft and vandalism. Comply with manufacturer's written instructions.
- B. Delivery and Handling:
1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
 2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.

4. Inspect products on delivery to determine compliance with the Contract Documents and to determine that products are undamaged and properly protected.

C. Storage:

1. Store products to allow for inspection and measurement of quantity or counting of units.
2. Store materials in a manner that will not endanger Project structure.
3. Store products that are subject to damage by the elements, under cover in a weathertight enclosure above ground, with ventilation adequate to prevent condensation.
4. Protect foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
5. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
6. Protect stored products from damage and liquids from freezing.

1.7 PRODUCT WARRANTIES

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents. Such disclaimers and limitations do not relieve warranty requirements on Work that incorporates product nor do they relieve suppliers, manufacturers and subcontractors required to countersign special warranties with the Contractor.
 1. Manufacturer's Warranty: Written warranty furnished by individual manufacturer for a particular product and specifically endorsed by manufacturer to University.
 2. Special Warranty: Written warranty required by the Contract Documents to provide specific rights for University.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution.
 1. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
 2. Specified Form: When specified forms are included with the Specifications, prepare a written document using indicated form properly executed.
 3. See other Sections for specific content requirements and particular requirements for submitting special warranties.
- C. Submittal Time and Form: Comply with requirements in Section 01 77 00 "Closeout Procedures."
- D. Warranty Requirements:
 1. Related Damages and Losses: When correcting warranted Work that has failed, remove and replace other Work that has been damaged as a result of such failure or that must be removed and replaced to provide access for correction of warranted Work.
 2. Reinstatement of Warranty: When Work covered by a warranty has failed and been corrected by replacement or rebuilding, reinstate the warranty by written endorsement. The reinstated warranty shall be equal to the original warranty with an equitable adjustment for depreciation.
 3. Replacement Cost: Upon determination that Work covered by a warranty has failed, replace or rebuild the Work to an acceptable condition complying with requirements of Contract Documents. The Contractor is responsible for the cost of replacing or rebuilding defective Work regardless of whether the University has benefited from use of the Work through a portion of its anticipated useful service life.
 4. University's Recourse:
 - a. Written warranties made to the University are in addition to implied warranties, and shall not limit the duties, obligations, rights and remedies otherwise available under the law, nor shall warranty periods be interpreted as limitations on time in which the University can enforce such other duties, obligations, rights, or remedies.

- b. Rejection of Warranties: The University reserves the right to reject warranties and to limit selections to products with warranties not in conflict with requirements of the Contract Documents.
- c. The University reserves the right to refuse to accept Work for the Project where a special warranty, certification, or similar commitment is required on such Work or part of the Work, until evidence is presented that entities required to countersign such commitments are willing to do so.

PART 2 - PRODUCTS

2.1 PRODUCT SELECTION PROCEDURES

- A. General Product Requirements: Provide products that comply with the Contract Documents, are undamaged, are asbestos free, and, unless otherwise indicated, are new at time of installation.
 - 1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
 - 2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
 - 3. University reserves the right to limit selection to products with warranties not in conflict with requirements of the Contract Documents.
 - 4. Where products are accompanied by the term "as selected," Architect/Engineer will make selection.
 - 5. Descriptive, performance, and reference standard requirements in the Specifications establish salient characteristics of products.
 - 6. Or Equal: For products specified by name and accompanied by the term "or equal," or "or approved equal," or "or approved," comply with requirements in "Comparable Products" Article to obtain approval for use of an unnamed product and provide only products previously approved during bid phase by written Addendum. The determination of equivalence is at the sole discretion of the Architect/Engineer who has no obligation to prove non-equivalence.
 - 7. Mechanical and electrical equipment design and their space requirements are based on the first named item of the Section in which specified or that scheduled on the Drawings. If other than the first named or scheduled item listed for use is selected, modification to other elements of Work may be required. Show all such modification on shop drawings and submittals as appropriate. The cost of such modifications is solely the responsibility of the Contractor.
 - 8. Where manufacturers are listed as acceptable for specific proprietary products but precise identification by model, series, or trade name is not specified, submit detailed product information for such products for Architect/Engineer's acceptance prior to ordering. Include specific requirements for modifications to other construction, including but not limited to, power and utility requirements, characteristics, capacities, size and locations. The cost of such modifications is solely the responsibility of the Contractor.
- B. Product Selection Procedures:
 - 1. Product: Where Specifications name a single manufacturer and product, provide the named product that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
 - 2. Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer or source that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
 - 3. Products:
 - a. Restricted List: Where Specifications include a list of names of both manufacturers and products, provide one of the products listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
 - 4. Manufacturers:

- a. Restricted List: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements. Comparable products or substitutions for Contractor's convenience will not be considered.
 5. Basis-of-Design Product: Where Specifications name a product, or refer to a product indicated on Drawings, and include a list of manufacturers, provide the specified or indicated product or a comparable product by one of the other named manufacturers. If proposing a comparable product by another manufacturer, whether named or not, provide a custom product if manufacturer's standard product does not include salient features of the Basis-of-Design product indicated. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with requirements in "Comparable Products" Article for consideration of an unnamed product by one of the other named manufacturers.
 6. Contractor's Option: Where materials, products, systems or methods are specified to be selected from a list of options, subject to compliance with requirements, the choice of which material, method, product or system will be solely at the Contractor's discretions. There will be no change in Contract Sum or Time because of such choice.
- C. Visual Matching Specification: Where Specifications require "match Architect/Engineer's sample", provide a product that complies with requirements and matches Architect/Engineer's sample. Architect/Engineer's decision will be final on whether a proposed product matches.
1. If no product available within specified category matches and complies with other specified requirements, comply with requirements in Section 01 25 00 "Substitution Procedures" for proposal of product.
- D. Visual Selection Specification: Where Specifications include the phrase "as selected by Architect/Engineer from manufacturer's full range" or similar phrase, select a product that complies with requirements. Architect/Engineer will select color, gloss, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

2.2 COMPARABLE PRODUCTS

- A. Conditions for Consideration: Prior to bid, Architect/Engineer will consider Contractor's request for comparable product when the following conditions are satisfied. If the following conditions are not satisfied, Architect/Engineer will reject request:
1. Evidence that the proposed product does not require revisions to the Contract Documents, that it is consistent with the Contract Documents and will produce the indicated results, and that it is compatible with other portions of the Work.
 2. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant qualities include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
 3. Evidence that proposed product provides specified warranty.
 4. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners, if requested.
 5. Samples, if requested.

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 60 00

SECTION 01 73 00

EXECUTION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes general administrative and procedural requirements governing execution of the Work including, but not limited to, the following:
 - 1. Construction layout.
 - 2. Field engineering and surveying.
 - 3. Installation of the Work.
 - 4. Cutting and patching.
 - 5. Coordination of University-installed products.
 - 6. Progress cleaning.
 - 7. Starting and adjusting.
 - 8. Protection of installed construction.
 - 9. Correction of the Work.
- B. Related Requirements:
 - 1. Section 01 10 00 "Summary" for limits on use of Project site and procedures related to utility interruptions.

1.3 DEFINITIONS

- A. Cutting: Removal of in-place construction necessary to permit installation or performance of other work.
- B. Patching: Fitting and repair work required to restore construction to original conditions after installation of other work.

1.4 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For land surveyor or professional engineer.
- B. Certificates: Submit certificate signed by land surveyor or professional engineer certifying that location and elevation of improvements comply with requirements.
- C. Cutting and Patching Plan and Request: Submit plan and request describing procedures at least 21 calendar days prior to the time cutting and patching will be performed.
 - 1. Submit request whenever cutting and patching operation affect:

- a. Work of the University or any separate contractor.
 - b. Structural value or integrity of any element of the Project.
 - c. Integrity or effectiveness of weather-exposed or moisture-resistant elements or systems.
 - d. Efficiency, operational life, maintenance or safety of operational elements.
 - e. Visual qualities of sight-exposed elements.
 - f. Cutting new openings in existing structural concrete walls, floors and suspended slabs.
 - g. Cutting new openings in existing roofs and roofing materials.
 - h. Cutting exterior walls.
 - i. Cutting into shafts.
2. Include the following information:
- a. Extent: Describe reason for and extent of each occurrence of cutting and patching, including explanation of why cutting and patching operation cannot be reasonable avoided.
 - b. Changes to In-Place Construction: Describe cutting and patching methods and anticipated results. Include changes to structural elements and operating components as well as changes in building appearance and other significant visual elements.
 - c. Products: List products to be used for patching and firms or entities that will perform patching work.
 - d. Trades: Indicate trades and subcontractors who will perform the work.
 - e. Dates: Indicate when cutting and patching will be performed.
 - f. Utilities and Mechanical and Electrical Systems: List services and systems that cutting and patching procedures will disturb or affect. List services and systems that will be relocated and those that will be temporarily out of service. Indicate length of time permanent services and systems will be disrupted.
 - 1) Include description of provisions for temporary services and systems during interruption of permanent services and systems.
 - 2) Comply with requirements of Section 01 10 00 "Summary" related to existing utility and system interruptions.
 - g. Structural Elements: Where cutting and patching structural elements requires the addition of reinforcement, submit details and calculations signed and sealed by an Engineer registered in the State of Colorado. Indicate how new reinforcing will be integrated with original structure.
3. Limitations: Approval of cutting and patching request does not waive right of Architect/Engineer or University to later require complete removal and replacement of work found to be unsatisfactorily cut and patched.
- D. Certified Surveys: Submit two copies signed by land surveyor or professional engineer.
- E. Final Property Survey: Submit one electronic and two paper copies showing the Work performed and record survey data.
1. Include certified statement that lines and levels of the work comply with the requirements of the Contract Documents and listing authorized or accepted deviations, cross-referenced to Change Order number, where applicable.

1.5 QUALITY ASSURANCE

- A. Land Surveyor Qualifications: A professional land surveyor who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing land-surveying services of the kind indicated.

- B. Cutting and Patching: Comply with requirements for and limitations on cutting and patching of construction elements.
1. Structural Elements: When cutting and patching structural elements, notify Architect/Engineer of locations and details of cutting and await directions from Architect/Engineer before proceeding. Shore, brace, and support structural elements during cutting and patching. Do not cut and patch structural elements in a manner that could change their load-carrying capacity or increase deflection
 2. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety. Operational elements include but are not limited to the following:
 - a. Primary operational systems and equipment.
 - b. Fire separation assemblies.
 - c. Air or smoke barriers.
 - d. Fire-suppression systems.
 - e. Mechanical systems piping and ducts.
 - f. Control systems.
 - g. Communication systems.
 - h. Fire-detection and -alarm systems.
 - i. Conveying systems.
 - j. Electrical wiring systems.
 - k. Operating systems of special construction.
 3. Other Construction Elements: Do not cut and patch other construction elements or components in a manner that could change their load-carrying capacity, that results in reducing their capacity to perform as intended, or that results in increased maintenance or decreased operational life or safety. Other construction elements include but are not limited to the following:
 - a. Water, moisture, or vapor barriers.
 - b. Membranes and flashings.
 - c. Exterior curtain-wall construction.
 - d. Sprayed fire-resistive material.
 - e. Equipment supports.
 - f. Piping, ductwork, vessels, and equipment.
 - g. Noise- and vibration-control elements and systems.
 4. Visual Elements: Do not cut and patch construction exposed to the exterior or exposed in occupied spaces in a manner that results in visual evidence of cutting and patching. Do not cut and patch exposed construction in a manner that would, in Architect/Engineer's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.
 5. Hazardous Materials: Do not proceed with cutting and patching operations until University has examined existing construction for the presence of asbestos and/or lead-based coatings. Comply with requirements in Section 01 35 00 "Special Procedures."
- C. Manufacturer's Installation Instructions: Obtain and maintain on-site manufacturer's written recommendations and instructions for installation of products and equipment.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. General: Comply with requirements specified in other Sections.
 - 1. For projects requiring compliance with sustainable design and construction practices and procedures, use products for patching that comply with requirements in Division 01 Section "Sustainable Design Requirements."
- B. In-Place Materials: Use materials for patching identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
 - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will provide a match acceptable to Architect/Engineer for the visual and functional performance of in-place materials.
- C. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.
 - 1. Use cleaning products that comply with Green Seal's GS-37, or if GS-37 is not applicable, use products that comply with the California Code of Regulations maximum allowable VOC levels.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Existing Conditions: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities, mechanical and electrical systems, and other construction affecting the Work. Notify University Project Manager and Architect/Engineer and obtain approval prior to disturbing, moving or penetrating soil.
 - 1. Arrange for locating buried utilities including water and sewer lines within construction limits. Obtain location information and stake all known utilities prior to commencing construction activities.
 - a. Contact Utility Notification Center of Colorado (UNCC), 1-800-922-1987, and comply with UNCC guidelines.
 - 2. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; underground electrical services, and other utilities.
 - 3. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- B. Examination and Acceptance of Conditions: Before proceeding with each component of the Work, examine substrates, areas, and conditions, with Installer or Applicator present, for compliance with requirements for installation tolerances and other conditions affecting performance.
 - 1. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.

2. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
3. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
4. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

3.2 PREPARATION

- A. Existing Utility Information: Furnish information to local utility or University, as appropriate, that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
- B. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- C. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.
- D. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents caused by differing field conditions outside the control of Contractor, submit a request for information to Architect/Engineer according to requirements in Section 01 31 00 "Project Management and Coordination."

3.3 CONSTRUCTION LAYOUT

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks. If discrepancies are discovered, notify Architect/Engineer promptly.
- B. General: Engage a land surveyor or professional engineer to lay out the Work using accepted surveying practices.
 1. Establish benchmarks and control points to set lines and levels at each story of construction and elsewhere as needed to locate each element of Project.
 2. Establish limits on use of Project site.
 3. Establish dimensions within tolerances indicated. Do not scale Drawings to obtain required dimensions.
 4. Inform installers of lines and levels to which they must comply.
 5. Check the location, level and plumb, of every major element as the Work progresses.
 6. Notify Architect/Engineer when deviations from required lines and levels exceed allowable tolerances. Record deviation which are accepted (i.e., not corrected) on record drawings in accordance with the requirements of Section 01 78 39 "Project Record Documents."
 7. Close site surveys with an error of closure equal to or less than the standard established by authorities having jurisdiction.
- C. Site Improvements: Locate and lay out site improvements, including pavements, grading, fill and topsoil placement, utility slopes, and rim and invert elevations.

- D. **Building Lines and Levels:** Locate and lay out control lines and levels for structures, building foundations, column grids, and floor levels, including those required for mechanical and electrical work. Transfer survey markings and elevations for use with control lines and levels. Level foundations and piers from two or more locations.
- E. **Record Log:** Maintain a log of layout control work. Record deviations from required lines and levels. Include beginning and ending dates and times of surveys, weather conditions, name and duty of each survey party member, and types of instruments and tapes used. Make the log available for reference by Architect/Engineer.

3.4 FIELD ENGINEERING

- A. **Identification:** University will identify existing benchmarks, control points, and property corners.
- B. **Reference Points:** Locate existing permanent benchmarks, control points, and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.
 - 1. Do not change or relocate existing benchmarks or control points without prior written approval of Architect/Engineer. Report lost or destroyed permanent benchmarks or control points promptly. Report the need to relocate permanent benchmarks or control points to Architect/Engineer before proceeding.
 - 2. Replace lost or destroyed permanent benchmarks and control points promptly. Base replacements on the original survey control points.
- C. **Benchmarks:** Establish and maintain a minimum of two permanent benchmarks on Project site, referenced to data established by survey control points. Comply with authorities having jurisdiction for type and size of benchmark.
 - 1. Record benchmark locations, with horizontal and vertical data, on Project Record Documents.
 - 2. Where the actual location or elevation of layout points cannot be marked, provide temporary reference points sufficient to locate the Work.
 - 3. Remove temporary reference points when no longer needed. Restore marked construction to its original condition.
- D. **Certified Survey:** On completion of foundation walls, major site improvements, and other work requiring field-engineering services, prepare a certified survey showing dimensions, locations, angles, and elevations of construction and sitework.
- E. **Final Property Survey:** Engage a land surveyor or professional engineer to prepare a final property survey showing significant features (real property) for Project. Include on the survey a certification, signed by land surveyor or professional engineer, that principal metes, bounds, lines, and levels of Project are accurately positioned as shown on the survey.
 - 1. Show boundary lines, monuments, streets, site improvements and utilities, existing improvements and significant vegetation, adjoining properties, acreage, grade contours, and the distance and bearing from a site corner to a legal point.
 - 2. **Recording:** At Substantial Completion, have the final property survey recorded by or with authorities having jurisdiction as the official "property survey."

3.5 INSTALLATION

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
 - 1. Make vertical work plumb and make horizontal work level.
 - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
 - 3. Conceal pipes, ducts, and wiring in finished areas unless otherwise indicated.
 - 4. Maintain minimum headroom clearance of 96 inches in occupied spaces and 90 inches in unoccupied spaces.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated to the extent they are more explicit or stringent than requirements of the Contract Documents.
- C. Install products at the time and under conditions, including weather that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Isolate each part of complete installation from incompatible material as needed to prevent deterioration.
- E. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- F. Sequence the Work and allow adequate clearances to accommodate movement of construction items on site and placement in permanent locations.
- G. Tools and Equipment: Do not use tools or equipment that produce harmful noise levels.
- H. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.
- I. Attachment: Provide blocking and attachment plates and anchors and fasteners of adequate size and number to securely anchor each component in place, accurately located and aligned, true and level as applicable, with other portions of the Work. Where size and type of attachments are not indicated, verify size and type required for load conditions.
 - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect/Engineer.
 - 2. Allow for building movement, including thermal expansion and contraction.
 - 3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- J. Attachment to Concrete:
 - 1. No drilled inserts or powder-actuated fasteners are permitted in pre-stressed concrete except as specifically authorized by Contractor and carried out under the direct supervision of its Superintendent.
 - 2. Only those devices with a maximum controlled penetration of 3/4 inch or less will be permitted. Make holes through slabs by means of sleeves placed no closer than 2 inch from tensioning cables. Core drilling will not be permitted unless unavoidable and as specified for cutting and patching in this Section.

- K. Joints: Unless indicated otherwise, make joints of uniform width. Where joint locations in exposed work are required but not indicated, arrange joints for the best visual effect. Confirm arrangement with Architect/Engineer before proceeding. Fit exposed connections together to form hairline joints.
- L. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.

3.6 CUTTING AND PATCHING

- A. Cutting and Patching, General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
 - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Responsibility: Provide cutting and patching work, including attendant excavation and backfill required to complete the Work or to:
 - 1. Make components fit together properly.
 - 2. Uncover portions of the Work to provide for installation of ill-timed work.
 - 3. Remove and replace defective work or work not conforming to requirements of Contract Documents.
 - 4. Remove samples of installed work as specified for testing.
 - 5. Provide routine penetrations of non-structural surfaces for installation of piping and electrical conduit.
- C. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties.
- D. Temporary Support: Provide temporary support of work to be cut.
- E. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- F. Adjacent Occupied Areas: Where interference with use of adjoining areas or interruption of free passage to adjoining areas is unavoidable, coordinate cutting and patching according to requirements in Section 01 10 00 "Summary."
- G. Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed, relocated, or abandoned, bypass such services/systems before cutting to minimize interruption to occupied areas, coordinate cutting and patching according to requirements in Section 01 10 00 "Summary."
- H. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
 - 1. In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots neatly to minimum size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
 - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.

3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
 4. Excavating and Backfilling: Comply with requirements in applicable Sections where required by cutting and patching operations. Employ methods which will prevent settlement or damage to other work.
 5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
 6. Proceed with patching after construction operations requiring cutting are complete.
- I. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other work. Patch with durable seams that are as invisible as practicable. Provide materials and comply with installation requirements, including tolerance, specified in other Sections, where applicable.
1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.
 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and refinishing.
 - a. Clean piping, conduit, and similar features before applying paint or other finishing materials.
 - b. Restore damaged pipe covering to its original condition.
 3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
 - a. Where patching occurs in a painted surface, prepare substrate and apply primer and intermediate paint coats appropriate for substrate over the patch, and apply final paint coat over entire unbroken surface containing the patch. Provide additional coats until patch blends with adjacent surfaces.
 4. Ceilings: Patch, repair, or rehang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
 5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition and ensures thermal and moisture integrity of building enclosure.
- J. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

3.7 UNIVERSITY-INSTALLED PRODUCTS

- A. Site Access: Provide access to Project site for University's construction personnel.
- B. Coordination: Coordinate construction and operations of the Work with work performed by University's construction personnel.
 1. Construction Schedule: Inform University of Contractor's preferred construction schedule for University's portion of the Work. Adjust construction schedule based on a mutually agreeable timetable. Notify University if changes to schedule are required due to differences in actual construction progress.

2. Preinstallation Conferences: Include University's construction personnel at preinstallation conferences covering portions of the Work that are to receive University's work. Attend preinstallation conferences conducted by University's construction personnel if portions of the Work depend on University's construction.

3.8 PROGRESS CLEANING

- A. General: Clean Project site and work areas daily, including common areas. Enforce requirements strictly. Dispose of materials lawfully.
 1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
 2. Do not hold waste materials more than seven calendar days during normal weather or three calendar days if the temperature is expected to rise above 80 deg F.
 3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
 - a. Use containers intended for holding waste materials of type to be stored.
- B. Collection Point: Review location with University and obtain approval.
- C. Site: Maintain Project site free of waste materials and debris.
- D. Wind Blown Debris: Prevent spread of trash, debris, cartons, packing material, or other waste on or off Project site by wind.
- E. Dust: Sprinkle dusty debris with water.
- F. Packing Materials: Immediately after uncrating or unpacking materials or equipment, remove all crating, lumber, excelsior, wrapping or other like combustible materials from building to central collection facility.
- G. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
 1. Remove liquid spills promptly.
 2. Where dust would impair proper execution of the Work, broom-clean or vacuum the entire work area, as appropriate.
- H. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- I. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- J. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- K. Waste Disposal: Do not bury or burn waste materials on-site. Do not wash waste materials down sewers or into waterways. Comply with waste disposal requirements in Section 01 74 19 "Construction Waste Management and Disposal."

- L. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
- M. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- N. Limiting Exposures: Supervise construction operations to assure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.
- O. Snow and Ice: Remove snow and ice from sidewalks adjacent to site and from access ways to building and construction site.
- P. Streets: At frequency required by University and/or governing authority, clean adjacent and nearby streets of dirt resulting from construction operations.

3.9 STARTING AND ADJUSTING

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- B. Adjust equipment for proper operation. Adjust operating components for proper operation without binding.
- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Manufacturer's Field Service: Comply with qualification requirements in Section 01 40 00 "Quality Requirements."

3.10 PROTECTION OF INSTALLED CONSTRUCTION

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Comply with manufacturer's written instructions for temperature and relative humidity.
- C. Limiting Exposures: Supervise construction activities to ensure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period. Where applicable, such exposures include, but are not limited to, the following:
 - 1. Excessive static or dynamic loading.
 - 2. Excessive internal or external pressures.
 - 3. Excessively high or low temperatures.
 - 4. Thermal shock.
 - 5. Excessively high or low humidity.
 - 6. Air contamination or pollution.
 - 7. Water or ice.
 - 8. Solvents.
 - 9. Chemicals.

10. Light.
11. Radiation.
12. Puncture.
13. Abrasion.
14. Heavy traffic.
15. Soiling, staining and corrosion.
16. Bacteria.
17. Rodent and insect infestation.
18. Combustion.
19. Electrical current.
20. High speed operation.
21. Improper lubrication.
22. Unusual wear or other misuse.
23. Contact between incompatible materials.
24. Misalignment.
25. Excessive weathering.
26. Unprotected storage.
27. Improper shipping or handling.
28. Theft.
29. Vandalism.

END OF SECTION 01 73 00

SECTION 01 77 00

CLOSEOUT PROCEDURES

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
 - 1. Substantial Completion procedures, including Notice of Completion and Final Inspection procedures.
 - 2. Occupancy procedures, including Notice of Approval of Occupancy/Use and University Supplemental Notice of Occupancy and Use List.
 - 3. Final Acceptance procedures, including Pre-Acceptance Checklist and University Supplemental Building/Project Acceptance List.
 - 4. Inspections after completion.
 - 5. Warranties.
 - 6. Final cleaning.
 - 7. Repair of the Work.
- B. Related Requirements:
 - 1. Section 01 32 33 "Photographic Documentation" for submitting final completion construction photographic documentation.
 - 2. Section 01 73 00 "Execution" for progress cleaning of Project site.
 - 3. Section 01 78 23 "Operation and Maintenance Data" for operation and maintenance manual requirements.
 - 4. Section 01 78 39 "Project Record Documents" for submitting record Drawings, record Specifications, and record Product Data.
 - 5. Section 01 79 00 "Demonstration and Training" for requirements for instructing University's personnel.

1.3 ACTION SUBMITTALS

- A. Product Data: For cleaning agents.
- B. Contractor's List of Incomplete Items: Initial submittal at Notice of Completion.
- C. Certified List of Incomplete Items: Final submittal at Final Acceptance.

1.4 CLOSEOUT SUBMITTALS

- A. Certificates of Release: From authorities having jurisdiction.
- B. Certificate of Insurance: For continuing coverage.

- C. Field Report: For pest control inspection.

1.5 MAINTENANCE MATERIAL SUBMITTALS

- A. Schedule of Maintenance Material Items: For maintenance material submittal items specified in other Sections.

1.6 NOTICE OF COMPLETION AND SUBSTANTIAL COMPLETION PROCEDURES

- A. Procedures and Submittals Prior to Notice of Completion: Complete and submit all of the following items prior to submitting Notice of Completion to Architect/Engineer. Include Contractor's comprehensive list of items to be completed, corrected or not in compliance with the Drawings and Specifications.
 - 1. Contractor's List of Incomplete Items: Prepare and submit a list of items to be completed and corrected (Contractor's preliminary punch list), indicating the value of each item on the list and reasons why the Work is incomplete.
 - 2. Building Inspection Record: Submit completed record with all required corrections noted.
 - 3. Certificate of Occupancy: Submit Certificate of Occupancy (CO) or Temporary Certificate of Occupancy (TCO).
 - 4. Final Completion Schedule: Submit schedule for performing and completing all work indicated on the Contractor' list of incomplete items.
 - 5. Submit sustainable design documentation.
 - 6. Submit closeout submittals specified in other Division 01 Sections, including project record documents, operation and maintenance manuals, final completion construction photographic documentation, damage or settlement surveys, property surveys, and similar final record information.
 - 7. Submit closeout submittals specified in individual Sections, including specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
 - 8. Submit test/adjust/balance records.
- B. Final Inspection: Submit Notice of Completion to Architect/Engineer. Upon receipt, Architect/Engineer and University will review and if all items on the University Supplemental Notice of Completion Checklist are complete will, within the timeframe required by the Contract, schedule and make an inspection of the Project to determine whether the Work is substantially complete.
 - 1. Final Punch List: Based on the inspection, Architect/Engineer will prepare a final punch list of work to be completed, work not in compliance with the Drawings or Specifications, and unsatisfactory work for any reason.
 - 2. Re-inspection: If the cumulative number of items identified on the final punch list prevents a determination that the work is substantially complete, complete those items and when complete resubmit Notice of Completion. Upon receipt of resubmittal, Architect/Engineer and University will then schedule and make a re-inspection of the Project to determine whether the Work is substantially complete.
- C. Notice of Substantial Completion: When inspection of the Work indicates that the Project is substantially complete and all other Contract provisions required for substantial completion have been satisfied, Architect/Engineer will issue a Notice of Substantial Completion (State Form SBP-07).

1.7 LIST OF INCOMPLETE ITEMS (PUNCH LIST)

- A. Organization of List: Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
1. Organize list of spaces in sequential order, starting with exterior areas first and proceeding from lowest floor to highest floor or as approved by Architect/Engineer.
 2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, equipment, and building systems.
 3. Include the following information at the top of each page:
 - a. Project name.
 - b. Date.
 - c. Name of Architect/Engineer.
 - d. Name of Contractor.
 - e. Page number.
 4. Submit list of incomplete items in the following format:
 - a. MS Excel and PDF electronic file. Architect/Engineer will return annotated file.

1.8 OCCUPANCY PROCEDURES

- A. Procedures and Submittals Prior to Occupancy: Complete and submit all items on both State Form SBP-01 "Notice of Approval of Occupancy/Use" and University Supplemental Notice of Occupancy and Use List.

1.9 FINAL ACCEPTANCE PROCEDURES

- A. Procedures and Submittals Prior to Final Acceptance: Complete and submit all items on both State Form SBP-05 "Pre-Acceptance Checklist" and University Supplemental Building/Project Acceptance List.
- B. Inspection: Submit a written request for final inspection to determine acceptance a minimum of 10 business days prior to date the work will be completed and ready for final inspection and tests. On receipt of request, Architect/Engineer will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect/Engineer will prepare a final Certificate for Payment after inspection or will notify Contractor of construction that must be completed or corrected before certificate will be issued.
1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

1.10 SETTLEMENT AND FINAL PAYMENT

- A. Submit and complete all of the following as a condition precedent to settlement and final payment:
1. All guarantees and warranties.
 2. All statement to support local sales tax refunds, if any.
 3. Three (3) sets of operation and maintenance manuals.
 4. One (1) set of as-built Contract Documents showing all job changes.
 5. All demonstration and training completed in accordance with Section 01 79 00.

6. All punch list items documented as complete.

B. Final Certificate of Payment: Submit in accordance with the requirements of Section 01 29 00 "Payment Procedures."

1.11 INSPECTIONS AFTER COMPLETION

A. Warranty/Guarantee Inspections: During the warranty period, accompany Architect/Engineer and University Representative, and participate in inspection(s) of the Project to identify defective and deficient work at intervals and as required by the Contract.

B. List of Deficient or Defective Work: Within 10 business days of inspection, Architect/Engineer will provide Contractor with a list of items requiring correction.

C. Remedial Work: Upon receive of itemized list, immediately correct and remedy deficiencies and defects in a manner satisfactory to the Architect/Engineer and University.

1.12 SUBMITTAL OF PROJECT WARRANTIES

A. Time of Submittal: Submit written warranties to the Architect/Engineer prior to advertisement of the Notice of Contractor's Settlement. If the Notice of Acceptance designates a commencement date for warranties other than the date of Notice of Acceptance for the Work, or a designated portion of the Work, submit written warranties upon request of the Architect.

B. Partial Occupancy: When a designated portion of the Work is completed and occupied or used by the University, by separate agreement with the Contractor during the construction period, submit properly executed warranties to the Architect/Engineer within fifteen (15) calendar days of completion of that designated portion of the Work.

C. Special Warranties: When a special warranty is required to be executed by the Contractor, or the Contractor and a Subcontractor, supplier or manufacturer, prepare a written document that contains appropriate terms and identification, ready for execution by the required parties. Submit a draft to the University through the Architect/Engineer for approval prior to final execution. Refer to individual Specification Sections for specific requirements for special warranties.

D. Form of Submittal: Organize warranty documents into an orderly sequence based on the table of contents of Project Manual.

1. Number of Copies: Two.

2. Bind warranties and bonds in heavy-duty, three-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2-by-11-inch paper.

3. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.

4. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.

5. Warranty Electronic File: Scan warranties and bonds and assemble complete warranty and bond submittal package into a single indexed electronic PDF file with links enabling navigation to each item. Provide bookmarked table of contents at beginning of document.

E. Provide additional copies of each warranty to include in operation and maintenance manuals.

- F. List of Extended Warranties: Provide a comprehensive list of all manufacturers' standard and special warranties with duration greater than one year after Notice of Acceptance. Organize list into an orderly sequence based on table of contents of the Project Manual.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.
 - 1. Use cleaning products that comply with Green Seal's GS-37, or if GS-37 is not applicable, use products that comply with the California Code of Regulations maximum allowable VOC levels.
 - 2. Do not use sweeping compounds on concrete floors that will leave residue affecting finish floor materials.

PART 3 - EXECUTION

3.1 FINAL CLEANING

- A. General: Perform final cleaning. Conduct cleaning and waste-removal operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
 - 1. Complete the following cleaning operations immediately prior to Occupancy for entire Project or for a designated portion of Project:
 - a. Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
 - b. Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
 - c. Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
 - d. Remove tools, construction equipment, machinery, and surplus material from Project site.
 - e. Remove snow and ice to provide safe access to building.
 - f. Clean exposed exterior and interior finishes to a dirt-free condition, free of grease, dust, stains, films, fingerprints, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
 - g. Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
 - h. Sweep concrete floors broom clean in unoccupied spaces.
 - i. Vacuum carpet and similar soft surfaces, removing debris and excess nap; clean according to manufacturer's recommendations if visible soil or stains remain.
 - j. Power scrub and power buff resilient flooring surfaces, tile and fluid-applied flooring.
 - k. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision-obscuring materials. Polish mirrors and glass, taking care not to scratch surfaces.

- l. Remove labels that are not permanent.
 - m. Wipe surfaces of mechanical and electrical equipment, elevator equipment where applicable, and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
 - n. Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
 - o. Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
 - p. Clean ducts, blowers, and coils if units were operated without filters during construction or that display contamination with particulate matter on inspection.
 - 1) Clean HVAC system in compliance with NADCA Standard 1992-01. Provide written report on completion of cleaning.
 - q. Clean light fixtures, lamps, globes, and reflectors to function with full efficiency.
 - r. Clean food service equipment to sanitary condition acceptable for intended food service use and approved by authority having jurisdiction.
 - s. Leave Project clean and ready for occupancy.
- C. Pest Control: Comply with pest control requirements in Section 01 50 00 "Temporary Facilities and Controls." Prepare written report.

3.2 REPAIR OF THE WORK

- A. Complete repair and restoration operations before requesting inspection for determination of Substantial Completion.
- B. Repair or remove and replace defective construction. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment. Where damaged or worn items cannot be repaired or restored, provide replacements. Remove and replace operating components that cannot be repaired. Restore damaged construction and permanent facilities used during construction to specified condition.
 1. Remove and replace chipped, scratched, and broken glass, reflective surfaces, and other damaged transparent materials.
 2. Touch up and otherwise repair and restore marred or exposed finishes and surfaces. Replace finishes and surfaces that already show evidence of repair or restoration.
 - a. Do not paint over "UL" and other required labels and identification, including mechanical and electrical nameplates. Remove paint applied to required labels and identification.
 3. Replace parts subject to operating conditions during construction that may impede operation or reduce longevity.
 4. Replace burned-out bulbs, bulbs noticeably dimmed by hours of use, and defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.

3.3 ATTACHMENTS

- A. Samples of the following forms are appended to this Section for reference following End of Section 01 77 00:
 1. University of Colorado Denver | Anschutz Medical Campus Supplemental Notice of Occupancy and Use List.

2. University of Colorado Denver | Anschutz Medical Campus Supplemental Building / Project Acceptance List.

END OF SECTION 01 77 00

Supplemental Notice of Occupancy and Use List

Project Name & Number: _____

Contractor: _____

In addition to completing Notice of Approval of Occupancy / Use (SBP-01), the following items must be completed before Occupancy is approved.

Activity	Date Completed	Remarks
1. Final and formal address posted on the building entries.		
2. A copy of the Contractor's in-progress red line "as-built" drawings has been given to BMO representative & a 2 nd copy is provided-for Projects plan room. This is to include landscape drawings showing irrigation installation.		
3. Maintenance, operations and spare parts manuals on all installed equipment.		
4. Notice of Partial Substantial Completion concerning roles/ responsibilities of University and Contractor for security, maintenance, heat, utilities reviewed and accepted.		
5. Manufacturer maintenance, operations and spare parts manuals for fixtures, mechanical, electrical and plumbing.		
6. Hardware-maintenance, operations and spare parts manuals for doors & locks, including roll up doors.		
7. Warranty Dates and Contact list for all Contractors and Suppliers given to BMO.		
8. Transfer utility account from Contractor to Facilities Operations.		
9. Site plan to include first floor main isolation locations and plans for each floor to include main utility shutoffs, for utilities to include water, electrical, steam, sewer, fuel supply, telecom, fiber optic and gasses, identified on a set of drawings.		
10. If Commissioning Report is completed, BMO has reviewed/ commented, including electrical, plumbing, mechanical/ HVAC.		
11. All Contractor provided equipment has new filters & construction filters removed.		
12. Not Used		
13. Elevator equipment rooms insulated and space conditioned for control system requirements.		
14. Testing Certifications provided to BMO for Elevators, Fire Systems & Annunciator Systems.		
15. FSS has been provided with copy of Building Department testing and inspection report for window washing equipment.		
16. Roof walking pads to access equipment are installed.		
17. PM to communicate to fire department via Life Safety Officer that building has transitioned to BMO. Alarms at Anschutz Medical Campus report to University Police Dispatch and at Downtown report to designated monitoring company.		

18. BAS System (Siemens), Energy and Lighting, Fuel Systems, and Power Management must report remotely & verify with University - Engineering.		
19. Training for BMO and FSS on installed equipment and systems is completed.		
20. Equipment keys and locks transitioned to Operations, including fire panels, electrical panels, directories and generator panels. Construction cores removed and replaced with permanent cores.		
21. Access control pathways and junction boxes for installed doors, gates, loading docks and roof access complete. *All wiring and hardware completed and electronic security access controls in place and tested by University Electronic Security.		
22. EH&S is provided, as applicable for project, with fume hood certification, water testing certification, hazardous waste compliance certification, radiation compliance certification, BSL3 certification, and all other specialty equipment certification.		
23. PM notifies University Risk Management that project is transferring to University and notifies Contractor that it can eliminate Builders Risk Insurance.		
24. Not Used		
25. Not Used		
26. Elevator tools, including hand tools, computer, proprietary and operational software is received and confirm 1-year service from date of acceptance.		
27. All computers and software required in drawings and specs. are received, including for BAS, Energy and Lighting, Fuel Systems, and Power Management, and any specialty software and alarm codes for operating systems.		
28. For all areas to be transferred to University, all waste and debris removed; floor and wall surfaces clean and in good repair; ceiling surfaces clean, unmarked, in place; site, including sidewalks, cleared of debris and construction equipment; and roof is clear of all materials and debris.		
29. Water chlorination and testing complete and provided by PM to Chief Building Official and BMO via BMO Rep.		
30. Toilet accessories are in place that meet custodial contract.		
31. Trash receptacles outside the building are in place		

University Project Manager Date
(sign & print name)

University BMO Rep. Date
(sign & print name)

University FSS Rep Date
(sign & print name)

University Downtown Rep. (If Necessary) Date
(sign & print name)

***Highlighted items are not the responsibility of Contractor but PM and BMO Rep must ensure these are completed and operational prior to occupancy and use.**

Mark N/A by item if it is not applicable to project

3.1.12

Supplemental Building / Project Acceptance List

Project Name & Number: _____

Contractor: _____

In addition to completing Pre-Acceptance Checklist (SBP-05), the following items must be completed before Final Acceptance.

Activity	Date Completed	Remarks
1. Review State Buildings Pre-Acceptance check list & Notice of Approval of Occupancy / use form with BMO rep & confirm agreement with status		
*2. Establish list of post construction change orders & track separately from basic project until items are complete – call it Phase 2 to avoid delay on basic project		
3. O & M Manuals given to BMO Representative and BMO Archivist (2 hard copies and 1 electronic total)		
*4. Record Documents – a hard copy of plans and specifications are provided for plan room & given to BMO & electronic auto cad & specs are given to Archive Officer (Art Steinman) this is to include landscape drawings showing irrigation installation.		
*5. Final Site Walk is completed with University Grounds Supervisor. Drain barriers are removed and storm drains cleared. MS4 storm water plan, CDPHE permits, and evidence of final closeout received by Project Manager and all copied to University Engineering Division.		
**6. <u>Move-related work items complete including physical move, tours (occupants & police), mail, phone & electrical hook ups for equipment & furniture systems complete & freezers enrolled in University freezer program.</u>		
7. If exterior work is applicable: Landscape – Include a walk through with University Grounds for 1) new & established 1-year service date; 2) existing damaged landscape is repaired; and 3) irrigation – zone control test is complete.		
8. Attic stock, matches spec. requirements, is located in secured location, and is inventoried.		
9. Electrical system one line diagram framed and mounted in electrical room.		
10. Spare fire suppression heads in cabinets and tool: cabinet in main electrical room includes one complete set of spare fuses for major equipment.		
11. Contractor keys issued by University BMO returned to University Key Shop via PM/ BMO Rep.		
12. Interior Finishes Binder given to the University Project Manager: (Two hard copies)		
13. Not Used		
14. Not Used		

15. Safety grating in pipe chases in place.		
16. Signs in place including monument sign, building exterior and site signage and building interior signage.		
17. All applicable reports, including Air Emission reports; Sewer Reports, including for process diverters, traps and collection tanks; Fuel Storage Tank and Detection reports; and Water System tests and reports provided to BMO via PM and BMO Rep.		
18. Not Used		
19. Not Used		
20. Not Used		
21. Not Used		
22. If commissioning is included for project, Commissioning Agent certification is received by BMO via PM and BMO Rep.		

University Project Manager Date
(sign & print name)

University BMO Rep. Date
(sign & print name)

University FSS Date
(sign & print name)

University Downtown Rep (if necessary) Date
(sign & print name)

*Warranty dates are not subject to completion of these items by contract

**** Highlighted items are not the responsibility of Contractor but PM and BMO Rep must ensure these are completed and operational prior to occupancy and use.**

Mark N/A by item if it is not applicable to project
3.1.12

SECTION 01 78 23

OPERATION AND MAINTENANCE DATA

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
 - 1. Operation and maintenance documentation directory.
 - 2. Systems, subsystems, and equipment operation and maintenance manuals.
 - 3. Product maintenance manuals.
 - 4. Emergency manuals.
 - 5. Framed operating and maintenance instructions.
- B. Related Requirements:
 - 1. Section 01 33 00 "Submittal Procedures" for submitting copies of submittals for operation and maintenance manuals.
 - 2. Section 01 91 13 "General Commissioning Requirements" for verification and compilation of data into operation and maintenance manuals.

1.3 DEFINITIONS

- A. System: An organized collection of parts, equipment, or subsystems united by regular interaction.
- B. Subsystem: A portion of a system with characteristics similar to a system.

1.4 CLOSEOUT SUBMITTALS

- A. Schedule: Submit each manual in final form prior to requesting inspection for Substantial Completion and at least 30 calendar days before commencing demonstration and training. Architect/Engineer will return copy with comments.
 - 1. Correct or revise each manual to comply with Architect/Engineer's comments. Submit copies of each corrected manual within 15 calendar days of receipt of Architect/Engineer's comments and prior to commencing demonstration and training.
- B. Format: Submit operations and maintenance manuals in the following format:
 - 1. PDF electronic file. Assemble each manual into a composite electronically indexed file. Submit on digital media acceptable to Architect/Engineer.

- a. Name each indexed document file in composite electronic index with applicable item name. Include a complete electronically linked operation and maintenance directory.
 - b. Compile entirely from documents with searchable text.
 - c. Enable inserted reviewer comments on draft submittals.
2. Paper copies. Assemble in accordance with the requirements of this Section.
- a. Submit three final copies, one to be retained by the Architect/Engineer and two to be retained by the University.
- C. Final Manual Submittal: Submit each manual in final form prior to requesting inspection for Substantial Completion and at least 30 calendar days before commencing demonstration and training. Architect/Engineer will return copy with comments.
1. Correct or revise each manual to comply with Architect/Engineer's comments. Submit copies of each corrected manual within 15 calendar days of receipt of Architect/Engineer's comments and prior to commencing demonstration and training.

PART 2 - PRODUCTS

2.1 OPERATION AND MAINTENANCE DOCUMENTATION DIRECTORY

- A. Directory: Prepare a single, comprehensive directory of emergency, operation, and maintenance data and materials, listing items and their location to facilitate ready access to desired information. Include a section in the directory for each of the following:
1. List of documents.
 2. List of systems.
 3. List of equipment.
 4. Table of contents.
- B. List of Systems and Subsystems: List systems alphabetically. Include references to operation and maintenance manuals that contain information about each system.
- C. List of Equipment: List equipment for each system, organized alphabetically by system. For pieces of equipment not part of system, list alphabetically in separate list.
- D. Tables of Contents: Include a table of contents for each emergency, operation, and maintenance manual.
- E. Identification: In the documentation directory and in each operation and maintenance manual, identify each system, subsystem, and piece of equipment with same designation used in the Contract Documents. If no designation exists, assign a designation according to ASHRAE Guideline 4, "Preparation of Operating and Maintenance Documentation for Building Systems."

2.2 GENERAL REQUIREMENTS FOR EMERGENCY, OPERATION, AND MAINTENANCE MANUALS

- A. Intent: Prepare data in form of an instructional manual for use by University personnel.
- B. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain the following materials, in the order listed:

1. Title page.
 2. Table of contents.
 3. Manual contents.
- C. Title Page: Include the following information:
1. Subject matter included in manual.
 2. Name and address of Project.
 3. Name and address of University.
 4. Date of submittal.
 5. Name and contact information for Contractor.
 6. Name and contact information for Construction Manager.
 7. Name and contact information for Architect/Engineer.
 8. Name and contact information for Commissioning Authority.
 9. Names and contact information for major consultants to the Architect/Engineer that designed the systems contained in the manuals.
 10. Cross-reference to related systems in other operation and maintenance manuals.
- D. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
1. If operation or maintenance documentation requires more than one volume to accommodate data, include comprehensive table of contents for all volumes in each volume of the set.
- E. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
- F. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
1. Prepare supplementary text if manufacturers' standard printed data are not available and where the information is necessary for proper operation and maintenance of equipment or systems.
- G. Drawings: Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in record Drawings to ensure correct illustration of completed installation.
- H. Manuals, Electronic Files: Submit manuals in the form of a multiple file composite electronic PDF file for each manual type required.
1. Electronic Files: Use electronic files prepared by manufacturer where available. Where scanning of paper documents is required, configure scanned file for minimum readable file size and enable OCR (optical character recognition) to provide searchable text.
 2. File Names and Bookmarks: Enable bookmarking of individual documents based on file names. Name document files to correspond to system, subsystem, and equipment names used in manual directory and table of contents. Group documents for each system and subsystem into individual composite bookmarked files, then create composite manual, so that resulting bookmarks reflect the system, subsystem, and equipment names in a readily navigated file tree. Configure electronic manual to display bookmark panel on opening file.

- I. Manuals, Paper Copy: Submit manuals in the form of hard copy, bound and labeled volumes.
 1. Binders: Heavy-duty, three-ring, vinyl-covered, loose-leaf binders, in minimum 1 inch and maximum 2 inch thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.
 - a. If two or more binders are necessary to accommodate data of a system, organize data in each binder into groupings by subsystem and related components. Cross-reference other binders if necessary to provide essential information for proper operation or maintenance of equipment or system.
 - b. Identify each binder on front and spine, with printed title "OPERATION AND MAINTENANCE MANUAL," Project title or name, and subject matter of contents, and indicate Specification Section number on bottom of spine. Indicate volume number for multiple-volume sets.
 2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section of the manual. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross-referenced to Specification Section number and title of Project Manual.
 3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software storage media for computerized electronic equipment.
 4. Supplementary Text: Prepared on 8-1/2-by-11-inch, 20 lb., white bond paper.
 5. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
 - a. If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.
 - b. If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.

2.3 SYSTEMS, SUBSYSTEMS AND EQUIPMENT OPERATION AND MAINTENANCE MANUALS

- A. General: Provide operation and maintenance manuals where indicated in individual Specification Section and the following:
 1. Heating, ventilating and air-conditioning equipment and systems.
 2. Plumbing equipment and systems.
 3. Special piping equipment and systems.
 4. Electrical distribution systems.
 5. Standby generator systems.
 6. Communications systems.
 7. Fire alarm and detection systems.
 8. Underground sprinkler systems.
 9. Automatic entrances.
 10. Food service equipment.
 11. Elevators.
 12. Other special construction and conveying systems.
- B. Operation Content: In addition to requirements in this Section, include operation data required in individual Specification Sections.
 1. Additional Operation Content Required:

- a. System, subsystem, and equipment descriptions. Use designations for systems and equipment indicated on Contract Documents.
 - b. Performance and design criteria if Contractor has delegated design responsibility.
 - c. Operating standards.
 - d. Operating procedures.
 - e. Operating logs.
 - f. Wiring diagrams.
 - g. Control diagrams.
 - h. Piped system diagrams.
 - i. Precautions against improper use.
 - j. License requirements including inspection and renewal dates.
2. Descriptions: Include the following:
- a. Product name and model number. Use designations for products indicated on Contract Documents.
 - b. Manufacturer's name.
 - c. Equipment identification with serial number of each component.
 - d. Equipment function.
 - e. Operating characteristics.
 - f. Limiting conditions.
 - g. Performance curves.
 - h. Engineering data and tests.
 - i. Complete nomenclature and number of replacement parts.
3. Operating Procedures: Include the following, as applicable:
- a. Startup procedures.
 - b. Equipment or system break-in procedures.
 - c. Routine and normal operating instructions.
 - d. Regulation and control procedures.
 - e. Instructions on stopping.
 - f. Normal shutdown instructions.
 - g. Seasonal and weekend operating instructions.
 - h. Required sequences for electric or electronic systems.
 - i. Special operating instructions and procedures.
4. Systems and Equipment Controls: Describe the sequence of operation, and diagram controls as installed.
5. Piped Systems: Diagram piping as installed, and identify color-coding where required for identification.
- C. Maintenance Content: For each system, subsystem, and piece of equipment not part of a system, include source information, manufacturers' maintenance documentation, maintenance procedures, maintenance and service schedules, spare parts list and source information, maintenance service contracts, and warranty and bond information, as described below.
1. Source Information: Provide the following information in a list for each product included in manual:
- a. Name, address, and telephone number of Installer or supplier and maintenance service agent.
 - b. Name, address, and telephone number of local source for supply of replacement parts.
 - c. Name, address, and telephone number of maintenance contractor, where appropriate.
 - d. Cross-reference Specification Section number and title.
 - e. Drawing or schedule designation or identifier where applicable.

2. **Manufacturers' Maintenance Documentation:** Manufacturers' maintenance documentation including the following information for each component part or piece of equipment:
 - a. Standard maintenance instructions and bulletins.
 - b. Drawings, diagrams, and instructions required for maintenance, including disassembly and component removal, replacement, and assembly.
 - c. Identification and nomenclature of parts and components.
 - d. List of items recommended to be stocked as spare parts.
3. **Maintenance Procedures:** Include the following information and items that detail essential maintenance procedures:
 - a. Test and inspection instructions.
 - b. Troubleshooting guide.
 - c. Precautions against improper maintenance.
 - d. Disassembly; component removal, repair, and replacement; and reassembly instructions.
 - e. Aligning, adjusting, and checking instructions.
 - f. Demonstration and training video recording, if available.
4. **Maintenance and Service Schedules:** Include service and lubrication requirements, list of required lubricants for equipment, and separate schedules for preventive and routine maintenance and service with standard time allotment.
 - a. **Scheduled Maintenance and Service:** Tabulate actions for daily, weekly, monthly, quarterly, semiannual, and annual frequencies.
 - b. **Maintenance and Service Record:** Include manufacturers' forms for recording maintenance.
5. **Spare Parts List and Source Information:** Include lists of replacement and repair parts, with parts identified and cross-referenced to manufacturers' maintenance documentation and local sources of maintenance materials and related services.
6. **Maintenance Service Contracts:** Include copies of maintenance agreements with name and telephone number of service agent.
7. **Warranties and Bonds:** Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.
 - a. Include procedures to follow and required notifications for warranty claims.
 - b. Include information sheet covering proper procedures in event of failure and instances which might affect validity of warranties and bonds.

2.4 PRODUCT MAINTENANCE MANUALS

- A. **Content:** Organize manual into a separate section for each product, material, and finish. Separate into two manuals: one for exterior moisture protection products and those exposed to weather and one for interior products. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- B. **Source Information:** Provide the following information for each product included in manual:
 1. Name, address, and telephone number of Installer or supplier and maintenance service agent.
 2. Cross-reference Specification Section number and title.
 3. Drawing or schedule designation or identifier where applicable.
- C. **Product Information:** Include the following, as applicable:

1. Product name and model number.
2. Manufacturer's name.
3. Color, pattern, and texture.
4. Material and chemical composition.
5. Reordering information for specially manufactured products.

D. Maintenance Procedures: Include manufacturer's written recommendations and the following:

1. Inspection procedures.
2. Types of cleaning agents to be used and methods of cleaning.
3. List of cleaning agents and methods of cleaning detrimental to product.
4. Schedule for routine cleaning and maintenance.
5. Repair instructions.

E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.

F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.

1. Include procedures to follow and required notifications for warranty claims.

2.5 EMERGENCY MANUALS

A. Content: Organize manual into a separate section for each of the following:

1. Type of emergency.
2. Emergency instructions.
3. Emergency procedures.

B. Type of Emergency: Where applicable for each type of emergency indicated below, include instructions and procedures for each system, subsystem, piece of equipment, and component:

1. Fire.
2. Flood.
3. Gas leak.
4. Water leak.
5. Power failure.
6. Water outage.
7. System, subsystem, or equipment failure.
8. Chemical release or spill.

C. Emergency Instructions: Describe and explain warnings, trouble indications, error messages, and similar codes and signals. Include responsibilities of University's operating personnel for notification of Installer, supplier, and manufacturer to maintain warranties.

D. Emergency Procedures: Include the following, as applicable:

1. Instructions on stopping.
2. Shutdown instructions for each type of emergency.
3. Operating instructions for conditions outside normal operating limits.
4. Required sequences for electric or electronic systems.
5. Special operating instructions and procedures.

2.6 FRAMED OPERATING AND MAINTENANCE INSTRUCTIONS

- A. All mechanically and electrically operated equipment and controls shall be provided with legible and complete wiring diagrams, schematics, operating instructions, and pertinent preventative maintenance instructions in a sturdy frame with clear glass or plastic cover. Use non-fading, permanent media.
- B. Locate frames in the same room or service enclosure as equipment, or in the nearest mechanical or electrical room.

PART 3 - EXECUTION (Not Used)

END OF SECTION 01 78 23

SECTION 01 78 39

PROJECT RECORD DOCUMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for project record documents, including the following:
 - 1. Record Drawings.
 - 2. Record Specifications.
 - 3. Record Product Data.
 - 4. Record Samples.
 - 5. Miscellaneous record submittals.
- B. Related Requirements:
 - 1. Section 01 73 00 "Execution" for final property survey.
 - 2. Section 01 77 00 "Closeout Procedures" for general closeout procedures.
 - 3. Section 01 78 23 "Operation and Maintenance Data" for operation and maintenance manual requirements.

1.3 CLOSEOUT SUBMITTALS

- A. General: Submit record drawings with duplicate original transmittal letters containing:
 - 1. Date.
 - 2. Project title and number.
 - 3. Contractor's name and address.
 - 4. Certification that each document as submitted is complete and accurate.
 - 5. Signature of authorized representative of the Contractor.
- B. Record Drawings: Submit copies of record Drawings as follows:
 - 1. Submit three paper-copy sets of marked-up record prints, two copies will be retained by the University and one copy retained by the Architect/Engineer.
 - 2. Submit three paper-copy sets and three digital copies on CD of electronic files for all delegated-design submittals. Two copies will be retained by the University and one copy retained by the Architect/Engineer.
- C. Record Specifications: Submit three paper copies of Project's Specifications, including addenda and contract modifications. Two copies will be retained by the University and one copy retained by the Architect/Engineer.

- D. Record Product Data: Submit three paper copies of each submittal. Two copies will be retained by the University and one copy retained by the Architect/Engineer.
 - 1. Where record Product Data are required as part of operation and maintenance manuals, submit duplicate marked-up Product Data as a component of manual.
- E. Miscellaneous Record Submittals: See other Specification Sections for miscellaneous record-keeping requirements and submittals in connection with various construction activities. Submit three paper copies of each submittal. Two copies will be retained by the University and one copy retained by the Architect/Engineer.
- F. Interior Finishes Binder: Three copies. Two copies will be retained by the University and one copy retained by the Architect/Engineer.

PART 2 - PRODUCTS

2.1 RECORD DRAWINGS

- A. Record Prints: Maintain one set of marked-up paper copies of the Contract Drawings and Shop Drawings, incorporating new and revised drawings as modifications are issued.
 - 1. Preparation: Mark record prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to provide information for preparation of corresponding marked-up record prints.
 - a. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.
 - b. Accurately record information in an acceptable drawing technique.
 - c. Record data as soon as possible after obtaining it.
 - d. Record and check the markup before enclosing concealed installations.
 - e. Cross-reference record prints to corresponding archive photographic documentation.
 - f. Mark using line types and symbols conforming to Contract Documents.
 - 2. Content: Types of items requiring marking include, but are not limited to, the following:
 - a. Dimensional changes to Drawings.
 - b. Revisions to details shown on Drawings.
 - c. Depths of foundations below first floor.
 - d. Locations and depths of underground utilities referenced to permanent surface improvements.
 - e. Revisions to routing of piping and conduits.
 - f. Revisions to electrical circuitry.
 - g. Actual equipment locations.
 - h. Duct size and routing.
 - i. Locations of concealed internal utilities referenced to visible and accessible features of structure.
 - j. Locations of concealed valves, dampers, controls, balancing devices, junction boxes, cleanouts, and other items requiring access or maintenance.
 - k. Changes made by Change Order.
 - l. Changes made following Architect/Engineer's written orders.
 - m. Details not on the original Contract Drawings.
 - n. Field records for variable and concealed conditions.

- o. Record information on the Work that is shown only schematically.
 3. Mark the Contract Drawings and Shop Drawings completely and accurately. Use personnel proficient at recording graphic information in production of marked-up record prints.
 4. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.
 5. Mark additional information important to University that was either shown schematically or omitted from original Drawings.
 6. Note Change Order numbers, and similar identification, where applicable.
- B. Record Delegated Design Electronic Files: For all delegated design submittals, including but not limited to landscape irrigation, fire alarm and fire sprinkler plans, prepare electronic files in full compliance with University of Colorado Denver | Anschutz Medical Campus Guidelines and Design Standards, Part 1.0, Paragraph "Drawing Production Standards."
- C. Identification: Identify and date each record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
 1. Record Prints: Organize record prints into manageable sets. Bind each set with durable paper cover sheets. Include identification on cover sheets.
 2. Identification: As follows:
 - a. Project name.
 - b. Date.
 - c. Designation "PROJECT RECORD DRAWINGS."
 - d. Name of Architect/Engineer.
 - e. Name of Contractor.

2.2 RECORD SPECIFICATIONS

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
 1. Give particular attention to substitutions, selection of options, and similar information on concealed products and installations that cannot be readily identified and recorded later.
 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
 3. Note related Change Orders where applicable.
 4. Maintain one complete copy of all Addenda, Change Orders and other written change documents in printed form during construction.

2.3 RECORD PRODUCT DATA

- A. Preparation: Mark Product Data to indicate the actual product installation where installation varies substantially from that indicated in Product Data submittal.
 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.
 2. Include significant changes in the product delivered to Project site and changes in manufacturer's written instructions for installation.
 3. Note related Change Orders, record Specifications, and record Drawings where applicable.
- B. Directory: Include record Product Data directory organized by Specification Section number and title.

- C. Product List: Update and record any changes to Product List submitted in accordance with Section 01 60 00 "Product Requirements", including any changes to brand, model, subcontractor, or Installer so that final list reflects materials, equipment and systems incorporated into the Work.

2.4 RECORD SAMPLES

- A. Prior to Final Acceptance, meet with University Project Manager and Architect/Engineer at site to review and identify which submitted samples maintained during the progress of the Work are to be transmitted to the University.
- B. Deliver selected samples to storage area identified by University.
- C. Finishes Binder: Three-ring notebook or notebooks, organized by Specification Section number, providing a listing and description of all material finishes on the Project and including a minimum 6 inch by 6 inch sample thereof to accompany the description. Accompany each material selection indicated with the following:
 - 1. Manufacturer and product name.
 - 2. Pattern name and number, as applicable.
 - 3. Color name, as applicable.
 - 4. Any additional information required to order replacement product.

2.5 MISCELLANEOUS RECORD SUBMITTALS

- A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference.
 - 1. Include manufacturer's certifications, field test record, copies of permits, licenses, certifications, inspection reports, releases, notices, receipts for fee payments and similar documents.
- B. Directory: Include miscellaneous record submittals directory organized by Specification Section number and title.

PART 3 - EXECUTION

3.1 RECORDING AND MAINTENANCE

- A. Recording: Maintain one copy of each submittal during the construction period for project record document purposes. Post changes and revisions to project record documents as they occur; do not wait until end of Project. Update at least weekly.
- B. Maintenance of Record Documents and Samples: Store record documents and Samples in the field office apart from the Contract Documents used for construction. Do not use project record documents for construction purposes. Maintain record documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to project record documents for Architect/Engineer's and University's reference during normal working hours.

END OF SECTION 01 78 39

SECTION 01 79 00

DEMONSTRATION AND TRAINING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for instructing University's personnel, including the following:
 - 1. Demonstration of operation of systems, subsystems, and equipment.
 - 2. Training in operation and maintenance of systems, subsystems, and equipment.

1.3 INFORMATIONAL SUBMITTALS

- A. Instruction Program: Submit outline of instructional program for demonstration and training, including a list of training modules and a schedule of proposed dates, times, length of instruction time, and instructors' names for each training module. Include outline for each training module.
- B. Qualification Data: For instructor, demonstrating qualifications and ability to instruct on maintenance and care of system, equipment and products.
- C. Schedule of Demonstration and Training: Prepare a schedule in tabular form of all demonstration and training required in individual Specification Sections including:
 - 1. Specification Section number and title.
 - 2. Description of required demonstration and training.
- D. Attendance Record: For each training module, submit list of participants and length of instruction time.

1.4 QUALITY ASSURANCE

- A. Instructor Qualifications: A factory-authorized service representative, complying with requirements in Section 01 40 00 "Quality Requirements," experienced in operation and maintenance procedures and training. Manufacturer's sales staff is not acceptable.
- B. Pre-instruction Conference: Conduct conference at Project site to comply with requirements in Section 01 31 00 "Project Management and Coordination." Review methods and procedures related to demonstration and training.

PART 2 - PRODUCTS

2.1 INSTRUCTION PROGRAM

- A. Program Structure: Develop an instruction program that includes individual training modules for each system and for equipment not part of a system, as required by individual Specification Sections.
- B. Training Modules: For each module, include instruction for the following as applicable to the system, equipment, or component:
 - 1. Basis of System Design, Operational Requirements, and Criteria: Include the following:
 - a. System, subsystem, and equipment descriptions.
 - b. Performance and design criteria if Contractor is delegated design responsibility.
 - c. Operating standards.
 - d. Regulatory requirements.
 - e. Equipment function.
 - f. Operating characteristics.
 - g. Limiting conditions.
 - h. Performance curves.
 - 2. Documentation: Review the following items in detail:
 - a. Emergency manuals.
 - b. Operations manuals.
 - c. Maintenance manuals.
 - d. Project record documents.
 - e. Identification systems.
 - f. Warranties and bonds.
 - g. Maintenance service agreements and similar continuing commitments.
 - 3. Emergencies: Include the following, as applicable:
 - a. Instructions on meaning of warnings, trouble indications, and error messages.
 - b. Instructions on stopping.
 - c. Shutdown instructions for each type of emergency.
 - d. Operating instructions for conditions outside of normal operating limits.
 - e. Sequences for electric or electronic systems.
 - f. Special operating instructions and procedures.
 - g. A tour of the installation identifying the location of all system components.
 - 4. Operations: Include the following, as applicable:
 - a. Startup procedures.
 - b. Equipment or system break-in procedures.
 - c. Routine and normal operating instructions.
 - d. Regulation and control procedures.
 - e. Control sequences.
 - f. Safety procedures.
 - g. Instructions on stopping.
 - h. Normal shutdown instructions.
 - i. Operating procedures for emergencies.
 - j. Operating procedures for system, subsystem, or equipment failure.
 - k. Seasonal and weekend operating instructions.

- l. Required sequences for electric or electronic systems.
 - m. Special operating instructions and procedures.
 - n. Sequence of operation.
5. Adjustments: Include the following:
- a. Alignments.
 - b. Checking adjustments.
 - c. Noise and vibration adjustments.
 - d. Economy and efficiency adjustments.
6. Troubleshooting: Include the following:
- a. Diagnostic instructions.
 - b. Test and inspection procedures.
7. Maintenance: Include the following:
- a. Inspection procedures.
 - b. Types of cleaning agents to be used and methods of cleaning.
 - c. List of cleaning agents and methods of cleaning detrimental to product.
 - d. Procedures for routine cleaning
 - e. Procedures for preventive maintenance.
 - f. Procedures for routine maintenance.
 - g. Instruction on use of special tools.
8. Repairs: Include the following:
- a. Diagnosis instructions.
 - b. Repair instructions.
 - c. Disassembly; component removal, repair, and replacement; and reassembly instructions.
 - d. Instructions for identifying parts and components.
 - e. Review of spare parts needed for operation and maintenance.
 - f. Product support/service model.
 - g. Purchasing of replacement parts.
9. Instruction specific to Instrumentation and Controls, Electrical Gateway, Network Lighting Controls, or any other new technology that is integrated with another system: Include the following:
- a. Overview and theory.
 - b. Wiring diagrams, including the one line diagram.
 - c. Creation, editing, and programming of the point database.
 - d. Integration topology and platform for communication.
 - e. Graphics packages and touch screens for the system.
 - f. Alarms and diagnostics.
 - g. Reporting functions dynamically and historically.
 - h. Remote access to the system.
 - i. Database back-up and maintenance.
 - j. Replacement and re-programming of replacement parts.
 - k. Point type and functionality for each type of point.
 - l. Programming.
 - m. Point/object editing.
 - n. Loop tuning.
 - o. Help files and other troubleshooting documentation.

- p. Instruction is given by the staff that setup the integration.
- C. Operation and Maintenance Manuals: Provide appropriate Operation and Maintenance manuals in each training session so that the detail drawings and maintenance activities are outlined and discussed for each application.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Assemble educational materials necessary for instruction, including documentation and training module.
- B. Set up instructional equipment at instruction location.

3.2 INSTRUCTION

- A. Engage qualified instructors to instruct University's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
 - 1. University will furnish Contractor with names and positions of participants.
- B. Scheduling: Provide instruction at mutually agreed on times. For equipment that requires seasonal operation, provide similar instruction at start of each season.
 - 1. Coordinate schedule for all training with University Project Manager and provide the following:
 - a. Minimum 3 weeks notification.
 - b. Training matrix in calendar format.
 - c. Training outline for each session.
 - 2. Do not schedule training until equipment has been started up, commissioned, and is currently operating in its normal condition.
 - 3. Do not schedule overlapping training sessions.
 - 4. Schedule training sessions for a maximum of 4 hours per day; afternoons preferred.
 - 5. Provide separate training session on each system for operational/maintenance groups and user groups.
 - 6. Training sessions will be cancelled and rescheduled unless the following documentation is received:
 - a. Instruction qualifications.
 - b. Evidence that equipment has been started up, commissioned, and is currently operating in its normal condition.
 - c. Operation and Maintenance manuals.
- C. Training Location and Reference Material: Conduct training on-site in the completed and fully operational facility using the actual equipment in-place. Conduct training using final operation and maintenance data submittals.
- D. Travel, Room and Board: Coordinate any out-of-state training with the University Project Manager.
- E. Cleanup: Collect used and leftover educational materials and remove from Project site. Remove instructional equipment. Restore systems and equipment to condition existing before initial training use.

3.3 DEMONSTRATION SCHEDULE

SECTION	TITLE	DESCRIPTION
23 00 00	HEATING, VENTILATING, AND AIR CONDITIONING (HVAC)	Schedule instructional meetings for The University of Colorado Anschutz Medical Campus Facilities Operations maintenance personnel on the proper operation and maintenance of mechanical systems. Provide the project manager a minimum of 5 days notice prior to any testing.
23 08 00	COMMISSIONING OF HVAC	Engage the commissioning authority to provide a customized one to two day training class for the university's engineering personnel in problem solving techniques including the review of mechanical system design as a whole, integrated unit, unique qualities of the installed mechanical system, insights into how to solve system-wide, multi-faceted problems, and identify a variety of resources to assist with problem solving.
23 09 00	INSTRUMENTATION AND CONTROLS	Engage a factory-authorized trained representative to conduct a minimum of 1-four hour on-site training course and an additional 1-four on-site training course per 25,000 sf. ft. for University personnel
		Engage a factory-authorized trained representative to conduct an 8-hour seasonal loop training.
23 21 23	PUMPS	Engage a factory-authorized service representative to train a University Representative for 2 hours of instruction for each pumping system provided.

END OF SECTION 01 79 00

SECTION 019113 - GENERAL COMMISSIONING REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Owner's Project Requirements, Commissioning Plan, and Basis of Design Document are referenced for information only.

1.2 SUMMARY

- A. Section Includes:

1. General requirements for coordinating and scheduling commissioning activities.
2. Commissioning meetings.
3. Commissioning report.
4. Use of commissioning process test equipment, instrumentation, and tools.
5. Construction checklists, including, but not limited to, pre-functional checklists, and startup.
6. Commissioning functional performance testing.
7. Adjusting, verifying, and documenting identified systems and assemblies.
8. Building Systems Manual

- B. Related Requirements:

1. Section 01 33 00 "Submittal Procedures" for submittal procedure requirements for commissioning process.
2. Section 01 78 23 "Operation and Maintenance Data" for preliminary operation and maintenance data submittal requirements.
3. Section 23 08 00 "Commissioning of HVAC" for technical commissioning requirements for HVAC.
4. Section 26 08 00 "Commissioning of Electrical Systems" for technical commissioning requirements for electrical systems.

1.3 OWNER COMMISSIONING PROCESS

- A. The commissioning process is a collaborative effort between the Owner, Consultant(s), Contractor(s), subcontractor(s), and the Commissioning Authority (CxA). The team will work together to ensure that the finished project satisfies the documented Owner's Project Requirements (OPR) and the Owner's Programming Phase Basis of Design Document.
- B. The commissioning process will start in the Programming Phase and continue through the Close-out and into the Post Occupancy Phase.
- C. The commissioning process for the Owner is defined below.

1. Commissioning: The Commissioning Authority will be responsible for providing commissioning services in compliance with the scope discussed with Owner the following systems:
 - a. Heat exchangers
 - b. Variable air volume units
- D. Contractor is responsible for performing the requirements of the commissioning process including those responsibilities assigned to subconsultants, subcontractors, vendors, manufacturers, or their representatives. The Contractor shall ensure that all subconsultants, subcontracts or purchase orders for systems, inclusive of all of the system components to be commissioned include provisions for compliance with this Document.
- E. The requirements of this Document are additional to the requirements of the General and Supplemental Conditions. If this Document requires additional labor, coordination, or documentation, including submittal data, the Contractor shall comply with this Document and if any requirement of this Document conflicts with other provisions of the Contract requirements, the Contractor shall request formal clarification of the Contract requirements.
- F. Under the direction of the Commissioning Authority, systems and equipment shall be commissioned to achieve the following specific objectives:
 1. Verify and document that systems and equipment are documented in the Design and Construction Documents in accordance with the Owner's Project Requirements.
 2. Verify and document that equipment is designed, installed, started, and operates properly pursuant to the requirements of the Contract and manufacturer's specifications, instructions and recommendations.
 3. Identify deficient equipment, systems and installations as early as possible to facilitate timely corrective action minimizing schedule impact.
 4. Verify and document that the equipment, and systems receive complete operational checkout by installing contractors, vendors and manufacturers.
 5. Verify and document equipment and system performance.
 6. Verify and validate that the Owner's operating personnel are adequately trained on the Operation and Maintenance of building equipment and systems.
 7. Verify Operations and Maintenance Data for systems and equipment is complete and usable, and provided in the format as required by the Owner.
- G. The commissioning process does not reduce the responsibility of the Contractor, its subconsultants, subcontractors, or vendors to perform and complete all Work in accordance with the requirements of the Contract.

1.4 DEFINITIONS

- A. Basis-of-Design Document (BOD): A document prepared by Architect that records concepts, calculations, decisions, and product selections used to comply with Owner's Project Requirements and to suit applicable regulatory requirements, standards, and guidelines.

- B. Certificate of Readiness (CoR): A document prepared by the Commissioning Authority that shall be completed and signed by the Contractor. The Certificate of Readiness certifies that the Contractor has installed, started-up, and pre-tested building systems and assemblies according to the accepted functional performance tests and the systems are ready for the Commissioning Authority to witness the execution of test demonstrations.
- C. Commissioning Authority (CxA): An entity engaged by Owner to evaluate Commissioning-Process Work.
- D. Commissioning Plan: A document, prepared by Commissioning Authority, that outlines the organization, schedule, allocation of resources, and documentation of commissioning requirements.
- E. Commissioning (Cx): A quality-focused process for verifying and documenting that the facility and all of its systems and assemblies are planned, designed, installed, and tested to comply with Owner's Project Requirements. The requirements specified here are limited to the construction phase commissioning activities.
- F. Construction-Phase Commissioning Acceptance: The stage of completion and acceptance of commissioning process when resolution of deficient conditions and issues discovered during commissioning process and retesting until acceptable results are obtained has been accomplished. Owner will establish in writing the date construction-phase commissioning is achieved based on the CxA notifying the Owner of resolution or deferment of all issues identified on the Master Issues Log.
 - 1. Commissioning process is complete when the Work specified of this Section and related Sections has been completed and accepted, including, but not limited to, the following:
 - a. Completion of functional tests and acceptance of functional test results.
 - b. Resolution of issues, as verified by retests performed and documented with acceptance of retest results.
 - c. Completion and acceptance of submittals and reports.
- G. Functional Performance Test (FPT): A process performed on individual components of a system to determine if that component independently performs the functions intended and produces the capacity specified.
- H. Owner's Project Requirements (OPR): A document that details the functional requirements of a project and the expectations of how it will be used and operated, including Project goals, measurable performance criteria, cost considerations, benchmarks, success criteria, and supporting information. This document is prepared either by the Owner or for the Owner by the Architect or Commissioning Authority.
- I. Owner's Witness: Commissioning Authority, Owner's Project Manager, or Architect-designated witness authorized to authenticate test demonstration data and to sign completed test data forms.
- J. Pre-Functional Checklist (PFC): A unique checklist for each piece of equipment to be commissioned, describing the installation procedures that must be verified by the installing contractor prior to functional performance testing.

- K. Start-up Report: A report documenting the initial starting or activating of equipment, including executing pre-functional checklists.
- L. Pre-Test Functional Performance Test: This Pre-Test Functional Performance Test will allow the responsible contractor to run through the Functional Performance Test and correct any deficiencies prior to the official testing.
- M. "Systems," "Assemblies," "Subsystems," "Equipment," and "Components": Where these terms are used together or separately, they shall mean "as-built" systems, assemblies, subsystems, equipment, and components.
- N. Systems Manual: A document prepared by the Commissioning Authority which contains pertinent information related to building systems and assemblies. The purpose of the Systems Manual is to aggregate the information necessary to effectively operate, maintain, and recommission a building's energy systems.
- O. Acceptance Criteria: Specific list of measurable parameters or conditions, typically in the form of numerical limits, ranges, or other metrics for accepting the results of a test.
- P. Sampling Rate: The number of samples of systems, equipment, subsystems, or components selected out of a population for testing.

1.5 ACCEPTANCE CRITERIA

- A. The acceptance of a system, equipment, subsystem, or component by the CxA is dependent on the following:
 - 1. Installation
 - a. The installation of a system, equipment, subsystem, and components shall be in accordance with contract documents and manufacturer's recommendations.
 - b. The installation shall be complete with all necessary appurtenances required by the contract documents and manufacturer's recommendations.
 - 2. Pre-functional Checklist
 - a. Pre-functional checklists shall be completed by the installing Contractor and submitted to the CxA.
 - 3. Manufacturer Start-up
 - a. The start-up of a system, equipment, or subsystem shall be in accordance with contract document and manufacturer's recommendations.
 - 1) All necessary start-up tasks and processes, required by the manufacturer, shall be completed and documented for review.
 - 2) Any issues or deficiencies noted during start-up shall be corrected and documented in the start-up documentation.
 - b. Start-up documentation shall be completed by the installing Contractor, manufacturer, or manufacturer's representative.
 - c. Completed start-up documentation shall be submitted to the CxA by the installing Contractor.
 - 4. Pre-testing Certificate of Readiness
 - a. Certificates of Readiness shall be completed, signed, and dated by the Contractor indicating that all work is complete including, but not limited to: installation, pre-

functional checkout, start-up, device calibration, control programming, Testing and Balancing, and pre-testing are complete.

5. Functional Performance Tests
 - a. The operation of systems, equipment, subsystems, and components shall meet the designed performance criteria in the contract documents and manufacturer's documentation.
 - b. The control of systems, equipment, subsystems, and components shall meet the control performance parameters, ranges, and tolerances specified in the contract documents and manufacture's documentation.
 - c. The control of systems, equipment, subsystems, and components shall be tuned to optimally control processes to achieve specified parameters with minimal
6. Issues Resolution
 - a. System, equipment, subsystem, and component issues which directly affect the performance, operation, or comfort of occupants shall be resolved prior to approval of acceptance

1.6 COMPENSATION

- A. If Commissioning Authority, Owner's Representative, or Owner's staff perform additional services or incur additional expenses due to actions of Contractor listed below, compensate Owner for such additional services and expenses.
 1. Failure to meet timely notice of commissioning activities schedule changes.
 2. Failure to meet acceptance criteria for test demonstrations.
 3. Retesting of systems.
- B. Contractor shall compensate Owner for such additional services and expenses at the rate of \$150 per labor hour plus \$650 per round trip for personal travelling more than 200 miles, plus per diem allowances for meals and lodging according to current U.S. General Services Administration (GSA) Per Diem Rate.

1.7 COMMISSIONING TEAM

- A. Members Appointed by Contractor(s):
 1. Commissioning Coordinator: A person or entity employed by Contractor to manage, schedule, and coordinate the commissioning process.
 2. Project superintendent and other employees that Contractor may deem appropriate for a particular portion of the commissioning process.
 3. Subcontractors, installers, suppliers, and specialists that Contractor may deem appropriate for a particular portion of the commissioning process.
 4. Appointed team members shall have the authority to act on behalf of the entity they represent.
- B. Members Appointed by Owner:
 1. Commissioning Authority, plus consultants that Commissioning Authority may deem appropriate for a particular portion of the commissioning process.

2. Owner representative(s), facility operations and maintenance personnel, plus other employees, separate contractors, and consultants that Owner may deem appropriate for a particular portion of the commissioning process.
3. Architect, plus employees and consultants that Architect may deem appropriate for a particular portion of the commissioning process.

1.8 INFORMATIONAL SUBMITTALS

- A. Comply with requirements in Section 01 33 00 "Submittal Procedures" for submittal procedure general requirements for commissioning process.
- B. Commissioning Plan Information:
 1. List of Contractor-appointed commissioning team members to include specific personnel and subcontractors performing the various commissioning requirements.
 2. Schedule of commissioning activities, integrated with the Construction Schedule. Contractor personnel and subcontractors participating in each test.
- C. Commissioning Coordinator Qualification Data: For entity coordinating Contractor's commissioning activities to demonstrate their capabilities and experience.
 1. Experienced: When used with an entity or individual, "experienced" means having successfully completed a minimum of three previous projects similar in nature, size, and extent to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction.
- D. Submittals of Systems to be Commissioned
- E. Pre-Functional Checklists
- F. Startup Checklists, as required per warrantee.
- G. Point-to-Point Checklists
- H. Functional Checklists
- I. TAB Report, air and hydronic

1.9 CLOSEOUT SUBMITTALS

- A. Commissioning Report:
 1. At Construction-Phase Commissioning Completion, Contractor shall provide the following for input into the Commissioning Report prepared by the CxA, including relevant required submissions provided in BIM or Revit model:
 - a. Startup reports, completed.
 - b. Pre-functional checklists, completed.
 - c. Functional performance tests forms, completed.

- d. Correspondence or other documents related to resolution of issues. List unresolved issues and reasons they remain unresolved and should be exempted from the requirements for Construction-Phase Commissioning Completion.
- e. Training Documentation
- f. As-built drawings.
- g. Operations and Maintenance manuals.
- h. Equipment warranties.

PART 2 - PRODUCTS

2.1 TEST EQUIPMENT, INSTRUMENTATION, AND TOOLS

- A. Test equipment and instrumentation required to perform the commissioning process shall remain the property of Contractor unless otherwise indicated.
- B. Test equipment and instrumentation required to perform commissioning process shall comply with the following criteria:
 1. Be manufactured for the purpose of testing and measuring tests for which they are being used and have an accuracy to test and measure system performance within the tolerances required to determine acceptable performance.
 2. Calibrated and certified.
 - a. Calibration performed and documented by a qualified calibration agency according to national standards applicable to the tools and instrumentation being calibrated. Calibration shall be current according to national standards or within test equipment and instrumentation manufacturer's recommended intervals, whichever is more frequent, but not less than within six months of initial use on Project. Calibration tags shall be permanently affixed.
 - b. Repair and recalibrate test equipment and instrumentation if dismantled, dropped, or damaged since last calibrated.
 3. Maintain test equipment and instrumentation.
 4. Use test equipment and instrumentation only for testing or monitoring Work for which they are designed.

2.2 PROPRIETARY TEST EQUIPMENT, INSTRUMENTATION, AND TOOLS

- A. Proprietary test equipment, instrumentation, and tools are those manufactured or prescribed by tested equipment manufacturer and required for work on its equipment as a condition of equipment warranty, or as otherwise required to service, repair, adjust, calibrate, or perform work on its equipment.
 1. Identify proprietary test equipment, instrumentation, and tools required in the test equipment identification list submittal.
 2. Proprietary test equipment, instrumentation, and tools shall become the property of Owner at Substantial Completion.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Review preliminary pre-functional checklists and preliminary functional performance test procedures.

3.2 PRE-FUNCTIONAL CHECKLISTS

- A. The Contractor will review and provide written comments on draft Pre-Functional Checklists. CxA will create required draft Pre-Functional Checklists and provide them to Contractor.
- B. The Contractor will return draft pre-functional checklist review comments within 10 business days of receipt.
- C. When review comments have been resolved, the CxA will provide final Pre-Functional Checklists.
- D. Mechanical, Electrical, and Controls contractors will fill out their respective sections of the pre-functional checklists and note any outstanding deficiencies.
- E. Pre-Functional Checklists:
 - a. Location according to Drawings and approved Shop Drawings.
 - b. Configuration.
 - c. Compliance with manufacturers' written installation instructions.
 - d. Attachment to structure.
 - e. Access clearance to allow for maintenance, service, repair, removal, and replacement without the need to disassemble or remove other equipment or building elements. Access coordinated with other building elements and equipment, including, but not limited to, ceiling and wall access panels, in a manner consistent with OSHA fall-protection regulations and safe work practices.
 - f. Utility connections are of the correct characteristics, as applicable.
 - g. Correct labeling and identification.
 - h. Startup Checks: Verify readiness of equipment to be energized. Include manufacturer's standard startup procedures and forms.

3.3 START-UP REPORT

- A. Contractor shall furnish manufacturers start-up reports, for all commissioned equipment, for review by the CxA prior to scheduling start-up activities.
- B. Perform and document initial operation of equipment to prove that it is installed properly and operates as intended according to manufacturer's standard startup procedures, at minimum.

3.4 CERTIFICATE OF READINESS

- A. Contractor shall complete and sign the Certificate of Readiness for building equipment, systems, and assemblies prior to requesting CxA to witness execution of functional performance tests. Certificate of Readiness shall be completed only after required prerequisite tasks have been successfully completed. Such tasks include installation, pre-functional checklists, manufacturer's start-up, test and balance, and controls point-to-point verification and pre-functional test trials run internally by the Contractor.

3.5 FUNCTIONAL PERFORMANCE TESTS

- A. Component Performance Tests: Tests evaluate the performance of an input or output of components under a full range of operating conditions.
- B. Equipment and Assembly Performance Tests: Test and evaluate performance of equipment and assemblies under a full range of operating conditions and loads.
- C. System Performance Tests: Test and evaluate performance of systems under a full range of operating conditions and loads.
- D. Deferred Functional Testing / Seasonal Testing: Obtain Owner approval of proposed deferral of construction checklists, including proposed schedule of completion of each deferred construction checklist, before submitting request for Notification of Construction-Phase Commissioning Acceptance. When approved, deferred construction checklists may be completed after date of Construction-Phase Commissioning Completion. Include the following in a request for Notification of Construction-Phase Commissioning Acceptance:
 - 1. Identify deferred construction checklists by number and title.
 - 2. Provide a target schedule for completion of deferred construction checklists.
 - 3. Written approval of proposed deferred construction checklists, including approved schedule of completion of each deferred construction checklist.

3.6 COMMISSIONING COORDINATOR RESPONSIBILITIES

- A. Management and Coordination: Manage, schedule, and coordinate commissioning process, including, but not limited to, the following:
 - 1. Coordinate with subcontractors on their commissioning responsibilities and activities.
 - 2. Obtain, assemble, and submit commissioning documentation.
 - 3. Conduct periodic on-site commissioning meetings.
 - 4. Develop and maintain the commissioning schedule. Integrate commissioning schedule into the Construction Schedule. Update Construction Schedule at specified intervals.
 - 5. Review and comment on preliminary test procedures and data forms.
 - 6. Report inconsistencies and issues in system operations.
 - 7. Verify that start-up tests and TAB have been completed and results comply with acceptance criteria, and that equipment and systems are ready before scheduling test demonstrations.
 - 8. Direct and coordinate test demonstrations.
 - 9. Coordinate witnessing of test demonstrations by Owner's witness.

10. Coordinate and manage training. Be present during training sessions to direct video recording, present training, and direct the training presentations of others. Comply with requirements in Section 017900 "Demonstration and Training."
11. Prepare and submit specified commissioning reports.
12. Track commissioning issues until resolution and retesting is successfully completed.
13. Retain original records of Commissioning-Process Work, organized as required for the commissioning report. Provide Owner's representative access to these records on request.

3.7 PRE-FUNCTIONAL CHECKLISTS

- A. Quality Control: Pre-functional checklists are quality-control tools designed to improve the functional quality of Project.
- B. Pre-functional checklists:
 1. Complete pre-functional checklists as Work is completed.
 2. Distribute pre-functional checklists to installing contractors before they start work.
 3. Installers:
 - a. Verify installation using approved pre-functional checklists as Work proceeds.
 - b. Complete and sign pre-functional checklists weekly for work performed during the preceding week.
 4. Provide Commissioning Authority access to completed pre-functional checklists.
- C. Pre-functional Checklists: Completed Pre-functional Checklists include observations of the conditions of installation and verification of completed Pre-Functional Checklists, organized into the following sections:
 1. Equipment Model Verification: Completed by Mechanical Contractor. Compare contract requirements, approved submittals, and provided equipment. Note inconsistencies.
 2. Pre-installation Physical Condition Checks: Completed by Mechanical Contractor. Observe physical condition of equipment prior to installation. Note conditions including, but not limited to, physical damage, corrosion, water damage, or other contamination or dirt.
 3. Mechanical Component Verification: Completed by Mechanical Contractor. Verify condition of mechanical components, installation of equipment per contract documents, external components required for proper operation of equipment. Note missing, improperly configured, improperly installed, or nonfunctional components.
 4. Electrical Component Verification: Completed by Electrical Contractor. Verify condition of electrical components and connections, installation of equipment per contract documents, and external components required for proper operation of equipment. Note missing, improperly configured, improperly installed, or nonfunctional components.
 5. Controls Component Verification: Completed by Electrical Contractor. Verify condition of electrical components and connections, installation of equipment per contract documents, calibration of sensors, and external components required for proper operation of equipment. Note missing, improperly configured, improperly installed, or nonfunctional components.

- D. Pre-Functional Issues: Record as an installation compliance issue Work found to be incomplete, inaccessible, at variance with the Contract Documents, nonfunctional, or that does not comply with pre-functional checklists. Record installation compliance issues on the pre-functional checklist at the time they are identified. Record corrective action and how future Work should be modified before signing off the pre-functional checklist.
- E. Startup Audit: Prior to executing startup procedures, review completed pre-functional checklists to determine readiness for startup and operation. Report conditions, which, if left uncorrected, adversely impact the ability of systems or equipment to operate satisfactorily or to comply with acceptance criteria. Prepare Startup report for each system.

3.8 FUNCTIONAL PERFORMANCE TESTING

- A. Quality Control: Functional performance tests are quality-control tools designed to improve the functional quality of Project.
- B. Owner's witness will be present to witness commissioning work requiring the signature of an owner's witness, including, but not limited to, functional performance test demonstrations. Owner's project manager will coordinate attendance by Owner's witness with Contractor's published Commissioning Schedule. Owner's witness will provide no labor or materials in the commissioning work. The only function of Owner's witness will be to observe and comment on the progress and results of commissioning process.
- C. Functional Performance Tests:
 - 1. Test procedures shall define the step-by-step procedures to be used to execute tests and test demonstrations.
 - 2. Test procedures shall be specific to the make, model, and application of the equipment and systems being tested.
 - 3. Completed test data forms are the official records of the test results.
 - 4. Commissioning Authority will provide to Contractor preliminary test procedures and test data forms for functional performance tests and commissioning tests after approval of Product Data, Shop Drawings, and preliminary operation and maintenance manual.
 - 5. Review preliminary functional performance test procedures, and provide comments within 14 days of receipt from Commissioning Authority. Review shall address the following:
 - a. Equipment protection and warranty issues, including, but not limited to, manufacturers' installation and startup recommendations, and operation and maintenance instructions.
 - b. Applicability of the procedure to the specific software, equipment, and systems approved for installation.
 - 6. After Contractor has reviewed and commented on the preliminary functional performance tests, Commissioning Authority will revise and reissue the approved revised functional performance test forms.
 - 7. Use only approved test procedures and test data forms.
 - 8. Include "As-tested" system configuration. Complete record of conditions under which the test was performed, including, but not limited to, the status of equipment, systems, and assemblies; temporary adjustments and settings; and ambient conditions.

D. Performance of Functional Tests:

1. The sampling rate for tests is system dependent. The sampling rate is as defined in the Commissioning Plan.
2. Notify Owner's witness at least three days in advance of each test.
3. Perform and complete each step of the approved test procedures in the order listed.
4. False load test requirements are specified in related sections.
 - a. Where false load testing is specified, provide temporary equipment, power, controls, wiring, piping, valves, and other necessary equipment and connections required to apply the specified load to the system. False load system shall be capable of steady-state operation and modulation at the level of load specified. Equipment and systems permanently installed in this work shall not be used to create the false load without Architect's written approval.
5. Record data observed during performance of tests on approved data forms at the time of test performance and when the results are observed.
6. Record test results that are not within the range of acceptable results on commissioning issue report forms in addition to recording the results on approved test procedures and data forms according to the " Master Issue Logs " Paragraph in this Article.
7. On completion of a test, sign the completed functional performance test procedure. Tests for which forms are incomplete or which indicate performance that does not comply with acceptance criteria will be rejected. Tests for which test procedures and data forms are rejected shall be repeated and results resubmitted.

E. Functional Performance Test Results: If a functional performance test demonstration fails to meet the acceptance criteria, perform the following:

1. Document issue in the master issue log promptly on discovery of test results that do not comply with acceptance criteria.
 - a. If an entire class of devices is determined to exhibit the identical issue, they may be reported on a single issue. For example, if all return-air damper actuators that are specified to fail to the open position are found to fail to the closed position, they may be reported on a single commissioning issue report. If a single commissioning issue report is used for multiple commissioning compliance issues, each device shall be identified in the report, and the total number of devices at issue shall be identified.
2. Submit master issue log within 24 hours of the test.
3. Establish responsibility for corrective action if the failure is due to conditions found to be Contractor's responsibility.
4. Record the issue number and describe the deficient condition on the form.
5. Resolve issues promptly.
6. Diagnose and correct failed functional performance test as follows:
 - a. Record the fundamental cause of the issue.
 - b. Determine and record corrective measures.
7. Retest:

- a. Schedule and repeat the complete test procedure for each functional performance test for which acceptable results are not achieved. Repeat functional performance test until acceptable results are achieved. Except for issues that are determined to result from design errors or omissions, or other conditions beyond Contractor's responsibility, compensate Owner for direct costs incurred as the result of repeated test demonstrations to achieve acceptable results.
 - b. For each repeated functional performance test, submit a new functional performance test, marked "Retest."
8. Do not correct issues during functional performance tests.
- a. Exceptions will be allowed if the cause of the issue is obvious and resolution can be completed in less than five minutes. If corrections are made under this exception, note the deficient conditions on the test data form. A new test data form, marked "Retest," shall be initiated after the resolution has been completed.
- F. Deferred Functional Tests / Seasonal Tests:
1. Deferred / Seasonal Test List: Identify, in the Construction-Phase, proposed deferred tests or other tests approved for deferral until specified seasonal or other conditions are available. Deferred tests may be completed after the date of Construction-Phase Commissioning Completion. Identify proposed deferred tests as follows:
 - a. Identify deferred tests by number and title.
 - b. Provide a target schedule for completion of deferred tests.
 2. Schedule and coordinate deferred tests. Schedule deferred tests when specified conditions are available. Notify Architect and Commissioning Authority at least three working days (minimum) in advance of tests.
 3. Where deferred tests are specified, coordinate participation of necessary personnel and of Commissioning Authority, and Owner's witness. Schedule deferred tests to minimize occupant and facility impact.
- G. Data trend logs shall be initiated and running prior to the time scheduled for the test demonstration.
1. Trend log data format shall be multiple data series graphs. Where multiple data series are trend logged concurrently, present the data on a common horizontal time axis. Individual data series may be presented on a segmented vertical axis to avoid interference of one data series with another, and to accommodate different axis scale values. Graphs shall be sufficiently clear to interpret data within the accuracy required by the acceptance criteria.
 2. Attach to the data form printed trend log data collected during the test or test demonstration.
- H. Master Issue Logs: CxA to maintain and report as issues results of tests and test demonstrations that do not comply with acceptance criteria.
1. Functional Performance Test, Pre-functional Checklist, or Site Observation results that are not within the range of acceptable results are issues.
 2. Track and report issues until resolution and retesting are successfully completed.
 3. Each Issue shall identify:

- a. Assign unique, sequential numbers to individual issues when they are created, to be used for tracking.
 - b. Action distribution list
 - c. Report Date.
 - d. Equipment identification and location.
 - e. Briefly describe observations about the performance associated with failure to achieve acceptable results. Identify the cause of failure if apparent.
 - f. Fundamental cause of unacceptable performance as determined by diagnostic tests and activities.
4. When issues have been resolved, update and resubmit the commissioning issue log. Identify resolution taken and the dates and initials of the persons making the entries.
 5. If a test demonstration fails, direct timely resolution of issue and then repeat the demonstration. If a test demonstration must be repeated due to failure caused by Contractor work or materials, reimburse Owner for billed costs for the participation in the repeated demonstration.

3.9 COMMISSIONING MEETINGS

- A. CxA will schedule commissioning meetings in collaboration with Commissioning Coordinator and trade partners.

3.10 SCHEDULING

- A. Commence commissioning process as early in the construction period as possible.
- B. Schedule and coordinate commissioning process with the Construction Schedule.
- C. Perform activities identified in construction checklists, including functional performance tests, and document results of actions as construction proceeds.
- D. Report pre-functional checklists results, functional testing data, and commissioning issue resolutions.
- E. Schedule personnel to participate in and perform Commissioning-Process Work.
- F. Sequencing of Commissioning Verification Activities: For a particular material, item of equipment, assembly, or system, perform the following in the order listed unless otherwise indicated:
 1. Construction checklists:
 - a. Material checks.
 - b. Pre-functional checklists.
 - c. Startup reports, as appropriate. Some startup may depend on component performance. Such startup may follow component functional performance tests on which the startup depends.
 - d. Test and Balance

2. Functional performance tests.
 - a. Component functional performance tests. Some component functional performance tests may depend on completion of startup. Such component functional performance tests may follow startup.
 - b. Equipment and assembly performance tests.
 - c. System functional performance tests.
- G. Before performing functional performance tests, verify that materials, equipment, assemblies, and systems are delivered, installed, started, and adjusted to perform according to construction checklists.
- H. Verify readiness of materials, equipment, assemblies, and systems by reviewing the pre-functional checklists and Test, Adjusting, and Balancing report prior to conducting functional performance tests. Notify Owner if acceptable results cannot be achieved due to conditions beyond Contractor's control or responsibility.
- I. Commence tests as soon as installation checks for materials, equipment, assemblies, and systems are satisfactorily completed. Tests of a particular system may proceed prior to completion of other systems, provided the incomplete work does not interfere with successful execution of test.
- J. Installing contractors' commissioning responsibilities include, but are not limited to, the following:
 1. Completing pre-functional checklists
 2. Operating the equipment and systems they install during functional performance tests.
 3. In addition, installing contractors may be required to assist in functional performance tests of equipment and systems with which their work interfaces.
 4. Resolving construction issues and reporting resolution of issues to the Commissioning Coordinator.
- K. Commissioning Schedule: Integrate commissioning activities into Construction Schedule. See Section 01 32 00 "Construction Progress Documentation."
 1. Include detailed commissioning activities in monthly updated Construction Schedule and short-interval schedule submittals.
 2. Schedule the start date and duration for the following commissioning activities:
 - a. Submittals.
 - b. Preliminary operation and maintenance manual submittals.
 - c. Pre-functional checklists completion.
 - d. Startup, where required.
 - e. Testing, Adjusting and Balancing.
 - f. Functional Performance Tests.
 3. Schedule shall include a line item for each installation check, startup, and test activity specific to the equipment or systems involved.
 4. Determine milestones and prerequisites for commissioning process. Show commissioning milestones, prerequisites, and dependencies in monthly updated critical-path-method construction schedule and short-interval schedule submittals.

L. Owner's Witness Coordination:

1. Coordinate Owner's witness participation.
2. Notify Owner of commissioning schedule changes at least 2 work days in advance for activities requiring the participation of Owner's witness.

3.11 CONSTRUCTION PHASE COMMISSIONING ACCEPTANCE

- A. When Contractor considers that construction-phase commissioning process, or a portion thereof which Owner agrees to accept separately, is complete, Contractor shall prepare and submit to Owner and Commissioning Authority a comprehensive list of items to be completed or corrected. Failure to include an item on such list does not alter Contractor's responsibility to compete commissioning process.
- B. On receipt of Contractor's list, Commissioning Authority will make an inspection to determine whether the construction-phase commissioning process or designated portion thereof is complete. If Commissioning Authority's inspection discloses items, whether included on Contractor's list, which is not sufficiently complete, Contractor shall complete or correct such items on notification by Commissioning Authority. In such case, Contractor shall then submit a request for another inspection by Commissioning Authority to determine construction-phase Commissioning Acceptance. Contractor shall promptly correct deficient conditions and issues discovered during commissioning process.
- C. When construction-phase commissioning process or designated portion is complete, Commissioning Authority will officially notify Owner of acceptance of the systems and shall establish the date of completion of construction-phase commissioning.

3.12 CONSTRUCTION PHASE COMMISSIONING COMPLETION

- A. Commissioning Report: The Commissioning Report is a document developed by the CxA which records and summarizes the results of the commissioning process. This document will be delivered as an electronic document in pdf format and included in the project Systems Manual.

END OF SECTION 01 91 13

SECTION 26 05 00 – COMMON WORK RESULTS FOR ELECTRICAL

PART 1 - GENERAL

1.01 DEFINITIONS

- A. Refer to Article 100 of the currently adopted National Electrical Code for definitions as applicable to this project.
- B. Other definitions:
 - 1. "Concealed": Embedded in masonry, concrete or other construction, installed in furred spaces, within double partitions or hung ceilings, in trenches, in crawl spaces, or in enclosures.
 - 2. "Exposed": Not installed underground or "concealed" as defined above.
 - 3. "Furnish" or "Provide": To supply, install and connect up complete and ready for safe and regular operation of particular work unless specifically otherwise noted.
 - 4. "Install": To erect, mount and connect complete with related accessories.
 - 5. "Indicated", "Shown" or "Noted": As indicated, shown or noted on drawings or specifications.
 - 6. "Related Work" includes, but is not necessarily limited to, mentioned work associated with, or affected by, the work specified.
 - 7. "Reviewed", "Satisfactory", "Accepted", or "Directed": As reviewed, satisfactory, accepted, or directed by or to Engineer.
 - 8. "Similar": Equal in materials, weight, size, design, construction, capacity, performance, and efficiency of specified product.
 - 9. "Supply": To purchase, procure, acquire and deliver complete with related accessories.
 - 10. "Wiring": Raceway, fittings, wire, boxes and related items.

1.02 SUBMITTALS

- A. Submittals shall be made in accordance with General Conditions of Contract and the requirements of Section 01 33 00.
- B. Shop drawings shall include equipment catalog cuts or manufacturer's printed data identifying: dimensions, weights, recess openings, equipment arrangements, electrical characteristics with bus size, electrical rating, material, wiring diagrams indicating circuit arrangement and NEMA rating for, but not limited to the following:
 - 1. Network Lighting Controls
 - 2. Contactors
 - 3. Wiring Devices
 - 4. Interior and Exterior Lighting
 - 5. Hangers and Supports for Electrical
 - 6. Grounding and Bonding
 - 7. Multi-Outlet Assemblies
 - 8. Electrical Systems
- C. Submit composite coordination drawings to include location and routing of the electrical system components in relation to the mechanical ducts, piping and structural beams.

1.03 QUALITY ASSURANCE

- A. Installer Qualifications: All electrical work at the University shall be performed by a State of Colorado licensed contractor under the supervision of a licensed electrician. Contractors shall verify that electricians are currently licensed by the State of Colorado and shall supply Project Manager with names and license numbers. Contractor shall have a minimum of 3 years of satisfactory performance in conducting the type of work specified.

1. ANSI/NFPA 70 - National Electrical Code.
 2. ANSI/IEEE C2 - National Electrical Safety Code.
 3. NECA - Standard of Installation.
 4. NFPA – National Fire Protection Association.
 5. IEEE – The Institute of Electrical and Electronics Engineers.
 6. NEMA – National Electrical Manufacturer Association.
 7. The University/Anschutz Medical Campus Project Guidelines and Standards.
 8. International Building Code in accordance with the Campus Building Official.
 9. ASTM - American Society of Testing Materials
 10. IPCEA - Insulated Power Cable Engineers Associate
 11. Underwriter's Laboratories (UL)
 12. American National Standards Institute (ANSI)
 13. Other requirements as listed elsewhere in these specifications.
- B. The drawings and specifications take precedence when they are more stringent than codes, statutes, or ordinances in effect. Applicable codes, ordinances, standards and statutes take precedence when they are more stringent than, or conflict with the drawings and specifications.
- C. Record Documents:
Maintain a separate set of contract electrical drawings at the site in accordance with Section 01 74 00 to show the following:
1. Major raceway systems, size and location, for both exterior and interior; locations of control devices; distribution and branch electrical circuitry; and fuse and circuit breaker size and arrangements.
 2. All branch circuits, feeders, communications conduits embedded in concrete, dimensioned from prominent building lines.
 3. Equipment locations (exposed and concealed) dimensioned from prominent building lines.
 4. Approved substitutions, Contract Modifications, and actual equipment and materials installed.
- D. Operations and Maintenance
Data:
1. O and M Data shall be provided in accordance with Section 01 78 23 including the following information:
 - a. Description of function, normal operating characteristics and limitations, fuse curves, engineering data and tests, and complete nomenclature and commercial numbers of all replaceable parts.
 - b. Manufacturer's printed operating procedures to include start-up, break-in, routine and normal operating instructions; regulation, control, stopping, shutdown, and emergency instructions; and summer and winter operating instructions.
 - c. Maintenance procedures for routine preventative maintenance and troubleshooting; disassembly, repair, and reassembly; aligning and adjusting instructions.
 - d. Servicing instructions and lubrication charts and schedules.
 - e. Complete list of parts and wiring diagrams.
 - f. Names, addresses and telephone numbers of the Contractor, Sub-contractors and local company responsible for maintenance of each system or piece of equipment.
 - g. All information shall be permanently bound in a 3-ring binder. The job name and address, and Contractor's name and address shall be placed on the cover and spine of each binder in a permanent manner. Dymo-tape is not acceptable.
 - h. Copies of all test reports shall be included in the manuals.

1.04 DELIVERY, STORAGE AND HANDLING

- A. Deliver, store and handle products in accordance with manufacturer's instructions, and the requirements of Section 01 10 00.

1.05 WARRANTY

- A. All electrical equipment, materials and workmanship warranties shall be provided in accordance with the requirements of Section 01 78 36 and the following:
 - 1. The Contractor warrants the electrical system, material and workmanship, for a period of one year from the date of the University final acceptance of the installation unless as otherwise noted in Commissioning.

PART 2 - PRODUCTS

2.01 MATERIALS, GENERAL

- A. All equipment and materials installed shall be new, unless otherwise specified. Defective or damaged materials shall be replaced or repaired, prior to final acceptance, in a manner acceptable to the Engineer or The University and at no additional cost to the University.
- B. All electrical materials shall be acceptable for installation only if labeled or listed UL and, if accepted, by the authority having jurisdiction.
- C. All major equipment components shall have the manufacturer's name, address, model number, and serial number permanently attached in a conspicuous location.
- D. Fire Seals:
 - 1. Material: Fire stopping material shall be asbestos free, 100% intumescent, have code approval under BOCA, ICBO, SSBC, NFPA 101, NFPA 70, and be capable of maintaining an effective barrier against flame and gases in compliance with the following requirements.
 - 2. Flame Spread: 25 or less, ASTM E84
 - 3. Fire Resistance and Hose Stream Tests: Fire stopping materials shall be rated "F" and "T" in accordance with ASTM E 814 or UL 1479. Rating periods shall conform to the following:

(F)	3	(T)	3	Time-rated floor or wall assemblies.
(F)	3	(T)	3	Openings between floor slabs & curtain wall.

PART 3 - EXECUTION

3.01 INSTALLATION, GENERAL

- A. Construct Work in sequence under provisions of Division 1 where applicable.
- B. Electrical Contractor shall coordinate Divisions 26, 27, and 28 work with the installer of Division 21, 22 and 23 and other work to ensure that code required clearances relating to space required for access to electrical equipment is properly maintained.
- C. Install Work using procedures defined in NECA Standard of Installation.

- D. Workmanship shall conform to highest industry standards for each trade involved in installation of the Work.
- E. Upon completion of work, all equipment and materials shall be installed complete, thoroughly checked, correctly adjusted, and left ready for intended use or operation. All work shall be thoroughly cleaned and all residues shall be removed from surfaces.
- F. Exterior surfaces of all material and equipment shall be delivered in a perfect, unblemished condition.
- G. Carefully lay out all work in advance so as to eliminate where possible, cutting, channeling, chasing, or drilling of floors, walls, partitions, ceilings and roofs. Any damage to the building, structure, piping, ducts, equipment or any defaced finish shall be repaired by skilled mechanics of the trades involved at no additional cost to the University.
- H. All openings made in fire-rated walls, floors, or ceilings shall be patched and made tight in a manner to conform to the fire rating for the surface penetrated. Paint to match surface when visible.
- I. All penetrations required through completed concrete construction shall be core drilled at minimum size required. Precautions shall be taken when drilling to prevent damage to structural concrete. The Contractor shall obtain permission from the Architect and Structural engineer before proceeding with drilling.
- J. Sleeve Seals: Provide sleeve seals for penetrations located in foundation walls below grade, or in exterior walls, of one of the following:
 - 1. Caulk between sleeve and raceway with approved Caulk material.
 - 2. Mechanical Sleeve Seals: Modular mechanical type, as manufactured by Thunder line Corp., consisting of interlocking synthetic rubber links shaped to continuously fill annular space between raceway and sleeve, connected with bolts and pressure plates which cause rubber sealing elements to expand when tightened, providing watertight seal.
- K. Install equipment and materials to provide required Code clearances and access for servicing and maintenance. Coordinate the final location with piping, ducts, and equipment of other trades to insure proper access for all trades. Coordinate locations of concealed equipment, disconnects, and boxes with access panels and doors. Allow ample space for removal of parts, fuses, lamps, etc., that require replacement or servicing according to the National Electric code and the AHJ.
- L. Extend all conduits so that junction and pull boxes are in accessible locations.
- M. Install access panel or doors where equipment or boxes are concealed behind finished surfaces in areas such as restrooms. These access doors shall be a minimum of twenty by twenty inches or as required to accommodate full pull box or equipment access.
- N. Verify final locations for rough-ins with field measurements and with the requirements of the actual equipment to be connected.
- O. Electrical system layouts indicated on drawings are generally diagrammatic but shall be followed as closely as actual construction and work of other trades will permit. Govern exact routing of raceways and locations of outlets by structure and equipment served. Take all dimensions from engineering drawings.
- P. Consult all other drawings. Verify all scales and report any dimensional discrepancies or other conflicts to Engineer before submitting bid.

- Q. All home runs to panel boards are indicated as starting from outlet nearest panel and continuing in general direction of that panel. Continue such circuits to panel as though routes were completely indicated.
- R. Furnish and install all necessary hardware, hangers, blocking, brackets, bracing, runners, etc. required for equipment specified under this Division.
- S. Remove all unused or abandoned conduit, junction boxes, panels, and other electrical components back to the source.
- T. Provide GFCI type receptacles for all "above counter" receptacles located within 6' of any sink or basin.
- U. Clean all luminaries, lamps and lenses prior to final acceptance. Replace all inoperative lamps.
- V. Provide all power feeds and final connections to motors and other electric equipment furnished under Divisions 21, 22, and 23.
 - 1. Install and wire through all control devices which directly handle full load motor or electric heating equipment current, such as magnetic starters, line voltage thermostats, P.E. switches, etc. which are furnished by Electrical Contractor. Located where shown on the electrical drawings.
 - 2. Provide disconnects for all mechanical equipment as indicated on project drawings.
 - 3. Provide all power and control wiring which directly handles full load current of motors or electric heating equipment.

3.02 TESTING, CLEANING AND CERTIFICATION

- A. Operating and Acceptance Tests: Provide all labor, instruments, and equipment for the performance of tests as specified below and elsewhere in these specifications.
 - 1. Perform a careful inspection of the main switchboard bus structure and cable connections to verify that all connections are mechanically and electrically tight.
 - 2. For a one-day period after the remodeled area has been placed into normal service, record the full load current in each phase or each line at the panel bus and submit to the Engineer.
- B. Test Reports:
 - 1. Test Reports: Submit three (3) copies of test results.
 - 2. The final University inspection of the project will not be made until a satisfactory report is received and approved by the University Project Manager.
 - 3. Results shall include:
 - a. Insulation resistance readings for all motors and motor feeders 5 horsepower or greater.
- C. Clean-Up: Remove all materials, scrap, etc., relative to the electrical installation, and leave the premises and all equipment, lamps, fixtures, etc. in a clean, orderly condition. Any costs to the University for clean-up of the site will be charged against the Contractor.

3.03 COMMISSIONING (DEMONSTRATION)

- A. Acceptance Demonstration: Upon completion of the work, at a time to be designated, the Contractor shall demonstrate for the University the operation of the entire installation, including all systems provided under this contract.
- B. The Contractor shall furnish the services of a qualified representative of the supplier of each item or system who shall instruct specific personnel, as designated by the University, in the operation and maintenance of that item or system.

1. Instruction shall be given when the particular system is complete, and shall be of the number of hours indicated. A representative of the Contractor shall be present for all demonstrations.

END OF SECTION

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SECTION 26 05 19 – LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS AND CABLES

PART 1 - GENERAL

1.01 SUBMITTALS

- A. Product data shall be submitted for in accordance with the requirements of Section 26 05 00 each of the following:
 - 1. Wires
 - 2. Cables
 - 3. Connectors

1.02 QUALITY ASSURANCE

- A. Wire and cable shall be provided and installed in accordance with the requirements of Section 26 05 00.
- B. Installer Qualifications and Certifications: Firms with at least 3 years of successful installation experience with projects utilizing electrical wiring cabling work similar to that required for this project.
- C. Regulatory Requirements: Conform to applicable code relations regarding toxicity of combustion products of insulating materials
- D. Manufacturers: Firms regularly engaged in manufacture of electrical wire and cable products of types, sizes, and ratings required, whose products have been in satisfactory use in similar service for not less than 5 years.

1.03 DELIVERY, STORAGE, AND HANDLING

- A. Wire and cable shall be delivered, stored and handled in accordance with the requirements of Section 26 05 00.
- B. Deliver wire and cable properly packaged in factory-fabricated type containers, or wound on NEMA- specified type wire and cable reels.
- C. Store wire and cable in clean dry space in original containers. Protect products from weather, damaging fumes, construction debris and traffic.
- D. Handle wire and cable carefully to avoid abrading, puncturing and tearing wire and cable insulation and sheathing. Ensure that dielectric resistance integrity of wires/cables is maintained.

1.04 WARRANTY

- A. Wire and cable warranties shall be provided in accordance with the requirements of Section 26 05 00.

PART 2 - PRODUCTS

2.01 MANUFACTURERS

- A. Acceptable Manufacturers: Subject to compliance with requirements, provide products by the following (for each type of wire, cable, and connector):

1. Wire and cable:
 - a. Triangle - PWC
 - b. American Wire and Cable Co.
 - c. Anaconda-Ericsson Inc; Wire and Cable Div.
 - d. Belden Div; Cooper Industries
 - e. General Cable Corporation
 - f. General Electric
 - g. Okonite
2. Connectors:
 - a. O-Z/Gedney Co.
 - b. AMP, Inc.
 - c. Burndy Corporation
 - d. Ideal Industries, Inc.
 - e. 3M Company
 - f. Thomas and Betts Corp.

2.02 MATERIALS, GENERAL

A. Wires and Cables:

1. Provide new wire and cable suitable for the temperature, conditions, and location where installed. All cable shall be new and shall conform to or exceed IPCEA requirements. Building wire shall be insulated with THHN/THWN/THW or XHHW insulation, rated 600 volt.
2. Conductors: Provide solid conductors for power and lighting circuits 12 AWG and smaller. Provide stranded conductors for 10 AWG THHN/THWN and larger. In sizes 250 MCM and larger use type THW or THWN. In sizes #1 AWG and smaller all conductors shall have heat/moisture resistant thermoplastic insulation type THW or THWN (75 degree C), except as follows:
 - a. Where conduit temperature will exceed 100 degree F, use type THHN (90 degree C). Type XHHW (90 degree C) permissible in dry locations.
 - b. In 120-volt incandescent fixtures, type AF (150 degree C).
 - c. In wire ways of fluorescent lighting fixtures types THW-MTW, THHN (90 degree C).
3. Conductor Material: Provide copper for all wires and cables.
4. Metal Clad cable is acceptable.
5. Use colors of wires as specified in paragraph 3.5 of this section.
6. For general applications, other than special use, use THHN insulated wire.
7. Type NM, NMC, NMS cable are not acceptable for any application.
8. Use copper wire only.
9. No wire splices shall be allowed in the conduit or conduit fittings. All splices shall be done in an approved box.
10. Grounding conductors shall be copper type THHN with green integrally-colored insulation, sized to meet NEC.
11. Plenum rated cable when required by Plenum conditions.

B. Connectors:

1. Provide UL type factory-fabricated, solder less metal connectors of sizes, ampacity ratings, materials, types and classes for applications and for services indicated. Use connectors with temperatures equal to or greater than those of the wires upon which used.

C. Wiring to Light Fixtures:

1. Type THHN to fluorescent light fixtures, 12-gauge minimum.
2. Type THHN to incandescent fixtures, 12-gauge minimum.

D. Wire Connectors:

1. For wires size #8 AWG and smaller, insulated pressure type (with live spring) rated 105 degree C, 600 volt, for building wiring and 1000 volt in signs or fixtures. 3M or Ideal.

2. For wires size #6 AWG and larger, T & B or equivalent compression type with 3M #33 or #88 tape insulation.

PART 3 - EXECUTION

3.01 EXAMINATION

- A. Verify that mechanical work likely to damage cable has been completed.

3.02 INSTALLATION, GENERAL

- A. Install electrical cables, wires and connectors in compliance with applicable requirements of NEC, NEMA, UL, and NECA's "Standard of Installation", and in accordance with recognized industry practices.

- B. Coordinate wire/cable installation work, including electrical raceway and equipment connection work, with other work. Pull no wire into any portion of conduit system until all construction work, which might damage the wire, has been completed.

- C. BAS Conductor installation: (see Section 23 09 13)

D. Wires and Cables:

1. On systems greater than 600V thoroughly swab raceway before installing wire. Pull conductors simultaneously where more than one is being installed in same raceway. Use pulling compound or lubricant on all cable installations. compound used shall not deteriorate conductor or insulation.
2. Use pulling means including, fish tape, cable, rope and basket weave wire/cable grips which will not damage cables or raceway. Do not use rope hitches for pulling 1 attachment to wire or cable. Do not exceed manufacturer's tension requirements.
3. Keep conductor splices to minimum. Install all wire continuous from outlet to outlet or terminal to terminal. Splices in cables when required shall be made in hand holes, pull boxes, or junction boxes and shall be in strict accordance with cable manufacturer's recommendations utilizing solder less connectors NEMA/UL approved for the use. Splice only in accessible junction boxes. Use splices and tap connectors which are compatible with conductor material.
4. Install splices and tapes, which possess equivalent or better mechanical strength and insulation ratings than conductors being spliced.
5. Tighten electrical connectors and terminals, including screws and bolts, in accordance with manufacturer's published torque tightening values. Where manufacturer's torque requirements are not indicated, tighten connectors and terminals to comply with tightening torques specified in UL Standard 486 for copper.
6. Support cables above accessible ceilings, do not rest on ceiling tiles. Use spring clips and hanger rods, bridle rings or 'J' hooks, independent from the ceiling suspension system to support cables from structure.
7. Provide adequate length of conductors within electrical enclosures and form the conductors to terminal points with no excess. Bundle multiple conductors, with conductors larger than 10 AWG cables to individual circuits. Make terminations so there is no bare conductor at the terminal.
8. Make up splices in outlet boxes with 8-inch minimum of correctly color-coded tails left in box. Splices in wires size #8 AWG and smaller shall be made with insulated spring type wire connectors, "Scotchlok" or equivalent. Splices in larger wire and cables shall be made with indent connectors NEMA/UL approved for the purpose.
9. Use split bolt connectors for copper wire splices and taps, 6 AWG through 1 AWG. Tape un-insulated conductors and connectors with electrical tape to 150% of the insulation value of

- conductor. Rubber, friction and 3M-33 or 88 or better. Two (2) layers minimum each.
10. Use copper compression connectors for copper wire splices and taps, 1/0 AWG and larger. Tape un-insulated conductors and connectors with electrical tape to 150% of the insulation value of the conductor. Rubber, friction and 3M-33 or 88.
 11. Make splices, taps and terminations to carry full ampacity of conductors without perceptible temperature rise.
 12. Thoroughly tape the ends of spare conductors in boxes and cabinets.
 13. Install exposed cable, parallel and perpendicular to surfaces, or exposed structural member, and follow surface contours, where possible.
 14. Make all ground, neutral and line connections to receptacle and wiring device terminals as recommended by manufacturer. Provide ground jumper from outlet box to individual ground terminal of devices.
 15. Parallel conductors shall be cut to the same length and be the same type of wire.
 16. All splices in control panels, terminal junction boxes, low voltage control circuits and fire alarm conductors shall be on numbered terminal strip.
 17. When routed in a wall, install all thermostat wire, fire alarm, computer cable, low voltage cable, and other communication cable in conduit.
 18. All junction boxes shall be fully accessible.
 19. All wiring shall be routed through an acceptable raceway regardless of voltage application, unless specified otherwise under other sections of these standards.

3.03 TESTING, CLEANING AND CERTIFICATION

- A. Refer to Section 26 05 00 for testing, cleaning, and certification requirements.
- B. Prior to energizing circuitry, check installed wires and cables with megaohm meter to determine insulation resistance levels to ensure requirements are fulfilled. Test shall be made on all feeders regardless of size and on all branch circuits with No. 4 AWG and larger conductors.
- C. Prior to energizing, test wires and cables for electrical continuity and for short-circuits.
- D. Subsequent to wire and cable hook-up, energize circuitry and demonstrate functioning in accordance with requirements. Where necessary, correct malfunctioning units, and then retest to demonstrate compliance.

3.04 COMMISSIONING (DEMONSTRATION)

3.05 SCHEDULES

- A. Color code secondary service, feeder, and branch circuit conductors as follows:

120/208 Volts	Phase	277/480 Volts
Black	A	Brown
Red	B	Orange
Blue	C	Yellow
White	Neutral	Gray
Green	Ground	Green
Switch leg - Pink		
3 & 4 way travelers - Purple		

- B. Conductors shall be solid color for entire length.
- C. EXCEPTION:
 1. Conductors 8 AWG and larger may be black and shall be with color-coded at each termination

and in each box or enclosure. For a distance of 6 inches use half-lapped 3/4 inch plastic tape in the specified color. Do not cover cable identification markings. Adjust tape locations to prevent covering of markings.

END OF SECTION

SECTION 26 05 29 – HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.01 DESIGN REQUIREMENTS

- A. Provide equipment supports rated for the supported loads.

PART 2 - PRODUCTS

2.01 MATERIALS, GENERAL

- A. Conduit Hangers: Galvanized steel with special accessories for purpose and adequate to support load imposed.
- B. Coatings: Supports, support hardware, and fasteners shall be protected with zinc coating or with treatment of equivalent corrosion resistance-using NEMA/UL approved alternative treatment, finish, or inherent material characteristic. Products for use outdoors shall be hot-dip galvanized.
- C. Raceway Supports: Clevis hangers, riser clamps, conduit straps, threaded C-clamps with retainers, ceiling trapeze hangers, and wall brackets.
- D. Fasteners: Types, materials, and construction features as follows:
 - 1. Expansion Anchors: Carbon steel wedge or sleeve type.
 - 2. Toggle Bolts: All steel springhead type.
- E. Conduit Sealing Bushings: Factory-fabricated watertight conduit sealing bushing assemblies suitable for sealing around conduit, or tubing passing through concrete floors and walls. Construct seals with steel sleeve, malleable iron body, neoprene sealing grommets or rings, metal pressure rings, pressure clamps, and cap screws.
- F. Cable Supports for Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug for no armored electrical cables in riser conduits. Provide plugs with number and size of conductor gripping holes as required to suit individual risers. Construct body of malleable-iron casting with hot-dip galvanized finish.
- G. U-Channel Systems: 16-gauge steel channels, with 9/16-inch-diameter holes, at a minimum of 8 inches on center, in top surface. Provide fittings and accessories that mate and match with U-channel and are of the same manufacture.
- H. Supports: Provide supporting devices of types, sizes and materials indicated; and having the following construction features:
 - 1. One-Hole Conduit Straps or Minerallac: For supporting 3/4 inch and smaller conduit, galvanized steel.
 - 2. Two-Hole Conduit Straps or Minerallac or industry approved equal: For supporting 1 inch and larger conduit, galvanized steel; 3/4 inch strap width; and 2-1/8 inch between center of screw holes.
- I. Fabricated Supporting Devices:
 - 1. General: Shop- or field-fabricated supports or manufactured supports assembled from U-channel components.
 - 2. Steel Brackets: Fabricated of angles, channels, and other standard structural shapes. Connect with welds and machine bolts to form rigid supports.

3. Pipe Sleeves: Provide pipe sleeves of one of the following:
 - a. Sheet Metal: Fabricate from galvanized sheet metal; round tube closed with snap lock joint, welded spiral seams, or welded longitudinal joint.
 - b. Fabricate sleeves from the following gauge metal for sleeve diameter noted:
 - 1) 3-inch and Smaller: 20 gauge
 - 2) 4-inch to 6-inch: 16 gauge
 - 3) Over 6-inch: 15 gauge
 - c. Steel Pipe: Fabricate from Schedule 40 galvanized steel pipe.
 - d. EMT, IMC, or Rigid Conduit.
- J. J-Hooks and Bridle Rings
 1. J-hooks and bridle rings may be used to support low voltage wiring systems.
- K. The following are prohibited.
 1. Plastic or fiber anchors.
 2. Drilling or structured steel members.

PART 3 - EXECUTION

3.01 INSTALLATION, GENERAL

- A. Conduit Hangers: Support individual conduit 1-1/2 inch and larger and all multiple conduit runs with hangers. Clamp conduits individually to each support.
- B. Supports and Hangers:
 1. Support and align all raceways, cabinets, boxes, fixtures, etc., in an accepted manner and as herein specified. Support raceways on accepted types of wall brackets, specialty steel clips or hangers, ceiling trapeze hangers or malleable iron straps. Provide lead expansion shields in concrete, machine screws, bolts or welding on metal surfaces, and wood screws on wood construction. Use of powder-driven studs is prohibited without express permission from the University Project Manager.
 - a. Mount all conduits to structure a minimum of 7 inches above any accessible type ceiling, or with spacing as required to permit relocation of recessed fixtures to any location.
 2. Structural and post tensioned concrete members shall not be drilled or pierced without prior approval from the University Project Manager.
 3. Where outlets are installed in steel stud type systems, provide additional cross bracing, bridging and/or straps as required to make outlet completely rigid prior to application of wall facing material.
 4. Design hangers and wall brackets so that maximum deflection will be no greater than 1/8 inch.
 5. Install supporting devices to fasten electrical components securely and permanently in accordance with NEC requirements.
 6. Coordinate with the building structural system and with other electrical installation.
- C. Raceway Supports: Comply with the NEC and the following requirements:
 1. Conform to manufacturer's recommendations for selection and installation of supports.
 2. Strength of each support shall be adequate to carry present and future load multiplied by a safety factor of at least four. Where this determination results in a safety allowance of less than 200 pounds, provide additional strength until there is a minimum of 200 pounds safety allowance in the strength of each support.
 3. Install individual and multiple (trapeze) raceway hangers and riser clamps as necessary to support raceways. Provide U-bolts, clamps, attachments, and other hardware necessary for hanger assembly and for securing hanger rods and conduits.

4. Use of ceiling support wires is unacceptable.
 5. Support parallel runs of horizontal raceways together on trapeze-type hangers. Use 3/8-inch diameter or larger threaded steel rods for support. Threaded rod shall be covered by 1/2 inch conduit from bottom of (trapeze) support to 6-inches above cable tray.
 6. Support individual horizontal raceways by separate pipe hangers.
 7. Space supports for raceways in accordance with NEC.
 8. In all runs, arrange support so the load produced by the weight of the raceway and the enclosed conductors is carried entirely by the conduit supports with no weight load on raceway terminals.
 9. Threaded rod supports to have bottoms cut off at a maximum length equal to rod diameter below bottom double nut. Remove sharp edges.
- D. Miscellaneous Supports: Support miscellaneous electrical components separately and as required to produce the same structural safety factors as specified for raceway supports. Install metal channel racks for mounting cabinets, panel boards, disconnects, control enclosures, pull boxes, junction boxes, transformers, and other devices.
- E. In open overhead spaces, support metal boxes directly from the building structure or by bar hangers. Where bar hangers are used, attach the bar to raceways on opposite sides of the box and support the raceway with an engineer approved type of fastener not more than 24 inches from the box.
- F. Sleeves: Install in walls and all other fire-rated floors and walls for raceways and cable installations as required. Where sleeves through floors are installed, extend above finish floor. For sleeves through fire rated-wall or floor construction, apply UL listed fire stopping sealant in gaps between sleeves and enclosed conduits and cables. See Engineering plans for location and extent of fire rated assemblies.
- G. Fastening: Unless otherwise indicated, fasten electrical items and their supporting hardware securely to the building structure, including but not limited to conduits, raceways, cables, cable trays, bus ways, cabinets, panel boards, transformers, boxes, disconnect switches, and control components in accordance with the following:
1. Fasten by means of wood screws or screw-type nails on wood, toggle bolts on hollow masonry units, concrete inserts or expansion bolts on concrete or solid masonry, and machine screws, welded threaded studs, or spring-tension clamps on steel. Powder-driven studs are not acceptable. Do not weld conduit, pipe straps, or items other than threaded studs to steel structures. In partitions of light steel construction, use sheet metal screws.
 2. Holes cut to depth of more than 1-1/2 inches in reinforced concrete beams or to depth of more than 3/4 inch in concrete shall not cut the main reinforcing bars. Fill holes that are not used.
 3. Ensure that the load applied to any fastener does not exceed 25% of the proof test load. Use vibration- and shock-resistant fasteners for attachments to concrete slabs.
- H. Telecommunications Systems Cable Supports: Use cable tray or telecommunications approved cable supports.

END OF SECTION

SECTION 26 05 33 – RACEWAY AND BOXES FOR ELECTRICAL SYSTEMS

PART 1 - PRODUCTS

1.01 MANUFACTURERS

- A. Acceptable Manufacturers: Subject to compliance with requirements, provide products by the following:
1. Conduit: Allied
 - a. Republic
 - b. Carlon
 2. Fittings and Bodies:
 - a. O/Z Gedney
 - b. Regal was purchased by Bridgeport
 - c. Bridgeport
 - d. Raco
 - e. Appleton
 3. Conduit Seals:
 - a. Chase-Foam CTC PR-855, or approved equal
 4. Wire ways:
 - a. Hinged cover or screw cover complete with all necessary fittings which shall be of one manufacturer.

1.02 MATERIALS, GENERAL

- A. Metal Conduit and Tubing:
1. Galvanized Steel Rigid Conduit (GRC):
 - a. Conduit: Provide rigid steel conduit, hot-dipped galvanized with threaded ends
Fittings: Threaded galvanized steel, bushings shall have nylon-insulated throat.
 2. Electrical Metallic Tubing (EMT):
 - a. Conduit: Galvanized steel tubing, galvanized on the outside and coated on the inside with a hard smooth lacquer finish. Fittings: Steel compression fittings for rain-tight and concrete-tight applications. Steel set-screw for interior connections. Set-screw quick fit type for 2- 1/2 inch and larger may be used. Bushings shall be threaded and have nylon insulated throat or nylon bushing.
 3. Intermediate metal conduit (IMC)
 - a. Conduit: Provide intermediate steel conduit hot-dipped galvanized Fittings: Threaded galvanized steel, bushings shall have nylon-insulated throat.
 4. Rigid Aluminum Conduit:
 - a. Not allowed unless otherwise noted.
 5. Flexible Metal Conduit:
 - a. Conduit: Continuous spiral wound, interlocked, zinc-coated steel, NEMA/UL approved for grounding.
 - b. Fittings: Cadmium plated, malleable iron. Straight connector shall be one-piece body, female end with clamp and deep slotted machine screw for securing conduit, and threaded male end provided with a locknut. Angle connectors shall be two-piece body with removable upper section, female end with clamp and deep slotted machine screw for securing conduit, and threaded male end provided with a locknut. All fittings 1 inch and larger shall be terminated with threaded bushings having nylon insulated throats.
 - c. Maximum length of 6 feet.
 - d. Minimum size of 1/2 inch.
 6. Liquid-Tight Flexible Metal Conduit:
 - a. Conduit: Continuous spiral wound, interlocked zinc-coated steel with polyvinyl chloride (PVC) jacket, NEMA/UL approved for grounding.
 - b. Fittings: Cadmium plated malleable iron. Straight and angle connectors shall be the same as used with flexible metal conduit but shall be provided with a compression type steel ferrule and neoprene gasket sealing rings.

7. Non-metallic Rigid Conduit
 - a. PVC plastic schedule 40

B. Conduit Bodies:

1. General: Types, shapes and sizes, as required to suit individual applications and National Electric Code (NEC) requirements. Provide matching gasket covers secured with corrosion-resistant screws.
2. Metallic Conduit and Tubing: Use metal conduit bodies. Use bodies with threaded hubs for threaded raceways and in hazardous locations.
3. Telephone EL's are not acceptable.

1.03 MATERIALS, GENERAL

- A. Sheet Steel: Flat rolled, code-gage, galvanized steel.

- B. Fasteners for General Use: Corrosion resistant screws and hardware including cadmium and zinc plated items.

- C. Fasteners for damp or wet locations: Stainless steel screws and hardware.

- D. Exterior Finish: Gray baked enamel for items exposed in finished locations except as otherwise indicated.

E. Metal outlet, device, and small wiring boxes:

1. General: Boxes shall be of type, shape, size, and depth to suit each location and application.
2. Steel Boxes: Boxes shall be sheet steel with stamped knockouts, threaded screw holes and accessories suitable for each location including mounting brackets and straps, cable clamps, exterior rings and fixture studs.

F. Outlet Boxes, Pull and Junction Boxes (J-Boxes):

1. General: Boxes shall have screwed or bolted-on covers of material same as box and shall be of size and shape to suit application.
2. Steel Boxes: Sheet steel with welded seams. Where necessary to provide a rigid assembly, construct with internal structural steel bracing.
3. Hot dipped galvanized steel boxes: Sheet steel with welded seams. Where necessary to provide a rigid assembly, construct with internal structural steel bracing. Hot-dip galvanized after fabrication. Cover shall be gasketed.
4. Outlet Boxes: Hot-dipped galvanized of required size, 4 inch square, 2" depth minimum or octagonal and of depth required for flush mounted devices and lighting fixtures. Cast-type with gasketed covers for surface-mounted devices. All outlets for exterior application shall be cast, weatherproof type with gasket and cast cover plate.
5. Junction and Pull Boxes: Use outlet boxes as J-boxes wherever possible. Larger J-boxes pull boxes shall be accessible and shall be fabricated from sheet steel, sized according to code.

- G. Non metallic boxes are not permitted.

PART 3 - EXECUTION

3.01 INSTALLATION, GENERAL

A. Conduit Sizes:

1. The conduit shall be sized in accordance with NEC.
 - a. For power and lighting circuits, the minimum conduit size shall be 3/4"
 - b. Flexible and Liquid-tight Flexible Conduit: 1/2 inch for all runs. Maximum 6-foot length.
 - c. Conduits used for home runs shall contain only the conductors for the circuits indicated on the drawings. Combining unrelated multiple home runs into a single conduit would not be permitted.

- B. Type of Conduit Used
1. Rigid Galvanized conduit or intermediate metallic steel conduit shall be installed in the following areas.
 - a. All outdoor non-conditioned locations concealed and exposed.
 - b. Interior exposed. Below 10 feet to floor. PVC coated 90 degree elbows underground when penetrating floor slabs.
 2. Electrical Metallic Tubing (EMT):
 - a. Interior concealed spaces.
 - b. Interior exposed above 10 feet to floor.
 - c. Not permitted underground, in concrete, and in hazardous or corrosive areas.
 3. Sealtite metal conduit shall be provided for: Makeup of motor, transformer or equipment, and/or raceway connections where isolation of sound and vibration transmission is required. For connections in locations exposed to weather, or in interior locations subject to moisture, watertight flexible conduit shall be used.
 4. Non-metallic Rigid Conduit:
 - a. In concrete and underground.
 - b. Not permitted for interior use.
- C. General: Install electrical raceway in accordance with manufacturer's written installation instructions, applicable requirements of NEC, and as follows:
1. Conceal all conduits unless indicated otherwise, within finished walls, ceilings, and floors. Keep raceways at least 6 inches away from parallel runs of flues and steam or hot water pipes.
 2. Elevation of Raceway: Where possible, install horizontal raceway runs above water and steam piping, keep close to structure.
 3. Complete installation of electrical raceways before starting installation of conductors within raceways.
 4. Provide supports for raceways as required per NEC. Prevent foreign matter from entering raceways by using temporary closure protection.
 5. Make bends and offsets so the inside diameter is not effectively reduced. Unless otherwise indicated, keep the legs of a bend in the same plane and the straight legs of offsets parallel. All bends shall be made in an approved bending machine or factory-made. Hickey bends will not be permitted in conduits larger than 3/4 inch.
 6. Use raceway fittings that are of types compatible with the associated raceway and suitable for the use and location. Install expansion fittings across all structural construction joints and expansion/deflection couplings across all structural expansion joints and in every 200 feet of linear conduit run. A flexible bonding jumper at least three times the nominal width of the joint shall be installed.
 7. Run concealed raceways parallel and perpendicular to building elements at right angles.
 8. Install exposed raceways parallel and perpendicular to nearby surfaces or structural members and follow the surface contours as much as practical. Paint all exposed raceways to match surrounding area.
 9. Run exposed and parallel raceways together. Make bends in parallel runs from the same centerline so that the bends are parallel. Factory elbows may be used only where they can be installed parallel. In other cases, provide field bends for parallel raceways.
 10. Make raceway joints tight. Where joints cannot be made tight, use bonding jumpers to provide electrical continuity of the raceway system. Make raceway terminations tight. Where terminations are subject to vibration, use bonding bushings or wedges to assure electrical continuity. Where subject to vibration or dampness, use insulating bushings to protect conductors. Joints in non-metallic conduits shall be made with solvent cement in strict accordance with manufacturer's recommendations.

11. Terminations: Where raceways are terminated with locknuts and bushings, align the raceway to enter squarely and install the locknuts with dished part against the box. RGC shall be secured with double locknuts and an insulated metallic bushing. EMT shall be secured with one locknut and shall have nylon-insulated throats or threaded nylon bushings from 1/2 inch to 1 inch. 1-1/4 inch and above shall be metal with nylon insulated throats. Use grounding type bushings for feeder conduits at switchboards, panel boards, pull boxes, transformers, motor control centers, VFDs, etc.
12. Where terminating in threaded hubs, screw the raceway or fitting tight into the hub so the end bears against the wire protection shoulder. Where chase nipples are used, align the raceway so the coupling is square to the box, and tighten the chase nipple so no threads are exposed.
13. Install pull wires in empty raceways. Use #14 AWG zinc-coated steel or monofilament plastic line having not less than 200-pound tensile strength. Leave not less than 12 inches of slack at each end
14. Telecommunications and Signal Systems Raceways: Refer to Section 27 05 28 Pathways for Communications.
15. Install raceway-sealing fittings in accordance with the manufacturer's written instructions. Locate fittings at suitable, approved, accessible locations and fill them with UL Listed sealing compound. For concealed raceways, install each fitting in a flush steel box with a blank cover plate having a finish similar to that of adjacent plates or surfaces. Install raceway-sealing fittings at the following points and elsewhere as indicated:
 - a. Where conduits enter or leave hazardous locations.
 - b. Where conduits pass from warm locations to cold locations, such as the boundaries of refrigerated spaces and air-conditioned spaces.
 - c. Where required by the NEC.
16. Flexible Connections: Use short length (maximum of 6 feet) of flexible conduit for recessed and semi-recessed lighting fixtures, for equipment subject to vibration, noise transmission, or movement; and for all motors. Use liquid tight flexible conduit in wet locations. Install separate ground conductor in all flexible connections.
17. Conduit Seals: Conduit passing through concrete walls shall be sealed.
18. Where conduits are to be installed through structural framing members, the contractor shall provide sleeves. Cut all openings in concrete with rotary type drill, or other method as approved by the University Project Manager. Holes cut with pneumatic hammer will not be accepted. For areas where sleeves have not been provided, the Engineer's written approval must be obtained prior to cutting, notching or drilling of structural framing members.
19. Ream the ends of all cut and/or threaded conduit. Ends shall be cut square.
20. Use of running threads for rigid metallic conduit are not permitted. When threaded couplings cannot be used, provide 3-piece union or solid coupling.
21. Conduits shall not cross pipe shafts or ventilation duct openings "access panel".
22. Conduit shall not obstruct full and direct access to equipment requiring maintenance. This includes but is not limited to valves, actuators and terminal box controllers.
23. Install an insulated ground conductor in all conduits.
24. Where individual conduits penetrate fire-rated walls and floors, provide pipe sleeve one size larger than conduit; pack void around conduit with fire rated insulation and seal opening around conduit with UL Listed foam silicone elastomer compound. Conduits on trapeze type support system shall require fire taping only.
25. Where conduit sleeves penetrate fire rated floors or walls for installation of system cables, AC or MC cables, or modular wiring cables, pack void around cables or empty sleeve with fire rated insulation and fill ends with fire-resistive compound. Seal opening around sleeve with UL Listed foam silicone elastomer compound.
26. Provide separate raceway systems for each of the following:
 - a. Lighting
 - b. Power Distribution
 - c. Emergency (Essential)
 - 1) Lighting
 - 2) Power distribution
 - d. Low voltage systems, including telephone and communications, EQ alarm, security, fire alarm.

- e. Audio/Visual
- 27. Provide for waterproofing of all raceways, fittings, etc., which penetrate the roof to preserve the weatherproof integrity of the building. Installation of materials shall conform to the following:
 - c. General:
 - 1) Install all raceways concealed except at surface cabinets, for motor and equipment connections and in mechanical equipment rooms. Install a minimum of 6 inch from flues, steam pipes or other heated pockets for water-flashing and counter-flashing or pitch pockets for waterproofing of all raceways, outlets, fittings, etc., which penetrate roof. Route exposed raceways parallel or perpendicular to building lines with right angle turns and symmetrical bends. Concealed raceways shall be run in a direct line, and where possible, with long sweep bends and offsets.
 - 2) Provide raceway expansion joints with necessary bonding conductor at building expansion joints and where required to compensate for raceway or building thermal expansion and contraction. Terminate raceways 1-1/4 inch and larger with insulated bushing or rain tight connections with insulated throats.
- 28. Special areas methods for raceway installation (with appropriate seal-offs, explosion-proof fittings, etc.), in all special occupancy areas, as defined and classified in Article 500 of the National Electric Code (NEC), shall be in accordance with that Article.
- 29. If type MC or AC cable is used for branch circuits, the home run conduit will be EMT and must run from the panel to within 10 feet horizontally of the first device served.
- 30. All underground raceways, not under the building footprint, shall be installed so it slopes away from the building.

D. Raceway Installation:

- 1. Surface raceways, where indicated on drawings, shall be metal and of a size approved for number and size of wires to be installed, shall be installed in a neat, workmanlike manner, with runs parallel or perpendicular to walls and partitions. Raceways, elbows, fittings, outlets and devices shall be of same manufacturer, and designed for use together.
- 2. Wire ways, where indicated, complete with elbows, tees, connectors, adaptors, etc., with all parts factory-fabricated and of same manufacture.

3.02 INSTALLATION, GENERAL

A. Boxes:

- 1. Every J-box shall be secured, independent of conduit entries into the box. Boxes shall be secured to the building structure. Ceiling wire shall not be used to support (secure) J-boxes.
- 2. Box fill shall be governed by code requirements. Only the allowable amount of conduit entries shall be allowed into the box.
- 3. Box covers shall be marked so as to indicate the voltage, panel number, and circuit number of the enclosed conductors.
- 4. Each J-box shall have only one voltage installed.
- 5. Cap unused knockout holes where blanks have been removed and plug unused conduit hubs.
- 6. Sizes shall be adequate to meet NEC volume requirements, but in no case smaller than sizes indicated.
- 7. Remove sharp edges where they may come in contact with wiring or personnel.
- 8. All conduits connected to a flush panel shall be concealed.

B. Outlet Boxes:

- 1. Exact location of outlets and equipment shall be governed by structural conditions and obstructions or other equipment items. When necessary, relocate outlets so that when fixtures or equipment are installed, they will be symmetrically located according to room layout and will not interfere with other work or equipment. Verify final location of all outlets, panels, equipment, etc. with the University Project Manager.
- 2. Switch Outlet and Panel board height dimensions to meet ADA requirements.
- 3. Above counters, benches, special equipment, baseboards, fin tube radiators, etc., or at wainscoting, outlets shall be mounted minimum 6 inches above to prevent interferences to service equipment, or as noted on drawings.

4. Fire rated poke-through shall be installed in areas to miss beams and ductwork in ceiling below. Floors shall be X-rayed before core drilling.
 5. Outlets at windows and doors: Locate close to window trim in an accessible location. For outlets indicated above doors center outlets above the door opening except as otherwise indicated.
 6. Column and pilaster locations: Locate outlet boxes for switches and receptacles on columns or pilasters so the centers of the columns are clear for future installation of partitions. Locate in an accessible location.
 7. Locations in special finish materials: For outlet boxes for receptacles and switches mounted in desks or furniture cabinets or in glazed tile, concrete block marble, brick, stone or wood walls, use rectangular shaped boxes with square corners and straight sides. Install such boxes without plaster rings. Saw cut all recesses for outlet boxes in exposed masonry walls.
 8. Mounting: Mount outlet boxes for switches and receptacles with the long axis vertical or as indicated. Three or more gang boxes shall be mounted with the long axis horizontal. Locate box covers or device plates so they will not span different types of building finishes either vertically or horizontally. Locate boxes for switches near doors on the strike side, close to door trim. Provide far side box supports for electrical boxes installed on metal studs.
 9. Ceiling outlets: For fixtures, where wiring is concealed, use outlet boxes 4-inches square by 1-1/2 inches deep, minimum.
 10. Protect outlet boxes to prevent entrance of plaster, and/or debris. Thoroughly clean foreign material from boxes before conductors are installed.
 11. Concrete boxes: Use extra deep boxes to permit side conduit entrance without interfering with reinforcing, but do not use such boxes with over 6-inch depth.
 12. Existing outlet boxes: Where extension rings are required to be installed, drill new mounting holes on the existing boxes where existing holes are not aligned.
 13. Back to back outlet boxes are not permitted. Separate boxes a minimum of 6 inches in standard walls and 24 inches in acoustical walls.
- C. Installation of Pull and J-Boxes:
1. Box selection: For boxes in main feeder conduit runs, use minimum 8-inches square by 4-inches deep or as needed per NEC. Do not exceed 6 entering and 6 leaving raceways in a single box.
 2. Cable supports: Install clamps, grids, or devices to which cables may be secured. Arrange cables so they may be readily identified. Support cable at least every 30 inches inside boxes.
 3. Mount pull boxes in inaccessible ceilings with the covers flush with the finished ceiling.
 4. Every J-box shall be secured, independent of conduit entries into the box. Boxes shall be secured to the building structure. Provide rigid supports for all J-boxes, ceiling wire supports are not acceptable.
 5. Box fill shall be governed by code requirements. Only the allowable amount of conduit entries shall be allowed into the box.
 6. Box covers shall be marked so as to indicate the voltage, panel numbers, and circuit number of the enclosed conductors. Use pre-printed labels, marking cover with permanent marker is not acceptable.
- D. Grounding:
1. Electrically ground metallic cabinets, boxes, and enclosures. Where wiring to item includes a grounding conductor, provide a grounding terminal in the interior of the cabinet, box or enclosure.
- E. Outlets:
1. Provide zinc-coated or cadmium-plated sheet steel outlet boxes not less than 4 inch octagonal or square, unless otherwise noted. Equip fixture outlet boxes with 3/8-inch no-bolt fixture studs. Where fixtures are mounted on or in an accessible type ceiling, provide a J-box and extend flexible conduit, maximum 6' to each fixture. Outlet boxes in finished ceilings or walls shall be fitted with appropriate covers, set to come flush with the finished surface. Where more than one switch or device is located at one point, use gang boxes and covers unless otherwise indicated. Sectional switch boxes or utility boxes will not be permitted. Provide tile box or a 4-inch square box with tile ring where "drywall" type materials are applied.
- F. Pull and J-Boxes and Cabinets:

1. Construct J-boxes or pull boxes not over 150 cubic inches in size as standard outlet boxes, and those over 150 cubic inches the same as "Cabinets," with hinged covers of same gauge metal. Removable covers must be accessible at all times.
2. Provide a standard access panel having a hinged metal door neatly fitted into a flush metal trim, where a J-box or equipment is located above non-accessible ceilings or behind finished walls. Coordinate location and type with the University Project Manager. Access panels shall be minimum 24"x24" or 6" larger than pull box.
3. All cabinets shall be set rigidly in place with fronts straight and plumb, center panel board interiors in door openings.

END OF SECTION

SECTION 26 09 43 – NETWORK LIGHTING CONTROLS

PART 1 - GENERAL

1.01 DESIGN REQUIREMENTS

- A. Provide distributed network lighting control system. Define the lighting control zones to individual rooms, areas or individual fixtures as coordinated with the university Facilities Group.
- B. Interface lighting control zones with the Building Automation System (BAS) control zones. Provide all hardware, cabling and devices as needed for required hardwired interface.
- C. Provide minimum 25% spare capacity including equipment ratings, housing capacities, spare relays, terminals and controls.
- D. Provide a graphic user interface with a graphic display for programming lighting control zones.
- E. Complete coordination drawings for occupancy zones to interface with mechanical zones for HVAC operation
- F. Coordinate the location of the components on the shop drawings using the reflected ceiling plans. Do not mount devices over fixtures, diffusers, or sprinkler heads. Do not mount occupancy sensors in ceiling tiles with sprinkler heads.
- G. Network Backbone devices to be install on UPS system, if UPS is unavailable install on generator power.
- H. All areas with A/V equipment shall have coordination with controls to initiate shutdown sequence when area goes unoccupied.

1.02 PERFORMANCE REQUIREMENTS

- A. Provide lighting control software capable of linking switch inputs to relay outputs, retrieving links, viewing relay output status, controlling relay outputs, simulating switch inputs, setting device addresses and assigning switch inputs and relay outputs modes.
- B. Provide automatic time controls with automatic adjustment of dawn to dusk switching. System shall automatically adjust for leap year and daylight savings time.
- C. System shall include daylight harvesting control capabilities.
- D. Provide system with energy usage reporting which can be downloaded to the BAS.
- E. Provide automatic notification means of reporting of problem areas
- F. The vendor shall input all of the fixture wattage information into the lighting software.
- G. All devices be identified in the software with a software label per the naming convention tables
- H. Demonstrate the operation of the emergency lighting during generator operation and signal from the fire alarm.

1.03 SUBMITTALS

- A. Provide shop drawings with complete layout of all lighting control equipment including but not limited to programmable controllers, network cable, relays, switches, occupancy sensors and photocell sensors.
- B. Provide one-line diagrams showing the relative placement of all equipment and interconnections to equipment supplied by other manufactures.
- C. Provide complete wiring details showing connections to relays, switches, occupancy sensors, photocell sensors, etc.
- D. Clearly identify lighting zones which are coordinated and interface with the BAS control zones. Coordinate with Division 23.
- E. NAMING CONVENTION
 - 1. See table Naming-Encelium for Encelium Devices
 - 2. Submit naming convention for any devices not cover in Encelium tables

PART 2 - PRODUCTS

2.01 ACCEPTABLE MANUFACTURERS

- A. Manufacturers: subject to compliance with requirements, provide programmable lighting control equipment of one of the following (for each type and rating of equipment).
 - 1. Controls: Encelium Technologies Inc.
 - 2. Sensors: Sensor Switch, Inc., Greengate. Hubbell Building Automation, Inc., PLC Multipoint Inc., The Watt Stopper, Inc., or equivalent.

2.02 SYSTEM REQUIREMENTS

- A. Provide windows graphic user interface for programming and status of lighting control system.
- B. Reports: Energy performance reports shall be printable in a printer friendly format and downloadable for use in spreadsheet applications, etc.
- C. Interoperability: Control module shall be configured to connect to a BACnet-compliant network, resulting in extending control to any network-compliant devices such as occupancy switches.
- D. Emergency Mode: There shall be a mode, when activated through the System, that will immediately adjust lights to full light output and retain that level until the mode is deactivated. This setting shall override all other inputs. The System shall interface with the building emergency monitoring system at a convenient point and not require multiple connections.
- E. Addressing: I/O Modules shall be centrally addressable, on a per fixture basis, through the software. To simplify installation and maintenance, the System shall not require manual recording of addresses for commissioning or reconfiguration.
- F. LAN Operations: System shall operate independently of building's existing network infrastructure and shall not rely on tenant supplied PCs for operation. Network infrastructure shall only be utilized for software. Manufacturer must provide software to facilitate communications. Manufacturer shall provide connection from the PC running energy management and lighting control software to the System communication bus.
- G. Firewall Security: System firewall technology shall maintain network security.

- H. Re-configurability: The assignment of individual fixtures to zones shall be centrally configurable by software such that physical rewiring will not be necessary when workspace reconfiguration is performed. Removal of covers, faceplates, ceiling tiles, etc. shall not be required.

2.03 I/O MODULE

- A. General:
 - 1. Addressing: All I/O modules shall be individually addressable via software.
 - 2. Memory: Retains all system settings in non-volatile memory.
 - 3. Coordinate installation of I/O modules on mechanical equipment with control contractor for zone occupancy status. Relays to be mounted on enclosure of mechanical equipment. Dry contacts wired by control contractor to mechanical equipment. Relay to be provided by electrical contractor.

2.04 WALL CONTROLLERS

- A. General
 - 1. Addressing: All wall modules shall be individually addressable via software.
 - 2. Memory: Retains all system settings in non-volatile memory.
 - 3. Ratings: Shall be low voltage input.

2.05 PHOTO SENSOR

- A. General
 - 1. Addressing: All photo sensors modules shall be individually addressable via software.
 - 2. Memory: Retains all system settings in non-volatile memory.
 - 3. A sensor that measures ambient light in a finite area shall be available.
 - 4. Mounting: The sensor shall be flush mounted on or recessed inside ceiling tile

2.06 OCCUPANCY SENSORS

- A. General:
 - 1. Addressing: All I/O modules shall be individually addressable via software.
 - 2. Memory: Retains all system settings in non-volatile memory.
 - 3. Technology: Provide dual technology sensors where the sensitivity adjustment for each technology is configured through the System software
 - 4. Provide sensor with minimum timeout of 30 seconds..
 - 5. Sensor timeouts shall be configurable by System software. Above the minimum sensor timeout setting.
 - 6. Mounting: Sensors for mounting on ceilings and walls, including corners, must be available.
 - 7. Self-learning sensors will not be allowed.

2.07 LIGHTING CONTROL PANELS

- A. General
 - 1. Addressing: All relays shall be individually addressable via software.
 - 2. Memory: Retains all system settings in non-volatile memory.
 - 3. Wiring: Relay control panels shall be interconnected with any other devices on the same wiring loop.
 - 4. Provide phenolic labeling on the ceiling grid for any network communication devices, such as routers, bridges, or gateways

2.08 EMERGENCY SHUNT DEVICES:

- A. General

1. Operation: Normally-closed electrically-held relay to be wired in parallel with control switch/relay. Relay automatically turns lamp on when power supply circuit voltage drops to 80 percent of nominal voltage or below.
2. Test Push Button: Push-to-test type, in unit housing, simulates loss of normal power and demonstrates unit operability.
3. LED Indicator Light: Indicates status of normal and emergency power.
4. All emergency lighting shall be 'on' upon activation of the fire alarm system.
5. Emergency lighting shall be controlled with a shunt to keep off based upon occupancy and emergency operation.
6. Relays will indicate off, on, and shunt status in the system software

PART 3 - EXECUTION

3.01 ENCLOSED OFFICES

- A. Provide occupancy sensor control in all offices with manual override controls. Configure office with manual on and auto off controls. Provide manual dimming controls.
- B. Provide daylight harvesting controls for all perimeter offices by dim to minimum 10% before switching off fixture(s) when adequate daylight is detected by photocell.
- C. Provide hardwired I/O module interface with BAS controls

3.02 LOBBIES AND CORRIDORS

- A. Provide occupancy sensors configured for automatic on/off. Provide daylight harvesting dimming to minimum 10% before turning off fixtures where possible.
- B. Coordinate occupancy time delay with university project manager.
- C. Egress lighting may be controlled under certain conditions. Coordinate with the University Project Manager.
- D. Provide hardwired I/O module interface with BAS controls.

3.03 SPECIALTY AREAS

- A. Coordinate lighting control requirements with the university project manager for all specialty areas such as but not limited to laboratories, conference centers, animal facilities and clinical facilities.
- B. Provide hardwired I/O module interface with BAS controls.

PART 4 - PROJECT DOCUMENTATION

4.01 PROJECT RECORD DOCUMENTATION

- A. At least 3 working days before final acceptance demonstration, the contractor shall submit project record drawings of the network lighting for approval by the university. If more than three errors or omissions are found during the university review or during the acceptance procedure the acceptance procedure will be cancelled and rescheduled when accurate and complete drawings are received.
- B. Project Record Documents shall include all the information in the submittal drawings plus:
 1. All communication wiring shall have the exact route shown on a floor plan.

2. Include the working construction drawings set from the installation sub-contractor.
 3. Exact locations of all devices including panels, communication devices, IO devices, etc. shall be shown. Any room numbers changes during construction will be incorporated into the record documentation
 4. All changes made during installation shall be shown, update the devices to where they are actually installed.
 5. The electrical circuits used by the network lighting should be clearly indicated as panel and circuit number.
 6. Unit communication address identifiers shall be shown.
 7. Conductor and network identifier numbers shall be shown.
 8. Update the bill of material to show the installed device quantities.
 9. The electric circuiting layer needs to be turned on for the drawing.
 10. Update drawings and remove any notes, clouds, x's and removed devices.
 11. Include the X-ref(s) to the AutoCAD drawings.
 12. Update the Title Block on the drawings.
- C. After receiving final approval, supply six (or as specified on Division 1) complete project record drawing sets together with an electronic copy, PDF and AutoCAD, to the university. The project is not considered complete until record documents have been received and certified complete and accurate by the university
- D. O&M manuals shall be provided that detail any maintenance required for any device in the system.

PART 5 – WARRANTY

- A. The lighting controls shall be warranted to be free from defects in both material and workmanship for a period of one (1) year of normal use and service. This warranty shall become effective the date the university accepts the system. The warranty shall include 24 hour per day, 7 day per week emergency problem response and all standard service contract preventative maintenance items (i.e. I/O calibration, sensor adjustment, etc.). An emergency service number shall be provided to the university. Response shall be within twenty-four (24) hours to the phone call. Provide a phone number for the factory service for 24 hour response to the owner.
- B. Provide factory trained technicians familiar with the installation for emergency warranty service. An electrician will be available to support the activities of the technician, as needed.
- C. Upgrades: Include all controller firmware and software updates for the installed system version at no additional cost to the system the owner during the warranty period. The controller firmware and software will be installed by a factory trained technician.
- D. Tuning: Include 4 site visits by a factory trained technician for lighting system analysis for efficiency and effectiveness of energy savings. Provide operation and seasonal fine-tuning of parameters to provide an optimized control system to the university by a factory trained technicians. The visits will be to be completed at the 3rd, 6th, 9th, and 11th months of the warranty period.
- E. Provide a professional service report for any of the warranty work, system analysis, and changes to parameters

PART 6 – QUALITY ASSURANCE

- A. Installation

1. All installers will have the required training from the controls manufacture on installation of the network lighting before installation of the system. If certification is available from the vendor, the installer shall complete the certification. Provide a list of trained installers to the general contractor for record keeping.

	Upper Case Text				Lower Case	Example	Device Designator	Description	Note:
	Building	Room	Device name	Instance #	Switch Leg				
Backbone Devices	???	2000	ECU	1-999	NJA	???-2000-ECU1	ECU-8PORT-GB2	Energy Control Network Port,120-240VAC[50-60Hz]200W	
	???	2000	GWY	1-999	NJA	???-2000-GWY1	EN-GW-RFEND-GB2	Wireless Gateway to EnOcean Products	
Wall Stations	Building	Room	Device name	Instance #	Switch Leg	Example	Device Designator	Device Description	Note:
	???	2000	WS	1-999	NJA	???-2000-WS1	EN-WS-2C3-GB2-WT	Wall Station - 3 Zone Controller -White	
	???	2000	WS	1-999	NJA	???-2000-WS1	EN-WS-SC30-GB2-WT	Dimming Scene Controller - White	
	???	2000	WS	1-999	NJA	???-2000-WS1	EN-WS-INDPB-GB2	Wall Station - Industrial Controller(Stainless)	
	???	2000	WS	1-999	NJA	???-2000-WS1	EN-WS-INDPB-GB2-DR	Wall Station - Industrial Controller - (Stainless) Damp Rated	
	???	2000	WS	1-999	NJA	???-2000-WS1	EN-WS-KEYSW-GB2	Wall Station - Keyswitch Controller(Stainless)	
	???	2000	WS	1-999	NJA	???-2000-WS1	EN-WS-R-GB2-WT	Wall Station - Rocker Switch	
	???	2000	WS	1-999	NJA	???-2000-WS1	EN-WS-KEYSW-GB2-DR	Wall Station - Keyswitch Controller(Stainless) - Damp Rated	
	???	2000	GFX	1-999	NJA	???-2000-GFX1	RTI-K4	6.4 inch Touch Screen - Wall Mounted	
	???	2000	WS	1-999	NJA	???-2000-WS1	ILC-300 (GB1)	Wall Station - Industrial Controller(Stainless)	
	???	2000	WS	1-999	NJA	???-2000-WS1	KSW-300 (GB1)	Wall Station - Keyswitch Controller(Stainless)	
	???	2000	WS	1-999	NJA	???-2000-WS1	ZC3 -500 (GB1)	Wall Station - 3 Zone Controller -White	
	???	2000	WS	1-999	NJA	???-2000-WS1	DSC -500 (GB1)	Dimming Scene Controller - White	
	???	2000	WS	1-999	NJA	???-2000-WS1	MYC-500 (GB1)	Mycon Personal Lighting Controller	
Modules for Sensors	Building	Room	Device name	Instance #	Switch Leg	Example	Device Designator	Device Description	Note:
	???	2000	PC	1-999	NJA	???-2000-PC1	EN-SIM-AI/SPS-GB2-BK	Sensor Interface Module (Used for Photo Cells)	
	???	2000	PC	1-999	NJA	???-2000-PC1	EN-SIM-AI/SPS-GB2-BK/DR	Sensor Interface Module - Damp Rated (Used for Photo Cells)	
	???	2000	OS	1-999	NJA	???-2000-OS1	EN-SIM-AI/SPS-GB2-BK	Sensor Interface Module (Used for Occupancy Sensors)	
	???	2000	OS	1-999	NJA	???-2000-OS1	EN-SIM-AI/SPS-GB2-BK/DR	Sensor Interface Module - (Used for Occupancy Sensors)	
	???	2000	IO	1-999	NJA	???-2000-OS1	EN-SIM-AI/SPS-GB2-BK	Sensor Interface Module (Used for Dry Input)	
	???	2000	IO	1-999	NJA	???-2000-OS1	EN-SIM-AI/SPS-GB2-BK/DR	Sensor Interface Module - (Used for Dry Input)	
	???	2000	PC	1-999	NJA	???-2000-PC1	IDM-302 (GB1)	Universal Input/Output Module (Used for Photo Cells)	
	???	2000	OS	1-999	NJA	???-2000-OS1	IDM-302 (GB1)	Universal Input/Output Module (Used for Occupancy Sensors)	
	???	2000	IO	1-999	NJA	???-2000-OS1	IDM-302 (GB1)	Universal Input/Output Module (Used for Dry Input)	
Modules for BAS	Building	Device name	Floor	Number	Switch Leg	Example	Device Designator	Device Description	Note:
	???	VAV	01	001	NJA	???-VAV-01-001	EN-ALC-1R10V-GB2-BK	Area Lighting Controller (Used for Siemens VAV)	Siemens name for Box is VAV-???-01-001
	???	FVAV	01	001	NJA	???-FVAV-01-001	EN-ALC-1R10V-GB2-BK	Area Lighting Controller (Used for Siemens FVAV)	Siemens name for Box is FVAV-???-01-001
	???	SAV	01	001	NJA	???-SAV-01-001	EN-ALC-1R10V-GB2-BK	Area Lighting Controller (Used for Siemens SAV)	Siemens name for Box is SAV-???-01-001
	???	VAV	01	001	NJA	???-VAV-01-001	EN-ALC-1R10V-GB2-BK-DR	Area Lighting Controller-Damp Rated (Used for Siemens VAV)	Siemens name for Box is VAV-???-01-001
	???	FVAV	01	001	NJA	???-FVAV-01-001	EN-ALC-1R10V-GB2-BK-DR	Area Lighting Controller-Damp Rated (Used for Siemens FVAV)	Siemens name for Box is FVAV-???-01-001
	???	SAV	01	001	NJA	???-SAV-01-001	EN-ALC-1R10V-GB2-BK-DR	Area Lighting Controller-Damp Rated (Used for Siemens SAV)	Siemens name for Box is SAV-???-01-001
	???	VAV	01	001	NJA	???-VAV-01-001	EN-LCM-1R10V-GB2-BK	Luminaire Control Module (Used for Siemens VAV)	Siemens name for Box is VAV-???-01-001
	???	FVAV	01	001	NJA	???-FVAV-01-001	EN-LCM-1R10V-GB2-BK	Luminaire Control Module (Used for Siemens FVAV)	Siemens name for Box is FVAV-???-01-001
	???	SAV	01	001	NJA	???-SAV-01-001	EN-LCM-1R10V-GB2-BK	Luminaire Control Module (Used for Siemens SAV)	Siemens name for Box is SAV-???-01-001
	???	VAV	01	001	NJA	???-VAV-01-001	EN-LCM-1R10V-GB2-BK/DR	Luminaire Control Module (Used for Siemens VAV)	Siemens name for Box is VAV-???-01-001
	???	FVAV	01	001	NJA	???-FVAV-01-001	EN-LCM-1R10V-GB2-BK/DR	Luminaire Control Module (Used for Siemens FVAV)	Siemens name for Box is FVAV-???-01-001
	???	SAV	01	001	NJA	???-SAV-01-001	EN-LCM-1R10V-GB2-BK/DR	Luminaire Control Module (Used for Siemens SAV)	Siemens name for Box is SAV-???-01-001
	???	VAV	01	001	NJA	???-VAV-01-001	IDM-302 (GB1)	Universal Input/Output Module (Used for Siemens VAV)	Siemens name for Box is VAV-???-01-001
	???	FVAV	01	001	NJA	???-FVAV-01-001	IDM-302 (GB1)	Universal Input/Output Module (Used for Siemens FVAV)	Siemens name for Box is FVAV-???-01-001
	???	SAV	01	001	NJA	???-SAV-01-001	IDM-302 (GB1)	Universal Input/Output Module (Used for Siemens SAV)	Siemens name for Box is SAV-???-01-001
Modules for Fixture Control	Building	Room	Device name	Instance #	Switch Leg	Example	Device Designator	Device Description	Note:
	???	2000	LF	1-999	a-z	???-2000-LF1-a	EN-LCM-1R10V-GB2-BK	Luminaire Control Module	
	???	2000	LF	1-999	a-z	???-2000-LF1-a	EN-LCM-1R10V-GB2-BK/DR	Luminaire Control Module - Damp Rated	
	???	2000	LF	1-999	a-z	???-2000-LF1-a	EN-ACM-1R10V5-GB2-BK/DR	Accessory Control Module for Dimming Control - Damp Rated	
	???	2000	LF	1-999	a-z	???-2000-LF-a	EN-ACM-1R10V5-GB2-BK	Accessory Control Module for Dimming Control	
	???	2000	LF	1-999	a-z	???-2000-LF-a	EN-ALC-1R10V-GB2-BK	Area Lighting Controller	
	???	2000	LF	1-999	a-z	???-2000-LF-a	EN-ALC-1R10V-GB2-BK-DR	Area Lighting Controller - Damp Rated	
	???	2000	LF	1-999	a-z	???-2000-LF-a	D4DMX-MDS	DMX Dimming Module	
	???	2000	LF	1-999	a-z	???-2000-LF-a	EN-PCDM-GB2	Phase Cut Dimming Module	
	???	2000	LF	1-999	a-z	???-2000-LF1-a	IDM-302 (GB1)	Universal Input/Output Module (Used for Power/Relay Packs)	
	???	2000	LF	1-999	a-z	???-2000-LF1-a	IDM-302 (GB1)	Universal Input/Output Module (Used for Power Relay)	
	???	2000	LF	1-999	a-z	???-2000-LF-a	IDM-302 (GB1)	Universal Input/Output Module (Used directly for Luminaire)	
	???	2000	LF	1-999	a-z	???-2000-LF-a	DMX-100 (GB1)	DMX Input/Output Module	

Modules for Emergency Fixture Control	Building	Room	Device name	Instance #	Switch Leg	Example	Device Designator	Device Description	Note:
	???	2000	ELF	1-999	a-z	???-2000-ELF1-a	EN-LCM-1R10V-GB2-BK	Luminaire Control Module	
	???	2000	ELF	1-999	a-z	???-2000-ELF1-a	EN-LCM-1R10V-GB2-BK/DR	Luminaire Control Module - Damp Rated	
	???	2000	ELF	1-999	a-z	???-2000-ELF1-a	EN-ACM-1R10V5-GB2-BK/DR	Accessory Control Module for Dimming Control - Damp Rated	
	???	2000	ELF	1-999	a-z	???-2000-ELF-a	EN-ACM-1R10V5-GB2-BK	Accessory Control Module for Dimming Control	
	???	2000	ELF	1-999	a-z	???-2000-ELF-a	EN-ALC-1R10V-GB2-BK	Area Lighting Controller	
	???	2000	ELF	1-999	a-z	???-2000-ELF-a	EN-ALC-1R10V-GB2-BK-DR	Area Lighting Controller - Damp Rated	
	???	2000	ELF	1-999	a-z	???-2000-ELF-a	D4DMX-MDS	DMX Dimming Module	
	???	2000	ELF	1-999	a-z	???-2000-ELF-a	EN-PCDM-GB2	Phase Cut Dimming Module	
	???	2000	ELF	1-999	a-z	???-2000-ELF1-a	IOM-302 (GB1)	Universal Input/Output Module (Used for Power/Relay Packs)	
	???	2000	ELF	1-999	a-z	???-2000-ELF1-a	IOM-302 (GB1)	Universal Input/Output Module (Used for Power Relay)	
	???	2000	ELF	1-999	a-z	???-2000-ELF-a	IOM-302 (GB1)	Universal Input/Output Module (Used directly for Luminaire)	
	???	2000	ELF	1-999	a-z	???-2000-ELF-a	DMX-100 (GB1)	DMX Input/Output Module	
Special Use Modules	Building	Room	Device name	Instance #	Switch Leg	Example	Device Designator	Device Description	Note:
	???	2000	BRG	1-999	N/A	???-2000-BRG1	EN-08-1L-GB2	DALI Bridge	
	???	2000	BRG	1-999	N/A	???-2000-BRG1	6EP1331-1SH03	DALI Power Supply	
Relay Panels	Building	Room	Device name	Instance #	Switch Leg	Example	Device Designator	Device Description	Note:
	???	2000	PNL	1-999	a-z	???-2000-PNL1-a	EN-RP-24C-GB2-120/277V	Relay Panel - 24 Circuit - 120/277V (Includes Relay Module)	
	???	2000	PNL	1-999	a-z	???-2000-PNL1-a	EN-RP-24C-GB2-347V	Relay Panel - 24 Circuit - 347V (Includes Relay Module)	

END OF SECTION

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SECTION 26 51 00 – INTERIOR LIGHTING

PART 1 - GENERAL

1.01 DESIGN REQUIREMENTS

A. General Information:

1. Lighting design shall take into consideration for fixture and remote driver maintenance and replacement. Fixtures shall be accessible from a standard ladder located on a level floor or landing.
2. Lighting Requirements:
 - a. General:
 - 1) Provide energy efficient LED luminaries wherever possible.
 - 2) Color temperature shall be 4000K unless requested otherwise. Minimum CRI shall be 80.
 - 3) Luminary installations must comply with requirements set forth in other sections of this Division 26.
 - 4) Provide emergency and exit lighting per NFPA, IBC and NEC requirements and recommendations. Exit lights should be LED type.
 - 5) If emergency generator circuits are not available, provide emergency lighting battery packs in elevator machine rooms, mechanical rooms electrical rooms. Fire, Security rooms and Egress Lighting per Fire Code.
 - 6) Refer to current edition of the IES for lighting levels in areas not included in the following paragraphs.
 - 7) LED drivers shall be low inrush current type.
 - 8) Provide LED luminaries with 0-10V flicker-free dimming to 10%, power factor >0.90, less than 20% THD.
 - 9) Provide Energy Star or DLC listed LED fixtures.
 - 10) Minimum foot-candle level in corridors shall be 20 foot candles.
 - 11) Minimum foot-candle level in lobbies shall be 15 foot-candles.
 - 12) The university standard corridor lighting consists of 2'x4' recessed luminary consistent with current LEED design.
 - b. Offices:
 - 1) Minimum foot-candle level shall be 30 foot-candles. Offices that require detail work at their desk shall be provided with minimum of 50 foot-candles. Rooms with special VDT requirements may be provided with less than 30 foot-candles, personal dimming control shall be provided.
 - 2) The university standard office lighting consists of 2'x4' recessed luminary
 - c. Classrooms:
 - 1) Minimum foot-candle level shall be 40.
 - 2) Computer classrooms shall be provided with pendant-mounted indirect luminaries. Luminaries shall be mounted with aircraft cable. Maximum length of steel indirect product shall be 12'-0". Minimum foot-candle level shall be 25.
 - 3) Laboratory classrooms: Minimum foot-candle level shall be 75.
 - 4) Coordinate fixture type with ceiling projectors as needed. Fixture location shall not obstruct projector.
 - 5) Lighting shall be LED with 0-10V flicker free dimming to 1%.
 - d. Equipment Rooms:
 - 1) Provide a minimum of 3 foot-candles on vertical surfaces and 30 footcandles at 30" high horizontal surfaces.
 - e. Exit/Egress Lighting:
 - 1) Provide adequate exit/egress lighting per code requirements.

- 2) Coordinate with the university project manager if the egress fixtures are to be controlled with the normal adjacent fixture or if they are to be used as night lights.
- f. Janitor Closets
 - 1) Provide Lensed LED strip light fixture.

1.02 SUBMITTALS

1.03 Product Data: Submit product data with mounting type and installation instructions for each proposed types of luminary and accessories. DELIVERY, STORAGE AND HANDLING

- A. Deliver luminaries in factory-fabricated containers or wrappings, which properly protect them from damage.
- B. Store luminaries in original packaging. Store inside well-ventilated area protected from weather, moisture, soiling, extreme temperatures, humidity, laid flat, and blocked off ground.
- C. Handle luminaries carefully to prevent damage, breaking, and scoring of finishes. Do not install damaged units or components; replace with new.

PART 2 - PRODUCTS

2.01 MANUFACTURES

- A. Acceptable Manufacturers: Subject to compliance with requirements, provide products as listed in the Luminaire Schedule on the drawings.

2.02 MATERIALS, GENERAL

- A. Provide low-energy LED drivers capable of operating with high power factor >0.90, rapid-start, and low- noise features; Type 1, Class P; sound-rated A. Total Harmonic Distortion shall be less than 20%.
- B. Wiring: Provide electrical wiring within luminary suitable for connecting to branch circuit wiring as follows:
 - 1. NEC Type THHN for 120 volt, minimum #18 AWG
 - 2. NEC Type THHN for 277 volt, minimum #18 AWG
 - 3. Provide a green grounding wire in flexible conduit connection to all recessed fixtures. Provide green grounding wire to all power outlets. Provide green grounding wire in all runs from panels to fixtures and devices.
- C. Provide LED drivers with low in-rush current.
- D. Exit Signs: Housing shall be extruded aluminum. Face shall be translucent white with green lettering. Directional arrows shall be universal for field adjustment. Mounting shall be as indicated on project drawings. Battery shall be provided if an emergency source is not available. Lamp shall be LED type. Input voltage shall be as shown on drawings. H-3 radioactive exit signs must not be specified.

PART 3 - EXECUTION

3.01 EXAMINATION

- A. Examine areas and conditions under which lighting is to be installed, and substrate for supporting lighting. Notify Contractor in writing of conditions detrimental to proper completion of the work. Do not proceed with work until unsatisfactory conditions have been corrected in manner acceptable to Installer.

3.02 INSTALLATION, GENERAL

- A. Install lighting at locations and heights as indicated, in accordance with manufacturer's written instructions, applicable requirements of NEC, NECA's "Standard of Installation," NEMA standards, and with recognized industry practices to ensure that lighting fulfills requirements.
- B. Provide luminaries and/or outlet boxes with hangers to properly support luminary weight. Comply with IBC luminary support requirements.
- C. Install flush-mounted luminaries properly to eliminate light leakage between frame and finished surface.
- D. Provide plaster frames for recessed luminaries installed in other than suspended grid-type acoustical ceiling systems. Brace frames temporarily to prevent distortion during handling.
- E. Fasten luminaries securely to indicate structural supports; and ensure that pendant luminaries are plumb and level. Provide individually mounted pendant luminaries longer than 2 feet with twin hangers. Mount continuous rows of luminaries with one more aircraft cable support greater than number of luminaries in the row.
- F. Tighten connectors and terminals, including screws and bolts, in accordance with equipment manufacturer's published torque tightening values for equipment connectors. Where manufacturer's torque requirements are not indicated, tighten connectors and terminals to comply with tightening torques specified in UL Standards 486A and 486B, and the National Electrical Code (NEC).
- G. Provide additional supports for all surface-mounted luminaries greater than 2 feet in length in addition to the outlet box.
- H. Overall dimensions of LED, incandescent or fluorescent fixtures recessed in suspended grid ceilings shall be such that they will fit into grid ceiling with no distortion or field repair to fixtures and with no distortion of ceiling grids. If field repair is required, the engineer shall be notified immediately. All fixtures must be supported independent of the ceiling grid per NEC. Coordinate installation of the fixtures with installer of ceiling so that ceiling will be absolutely level after completion.
- I. Grounding: Provide equipment-grounding connections for lighting as indicated. Tighten connections to comply with tightening torques specified in UL Standard 486A to assure permanent and effective grounds.
- J. Install exit signs per manufactures recommendations.

3.03 TESTING, CLEANING, AND CERTIFICATION

- A. Clean luminaries of dirt and construction debris upon completion of installation, and again prior to project turnover. Clean fingerprints and smudges from lenses.
- B. Protect installed luminaries from damage during remainder of construction period.
- C. At Date of Final Completion, replace lamps in luminaries that are observed to be noticeably dimmed after Contractor's use and testing, as judged by Engineer.

1. Refer to Division 1 sections for the replacement/restoration of lamps in lighting where used for temporary lighting prior to Date of Final Completion.

END OF SECTION